Paneth Cells and Necrotizing Enterocolitis: A Novel Hypothesis for Disease Pathogenesis

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Key Words
Dithizone · α-Defensins · Enteric infection · Ileal necrosis · Intestinal crypts · Paneth cell ablation · Paneth cell-related gut injury and repair · Tumor necrosis factor-α

Abstract
Current models of necrotizing enterocolitis (NEC) propose that intraluminal microbes destroy intestinal mucosa and activate an inflammatory cascade that ends in necrosis. We suggest an alternate hypothesis wherein NEC is caused by injury to Paneth cells (PCs) in the intestinal crypts. PCs are specialized epithelia that protect intestinal stem cells from pathogens, stimulate stem cell differentiation, shape the intestinal microbiota, and assist in repairing the gut. Our novel model of NEC uses neonatal mice and ablates PCs followed by enteral infection. We contrast this model with other animal examples of NEC and the clinical disease. Selective destruction of PCs using dithizone likely releases tumor necrosis factor-α and other inflammatory mediators. We propose that this event produces inflammation in the submucosa, generates platelet-activating factor, and induces a coagulopathy. The role of PCs in NEC is consistent with the onset of disease in preterm infants after a period of PC-related maturation, the central role of PCs in crypt-related homeostasis, the anatomic location of pneumatosis intestinalis close to the crypts, and the proximity of PCs to occluded blood vessels that cause coagulation necrosis of the intestinal villi. We offer this hypothesis to promote new thoughts about how NEC occurs and its potential prevention.

Introduction
Over the past four decades, necrotizing enterocolitis (NEC) has been the most highly investigated, widely debated, and clinically devastating gastrointestinal disease of preterm infants [1, 2]. Epidemiologic associations have identified: (1) preterm birth, (2) enteral feedings, (3) dysfunctional intestinal motility, (4) reduced intestinal blood flow, (5) microbe-induced, proinflammatory epithelial signaling, and (6) enteroinvasive microbes as factors in the pathogenesis of NEC [3–7]. Among these connections, significant inflammation in response to the intestinal microbiome is a vital contributor to the onset of NEC. Genetic predispositions to NEC have been described infrequently and require more research [8, 9].

NEC causes: (1) increased hospital-related costs and heightened expenditures after discharge [10, 11], (2) adverse neurodevelopmental outcomes [12, 13], (3) long-term neurologic and intestinal rehabilitative needs [11–
leukin-1 mediated by tumor necrosis factor-inflammatory response ensues in the lamina propria and is fail and bacterial translocation takes place. An intense in- cectors on intestinal epithelia, the gut barrier appears to other pathogen-associated molecular pattern (PAMP) re- ceptor 4 on enterocytes and/or following activation of vili as a response to events in the intestinal lumen. The Traditional Hypothesis Related to the Pathogenesis of NEC

The principal hypothesis linked to the pathogenesis of NEC can be described as a ‘top down scenario’ [2, 3, 5, 19]. Published flow diagrams or illustrations show intraluminal bacteria disrupting and invading epithelia at the tips of intestinal villi (fig. 1). After endotoxin binds to Toll-like receptor 4 on enterocytes and/or following activation of other pathogen-associated molecular pattern (PAMP) receptors on intestinal epithelia, the gut barrier appears to fail and bacterial translocation takes place. An intense inflammatory response ensues in the lamina propria and is mediated by tumor necrosis factor-α (TNF-α) and interleukin-1β (IL-1β) [20]. These events also stimulate the release of vasoactive substances that can initiate either potent vasoconstriction or excessive vasodilatation in the intestine. Vasoactive substances associated with NEC include platelet-activating factor (PAF), endothelin-1, and the nitric oxide/peroxynitrite couple [3, 7]. Inflammation in the intestinal wall also triggers activation of the complement and coagulation systems [3]. Stimulated leukocytes and platelets adhere to the endothelium causing a relative anatomical obstruction to blood flow in the microvasculature of the small bowel. Additional damage to endothelia caused by adherence of neutrophils and platelets additionally impairs generation of nitric oxide and thereby hinders vasorelaxation in the presence of vasospasm. During the pathogenesis of NEC, a life-threatening element is vascular thrombosis in the intestinal submucosa, and this event produces so-called coagulation necrosis of the intestinal villi [21–23]. This ‘top down’ scheme for the pathogenesis of NEC involves a logical cascade of events; however, the validity of this pattern that ends in NEC can be questioned. In the next section, we will emphasize why pneumatosis intestinalis and thrombosis of submucosal vessels may arise from the pathophysiology in the crypts of Lieberkühn compared to far away inflammation in the tips of villi as a response to events in the intestinal lumen.

A ‘Bottom Up’ Hypothesis to Explain the Onset of NEC

The following rationale contests the traditional beliefs about the origins of NEC. First, the distance from the apex of the villus wherein bacterial invasion is proposed to occur to the arteriolar plexus in the submucosa where thrombosis begins is substantial [22]. The close proximity of the crypts of Lieberkühn to the lamina propria and submucosal arterioles suggests that bacterial translocation through the crypts is a more plausible reason for an inflammatory response in the submucosa compared to pathogens entering the body at the tips of villi. This pathway would rapidly activate the coagulation system in major blood vessels penetrating the bowel wall from the mesentry. Based on the anatomic pathology, it is reasonable to postulate that bacterial toxins act within the crypts and bacterial invasion occurs after epithelial damage takes place. Such a ‘bottom up’ pathogenesis for NEC may better explain rapid occlusion of perforating arteries in the submucosa which in turn causes coagulation necrosis of the intestinal villi [21–25]. Intense inflammation in the lamina propria below the crypts can also initiate a coagulopathy in submucosal vessels that proliferates along extended segments of small bowel and renders the tissues gangrenous. This phenomenon may well be the mecha-

Paneth Cell-Mediated NEC

Table 1. Causes of infant death

<table>
<thead>
<tr>
<th>Year</th>
<th>Neonatal disease</th>
<th>NEC</th>
<th>Early-onset neonatal sepsis</th>
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<td>2000</td>
<td>348 deaths, 8.7</td>
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<td>958 deaths, 23.8</td>
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Data are deaths per year, and rate per 100,000 births [14, 15].

14], and (4) a mortality that can range from 15 to 30% [2, 6]. Vital statistics in the United States [15, 16] show that infant mortality caused by NEC increased between 2000 and 2010 (table 1). On the other hand, infant mortality associated with early-onset neonatal sepsis and respiratory distress syndrome significantly declined over the same period. Because of high morbidity, mortality and health care costs, prevention of NEC is considered urgent [4]. Only nutrition with human milk reduces the prevalence of NEC [4], while oral probiotic prophylaxis requires more research and evaluation before its routine clinical use [2].

A decisive understanding of the pathogenesis of NEC is essential to developing effective prevention and treatment approaches. Many reviews appreciate the multifactorial nature of the disorder, yet recent overviews of NEC clearly state that its pathophysiology is incompletely understood [2, 5]. Recent historic [17] and scientific [18] perspectives express frustration with our comprehension of this common intestinal disease of neonates.

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nism whereby NEC totalis is found during either surgery or a post-mortem examination.

Second, pneumatosis intestinalis, the pathognomonic sign for NEC, is not identified in intestinal villi of infants or animal models with NEC. Rather, pneumatosis intestinalis occurs in the submucosa of the bowel where bullae are identified by gross anatomic, low-power microscopic or abdominal ultrasound examinations [21–25]. Crypt penetration provides microbes with easy access to submucosal tissues where intramural gas production by bacteria [26, 27] causes pneumatosis. From this location, rather than the villi, pneumatosis can track along splanchnic vessels to the liver and is manifest by portal venous air.

The ‘bottom up’ hypothesis states that NEC begins in the crypts of Lieberkühn. Our hypothesis originated from investigations of Paneth cells (PCs) in the immature intestine of rodents. When neonatal rats or mice had PCs destroyed by dithizone [28] followed by gut infection with enteric pathogens [29, 30], the ileum became grossly necrotic in a significant number of newborn animals. The anatomic appearance of the ileal necrosis was consistent with NEC observed in human preterm infants. This finding fostered our rethinking of events related to the pathogenesis of NEC. The rest of this review (1) discusses PCs in health and disease, (2) describes the role of PCs in intestinal development, and (3) details the mechanisms whereby we propose that these specialized epithelia mediate the onset of NEC.

**PCs in Human Health and Disease**

PCs were described in the crypts of Lieberkühn in 1867 [31], yet it took another 125 years before interest in these cells intensified. In 1992, PCs of mice [32] and man [33] were shown to contain antimicrobial peptides.
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(AMPS). In response to lipoteichoic acid, lipopolysaccharide, Gram-negative bacteria, Gram-positive bacteria, and other agonists, murine PCs secrete phospholipase A$_2$ and cryptdins (known as α-defensins in man) [34–36]. Transgenic mice expressing the human defensin 5 gene (HD-5) are protected from death during enteral infection with Salmonella typhimurium [37]. By expressing PAMP receptors on their luminal surface to recognize microbes, and by releasing AMPs after these receptors are engaged, PCs are a major facilitator of homeostasis in the gut-associated microbiome [38, 39]. For these reasons, PCs have been properly called 'guardians' of intestinal stem cells in the niche called the crypts of Lieberkühn where these cells live and work in cooperation [40].

It is appreciated that PCs have functions beyond innate immunity in the intestine. Figure 2a displays the intimate relationship between arterioles entering and venules leaving individual villi and the nearby PCs [41]. The anatomic location of PCs is within one to several cell diameters of these vessels and allows these specialized epithelia to regulate postnatal vascular growth by secreting angiogenins through an enteric bacteria-sensing mechanism [42]. Interestingly, angiogenins also have antimicrobial actions [43]. Figure 2b depicts how PC-related

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**Fig. 2.** Normal anatomy and function of PCs. In addition to their antimicrobial functions, PCs play a vital role regulating the intestinal architecture. a PCs are located near the vasculature entering and leaving the villi and they regulate blood vessel growth through the secretion of angiogenins. b PCs are found intertwined with intestinal stem cells in the crypts. PCs are critical to maintaining stem cell homeostasis through Wnt signaling pathways. Cooperation between PCs and stem cells allows orderly regeneration of intestinal surface epithelia on a continuous basis.
control of the intestinal microbiota is fundamental to orderly renewal of epithelia on the surface of villi [44]. PCs are a key constituent of the mammalian intestinal stem cell niche and they augment stem cell function in response to calorie restriction [45]. PCs express epidermal growth factor (EGF), transforming growth factor-α, Wnt3, and the Notch ligand Dll4, all essential signals for stem cell maintenance in culture. Genetic removal of PCs in vivo results in the concomitant loss of Lgr5 stem cells [46]. These observations emphasize the importance of PCs in crypt-related homeostasis and epithelial renewal in the villi. When PCs undergo destruction after dithizone exposure, they may release TNF-α [47]. This constituent proinflammatory cytokine of PCs is responsible for activation of nuclear factor-κB in other intestinal epithelia, an event associated with a subsequent proliferative effect in these cells [47].

Crohn’s disease or regional enteritis is associated with failure of the antimicrobial functions of PCs in children and adults. Mechanisms responsible for defective antimicrobial function in PCs are complex and include: (1) mutations in the expression of α-defensins or their intracellular inactivation, (2) mutations in nucleotide-binding oligomerization domain 2 and loss of function, (3) a disturbance of the Wnt pathway transcription factor TCF7L2 (also known as TCF4), (4) defects in the autophagy factor ATG16L1 that destroys invading intracellular bacteria, (5) alterations in the endosomal stress protein XBPI, (6) loss of Toll-like receptor-9-related function, and (7) abnormalities in the calcium-mediated potassium channel KCNN4 [48]. While these disturbances in PC-associated functions in Crohn’s disease have not been identified to date in patients with NEC, Crohn’s disease and NEC do share some pathogenic features. Chronic inflammation in Crohn’s disease and acute inflammation in NEC are significantly related to TNF-α secretion by PCs [49–52]. Secretion of TNF-α may be heightened when PCs are stressed by bacterial overload, virulence, their toxins and/or other microbial components in the intestinal crypts [53]. The next section will discuss this pathophysiology in relationship to NEC.

**PCs and NEC: A Final Pathway to Disease Onset**

The ‘bottom up’ hypothesis related to the pathogenesis of NEC emerged when we were studying the role of PCs in bacterial translocation from the neonatal intestine. The zinc chelator dithizone selectively alters or kills PCs in the small bowel [28, 29, 47] and was used to diminish the host defense functions of these specialized epithelia in neonatal rats. Six hours after administering dithizone, the newborn animals underwent a significant enteral infection with *Escherichia coli* [29]. Jejunal and ileal fluid and the intestinal wall of the jejunum had significantly increased numbers of *E. coli*, while the wall of the ileum approached a statistically noteworthy result (p = 0.06). In delineating why the ileum did not have higher numbers of *E. coli*, we noted that 8 of 32 ileal samples (25%) had transmural necrosis. Two hypotheses flowed from this pathologic finding and they are the topics of this review. First, in killing PCs with dithizone, we propose that substantial amounts of TNF-α may have been released [47] and could explain the death of the ileum. Alternatively, in the presence of a significant infection with *E. coli* in the intestinal lumen, necrosis of the ileum may be an attempt by the host to limit translocation and systemic bacteremia. The latter concept is consistent with reports that bacteremia is lower than expected during NEC [54].

Confirmation that PCs may be a central figure in the pathogenesis of NEC came from a novel model of NEC in neonatal mice [30]. This model used 14- to 16-day-old mice that were treated with dithizone (75 mg/kg) and 6 h later were infected enterally by instilling 1 × 10¹¹ colony-forming units per kilogram body weight of *Klebsiella pneumoniae* into the stomach. Ten hours after infection, the neonatal mice treated with dithizone or control conditions had gross and microscopic examination of the bowel. Only those mice given dithizone followed by enteral infection had significant evidence of transmural necrosis in the ileum (fig. 3). Dithizone treatment was shown to deplete the PC population by 50%. Dithizone or enteral infection alone was unable to significantly initiate the onset of NEC in immature mice. Rather, dithizone treatment followed by enteral infection with a pathogen was required to alter the presence and physiology of PCs in the small bowel [29].

Extremely-low-birth-weight human infants generally have the longest postnatal interval before the onset of NEC [55, 56]. This dependable observation suggests that maturation of innate and/or adaptive immunity must occur before classic NEC becomes possible. Examining the development of PCs in the intestinal crypts offers insight into this delay in appearance of NEC after birth. In humans, PCs appear early in the second trimester but have markedly diminished expression of HD-5 and HD-6 until the middle of the third trimester [57]. Term infants have PCs with an increased HD-5 and HD-6 content compared to premature infants, although the concentrations do not approach levels seen in human adults [57].
mice and rats, PCs are sparse at birth and their AMP content is low, but after birth, there are logarithmic increases in expression of cryptdins, lysozyme, and secretory phospholipase A2 as pups wean from suckling [58]. In the dithizone-Klebsiella model, younger mice do not yet have functional PCs and they do not develop NEC [30]. We propose that in immature prematurely born human infants, a period of time is required for PCs to mature. PCs may be enabled to initiate NEC after they become full-fledged proinflammatory and host defense cells during postnatal life [51, 57].

PCs have a 3-fold amplification of defensin expression in surgical specimens from neonates with NEC compared to controls [59]. Other studies found that the lysozyme content of PCs is virtually absent in surgical tissues recovered from cases with NEC [60, 61]. Two hypotheses are proposed to explain these findings [62]. One theory says the initial paucity of AMPs in PCs creates an abnormal microflora in the intestine of preterm infants. This pathologic microbiota invades the intestinal epithelium. The second model theorizes that bacterial dysbiosis in the gut enhances the expression of defensins in PCs around the time that NEC has its onset. If defensins are sufficient in PCs, this finding suggests that other events occur in the final pathway. These two disparate hypotheses related to the content of AMPs in neonatal PCs and the onset of NEC are better understood if H9251-defensins are simply a surrogate for other PC-associated molecules that are central to the pathogenesis of NEC. We suggest that those molecules in PCs are proinflammatory cytokines with the major effector being TNF-α [51, 52]. Release of TNF-α from PCs may occur when these epithelia are either disrupted by bacterial toxins or experience microbial invasion and death [63]. Degradation of PCs is also consistent with the reduced numbers of PCs found in surgical samples from NEC cases [30, 61]. The recent description that HD-6 secreted from PCs forms nanonets with an enteric pathogen and hinders invasion suggests that trapping microbes and their toxins in the crypts may be an important defense mechanism [64]. The effectiveness or side effects related to this newly reported host defense in the small intestine deserves immediate study in neonates. Its relationship to the pathogenesis of NEC must be defined.

**Fig. 3.** Selective ablation of PCs followed by enteric infection with *Klebsiella* induces a NEC-like injury in 14- to 16-day-old mice. P14–P16 CD1 mice were divided into four groups [control, n = 31; dithizone only, n = 42; *Klebsiella* only, n = 13, and dithizone/ *Klebsiella* (Dith/Kleb), n = 30]. Mice were given either dithizone intraperitoneally (75 mg/kg) or an equivalent volume of Li2CO3 diluent. At 6 h after the injection, mice were given either a gavage feeding of 1 × 10^11 CFU *K. pneumoniae* per kilogram body weight suspended in nutrient broth or nutrient broth only. Mice were monitored for 10 h following gavage and then underwent euthanasia for harvesting of the small intestine. A blinded investigator applied a Lickert system that evaluated villus integrity on a scale of 0–4. Mice treated with dithizone/ *Klebsiella* were significantly different from the other groups and their average score was ≥2 (see red line on scoring graphic) and was considered significant for NEC-like damage (*p* < 0.001 vs. other groups). The loss of intestinal villi on histologic analyses of the dithizone/ *Klebsiella*-treated pups is remarkable compared to the control groups. Horizontal lines for each group indicate the mean histologic score. In the dithizone/ *Klebsiella* group, the terminal ileum showed transmural necrosis and is displayed between the arrowheads; intestinal damage is also seen proximally. Scale bars = 0.1 mm. Permission granted for use of this illustration from [30].
We propose that PCs are the key cellular mediator related to the onset of NEC because (1) PCs can sense microbial invasion via several surface and intracellular PAMP receptors and (2) PCs can initiate a local and a systemic inflammatory response because they contain appropriate cytokines and are next to arterioles and venules that perfuse and drain the intestinal villi (fig. 2, 4). This scenario is in harmony with the suggestion that PCs are akin to stationary leukocytes [49]. PCs are known to store and secrete TNF-α and IL-17 from their basolateral surface when PAMP receptors are stimulated or intracellular bacterial invasion occurs [49, 65]. Combined release of TNF-α and IL-17 from PCs can initiate shock [65]. Once intense inflammation begins in blood vessels entering and leaving the intestinal villi, the adherence of leukocytes and platelets to endothelium would significantly elevate PAF, endothelin-1, and other vasoactive agents in the microvasculature of the bowel [3]. Damage to the endothelium of the villus reduces the production of nitric oxide and accentuates a low flow state in the intestinal microvasculature. In concert with the inflammatory milieu and vasoactive events, the intravascular complement and coagulation systems are activated in the intestinal blood vessels. PCs at the base of crypts are adjacent to the arteries and veins in the lamina propria [41], and this supports the 'bottom up' hypothesis where thrombosis of these vessels may cause coagulation necrosis of the intestinal villi.

In the neonatal rat [29] and mouse [30] models that use dithizone treatment followed by enteral infection to create an NEC-like illness, the distal ileum is the principal anatomic location with complete intestinal necrosis. The predilection to the ileum is an interesting one and two hypotheses predominate. The first is that functional differences between the jejunum, ileum, and colon predispose the ileum to NEC (i.e. the main difference is in the host), while the second is that regional differences in the microbiota are of primary importance [66]. In support of the first hypothesis, PCs may be more numerous in the ileum compared to the jejunal intestine of neonates, but the definitive comparative studies at each location have not been performed. Quantitative stereologic methods to define the numbers of PCs in neonatal jejunum and ileum exist, but have not been reported to date. Moreover, data from mice suggest there is increased expression of many PC products in the ileum compared to the jejunal intestine [67]. To investigate the second hypothesis, careful metagenomic studies of intestinal loop models will be necessary to determine regional differences in the microbiota. Changes in the fecal microbiota appear to precede the onset of NEC [68], although how well the fecal microbiota reflects inflammatory changes in the small bowel has yet to be proven. It is also not clear that changes in the intestinal microbiome have a cause and effect relationship. Another research question to be explored is whether incompetency of the ileo-cecal valve with reflux of colonic bacteria into the ileum plays a role in the pathogenesis of neonatal NEC. Colonic imaging studies in premature infants suggest that the ileocecal valve is reasonably competent despite immaturity; however, the impact of inflammation on ileocecal valve competence in premature infants is unknown.

**Clinical Evidence Supporting a Central Role for PCs in NEC**

There is other evidence that PCs are involved in the pathogenesis of NEC. Antenatal corticosteroids reduce the incidence of respiratory distress syndrome in preterm infants, but they also lower the frequency of NEC [69]. This effect corresponds with accelerated maturation of PCs after immature opossums are given hydrocortisone [70].

EGF is present in human milk and reduces the incidence of NEC in the neonatal rat model of disease [4]. Autophagy is activated in the intestinal epithelium of NEC patients and in the ileum of rats with NEC. Enteral administration of EGF blocks intestinal autophagy both in vivo and in vitro [71]. Thus, EGF-mediated protection from NEC-related injury is associated with regulation of intestinal autophagy. Interestingly, EGF is localized to PCs in neonatal rats [72]. These concurrences become more compelling because autophagy is associated with the pathogenesis of Crohn’s disease [73]. The concept of disordered autophagy in PCs and the pathogenesis of NEC require future basic and clinical studies.

Hospialized preterm infants that are fed probiotic bacteria have a reduced prevalence of NEC [74, 75]. When diabetes-prone rats are fed *Lactobacillus johnsonii*, an increased number of PCs are seen in the intestine [76]. Based on reduced numbers of PCs in the bowel of infants with NEC [30, 61], one must consider that probiotics may afford protection against the disease by enhancing the PC population. However, an effective and safe licensed supplement of probiotic bacteria that can be used enterally in preterm infants to achieve this goal is currently not available.

Lastly, intelectin is a specific lectin expressed on the surface of PCs and goblet cells in the small intestine. In-
Fig. 4. The "bottom up" hypothesis of NEC. The sequence of events associated with the "bottom up" hypothesis is identified by bold arabic numbers. On the luminal surface of PCs, lipopolysaccharide (LPS) receptors CD14 and Toll-like receptor 4 (TLR4) are identified. Additionally, the TLR5 that binds flagellin and the TLR9 that binds CpG are shown. Disruption of PCs releases their granules into the crypt space, while cytokines likely undergo basolateral discharge into the lamina propria and its adjacent microvasculature. Released cytokines (TNF-α, IL-1β, IL-17, IL-18) and other mediators (PLA2) activate inflammation and injure endothelium. Altered endothelia enhance neutrophil and platelet attachment to their surface. Intravascular inflammation initiates fibrin aggregation to the endothelium, adherent platelets and microbes that have invaded the disrupted crypts. This phenomenon also generates PAF and other mediators that cause downstream vasoconstriction in the intestinal villi. The low flow state and intravascular coagulopathy extend to the submucosal vessels and thrombotic occlusion takes place. This vascular obstruction causes coagulation necrosis of the intestinal mucosa, a common histologic finding in NEC. Finally, microorganisms that have invaded the disrupted crypts move to the submucosa, ferment sugars and produce gas, and thus are the origins of pneumatosis intestinalis, the pathognomonic sign of NEC.
telectin is proposed to serve a protective role in the innate immune response [77]. Bovine lactoferrin binds to human intelectin [78]. These two observations provide a possible mechanism whereby lactoferrin in breast milk binds to PCs and enhances their integrity and/or functions. Since lactoferrin binds endotoxin, its binding to the intelectin receptor may prime host defenses in epithelia and dendritic cells for Th1 responses rather than create a proinflammatory state [79]. This conjecture comes to life because enterally administered bovine lactoferrin reduces late-onset sepsis, and there is a trend towards decreasing the occurrence of NEC in very preterm infants [80].

The aforementioned scientific observations are persuasive reasons why PCs may have a major role in preventing NEC through a variety of already recognized interventions. These findings will hopefully focus research on PCs and the crypts of Lieberkühn as an anatomic location wherein the final pathway to NEC originates.

Conclusions

PCs utilize the secretion of defensins and other microbiocidal peptides to (1) kill invasive pathogens, (2) shape the intestinal microbiota, and (3) protect intestinal stem cells from harm. At the base of the crypts, PCs are strategically placed to secrete angiogenins during vascular development of the villi and to regenerate blood vessels after injuries to the gut. At the base of the crypts, PCs can also send danger signals to the villi and the submucosa when host defenses are failing. The mediators include cytokines and vasoactive mediators. The inflammation causes vascular obstructions that devitalize the intestine. However, this vascular occlusion may be effective in limiting massive microbial entry from intestinal lumen into the bloodstream. Cooperation between stem cells and PCs in a niche localized to the crypts is essential for normal renewal of epithelia needed to cover the intestinal surface. Moreover, after the intestine is seriously damaged by infection or other insults, PCs play an equal key role in initiating and maintaining healing.

Given the anatomic location of PCs, one can reasonably envision how destruction of PCs leads to bacterial invasion, intense inflammation, pneumatosis intestinalis, and vascular occlusion in the submucosa. These events are the final pathway to NEC. Based on these arguments, we suggest that the intestinal crypt rather than the apex of the villus holds the key to understanding NEC. We hope that future studies aimed at elucidating the role of the PC in NEC will lead to new and important advances in the field and, in turn, help protect prematurely born infants from this devastating disease.

The traditional hypothesis or the ‘top down’ model of NEC has been proposed for many years (fig. 1). This review hypothesizes an alternative pathophysiology for NEC that involves PCs and the crypts of Lieberkühn in a ‘bottom up’ scenario (fig. 4). Investigators should consider both theories regarding the pathogenesis of NEC as researchers and caregivers move forward to understand and prevent this disease and improve the health outcomes of preterm infants.

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Disclosure Statement

Drs. McElroy, Underwood and Sherman have no financial conflicts of interest to report.

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