Influence of Cranberry Proanthocyanidins on Formation of Biofilms by *Streptococcus mutans* on Saliva-Coated Apatitic Surface and on Dental Caries Development in vivo

H. Koo\(^{a-c}\) S. Duarte\(^a\) R.M. Murata\(^a\) K. Scott-Anne\(^b\) S. Gregoire\(^b\) G.E. Watson\(^a\) A.P. Singh\(^d\) N. Vorsa\(^d,e\)

\(^{a}\) Eastman Department of Dentistry, \(^{b}\) Center for Oral Biology, and \(^{c}\) Department of Microbiology and Immunology, University of Rochester Medical Center, Rochester, N.Y. \(^{d}\) Department of Plant Biology and Plant Pathology, Rutgers University, New Brunswick, N.J., and \(^{e}\) Philip E. Marucci Center for Blueberry and Cranberry Research and Extension, Rutgers University, Chatsworth, N.J., USA

**Key Words**

Biofilm • Cranberry • Dental caries • Extracellular matrix • Glucans • Glucosyltransferases • Proanthocyanidins

**Abstract**

Cranberry crude extracts, in various vehicles, have shown inhibitory effects on the formation of oral biofilms in vitro. The presence of proanthocyanidins (PAC) in cranberry extracts has been linked to biological activities against specific virulence attributes of *Streptococcus mutans*, e.g. the inhibition of glucosyltransferase (Gtf) activity. The aim of the present study was to determine the influence of a highly purified and chemically defined cranberry PAC fraction on *S. mutans* biofilm formation on saliva-coated hydroxyapatite surface, and on dental caries development in Sprague-Dawley rats. In addition, we examined the ability of specific PAC (ranging from low-molecular-weight monomers and dimers to high-molecular-weight oligomers/polymers) to inhibit GtfB activity and glycolytic pH drop by *S. mutans* cells, in an attempt to identify specific bioactive compounds. Topical applications (60-second exposure, twice daily) with PAC (1.5 mg/ml) during biofilm formation resulted in less biomass and fewer insoluble polysaccharides than the biofilms treated with vehicle control had (10% ethanol, v/v; \(p < 0.05\)). The incidence of smooth-surface caries in rats was significantly reduced by PAC treatment (twice daily), and resulted in less severe carious lesions compared to the vehicle control group (\(p < 0.05\)); the animals treated with PAC also showed significantly less caries severity on sulcal surfaces (\(p < 0.05\)). Furthermore, specific A-type PAC oligomers (dimers to dodecamers; 0.1 mg/ml) effectively diminished the synthesis of insoluble glucans by GtfB adsorbed on a saliva-coated hydroxyapatite surface, and also affected bacterial glycolysis. Our data show that cranberry PAC reduced the formation of biofilms by *S. mutans* in vitro and dental caries development in vivo, which may be attributed to the presence of specific bioactive A-type dimers and oligomers.

Oral diseases induced by dental plaque continue to afflict the majority of the world’s population. Among them, dental caries is the single most prevalent and costly oral infectious disease [Marsh, 2003]. This ubiquitous disease results from the interaction of specific bacteria and constituents of the diet within plaque (a natural biofilm) formed on tooth surfaces. *Streptococcus mutans* is a key
The majority of biofilm matrices are rich in polysaccharides, and dental plaque is no exception [Guggenheim, 1970; Hotz et al., 1972; Emilion et al., 1984; Bowen, 2002]. These polysaccharides, mostly glucans synthesized by microbial glucosyltransferases (Gtf), are complex in structure, which change over time during the extracellular matrix development [Kopec et al., 1997; Hayacibara et al., 2004; Reese and Guggenheim, 2007; Xiao and Koo, 2009]. The Gtf secreted by *S. mutans* (particularly GtfB and GtfC) bind avidly to the pellicle formed on the tooth surface and to surfaces of other oral microorganisms, and are highly active in the adsorbed state [Rölla et al., 1983; Schilling and Bowen, 1988; Vacca Smith and Bowen, 1998]. The glucans synthesized by surface-adsorbed GtfB and GtfC provide specific binding sites for bacterial colonization on the tooth surface and to each other [Schilling and Bowen, 1992], thus contributing to the initial steps of cariogenic plaque development in vivo [Tanzer et al., 1985; Yamashita et al., 1993]. If dental plaque is allowed to remain on tooth surfaces and is exposed to dietary carbohydrates frequently (especially sucrose), *S. mutans* as a member of the plaque community will continue to synthesize polysaccharides and metabolize the sugars to organic acids. The elevated amount of EPS increases the biofilm stability and structural integrity, and provides protection to the bacteria from antimicrobials and other environmental assaults [Paes Leme et al., 2006; Flemming et al., 2007]. In addition, the ability of *S. mutans* to utilize some extracellular polysaccharides as short-term storage compounds offers an additional ecological benefit simultaneously increasing the amount of acid production and the extent of acidification. The persistence of this acidic environment leads to the selection of a highly acid-tolerant flora [Quivey et al., 2000; Marsh, 2003; Marquis et al., 2003]; the low pH environment within the plaque’s matrix results in the dissolution of enamel, thus initiating the dental caries process. Therefore, EPS and acidification of the biofilm’s matrix are critical for the formation and establishment of cariogenic dental plaque [Bowen, 2002; Marsh, 2003], and offer primary targets for chemotherapeutic intervention. The development of novel chemotherapeutic approaches, other than the use of microbiocides, that disrupt the establishment and virulence of biofilms is a promising route to prevent or reduce infectious diseases such as dental caries [Cegelski et al., 2008; Koo, 2008].

Cranberry fruit (Vaccinium macrocarpon Ait., Ericaceae) is a uniquely rich source of various classes of bioactive flavonoids including the flavonols, anthocyanins and proanthocyanidins (PAC), offering a significant therapeutic potential for biofilm-related diseases [Cunningham et al., 2004]. Cranberry juice and hydroalcoholic crude extracts also prevent bacterial adherence to apatitic surfaces and reduce the formation of biofilms by oral bacteria in vitro [Weiss et al., 2002; Steinberg et al., 2002; Yamanaka et al., 2004; Koo et al., 2006; Duarte et al., 2006]. Recently, we have shown that topical applications of PAC-enriched extract significantly reduced the formation, insoluble polysaccharide content and acidogenicity of *S. mutans* biofilms in vitro without displaying bactericidal effects [Duarte et al., 2006; Gregoire et al., 2007]. The biological actions were attributed to the combined inhibitory effects of PAC on the activity of surface-adsorbed GtfB and GtfC, and on bacterial glycolysis [Duarte et al., 2006; Gregoire et al., 2007]. However, their potential usefulness to prevent or reduce oral diseases has not been evaluated in vivo, and little is known about the putative PAC bioactivity against *S. mutans* [Gregoire et al., 2007] or other oral pathogens [La et al., 2009].

Therefore, the aims of this study were (1) to examine the influence of a highly purified and chemically defined PAC fraction on the development of dental caries in vivo using a well-established rodent model [Bowen et al., 1988], and (2) to determine the inhibitory effects of specific PAC (ranging from low-molecular-weight monomers and dimers to high-molecular-weight oligomers/polymers) on glucan synthesis and glycolytic pH drop by *S. mutans* cells in an attempt to identify the bioactive cranberry compounds.

**Materials and Methods**

**Cranberry PAC**

PAC were extracted from fruit of the cranberry variety ‘Stevens’ harvested at Rutgers University, P.E. Marucci Center, during September/October 2006 and kept frozen at –20°C; the ‘Stevens’ variety is the main cultivar used in the production of commercially available cranberry products [Cunningham et al., 2004; Duarte et al., 2006]. Cranberry is an appealing source of PAC because it (1) harbors unique A-type PAC in higher concentrations than in other natural food products, (2) is a readily available and commonly consumed fruit, and (3) can be selectively bred to produce elevated levels of biologically active PAC. The PAC were isolated from cranberry hydroethanolic extracts, using aqueous ac-
etone extraction followed by an ethyl acetate extraction and LH-20 column chromatography, and dried using a rotavap as detailed elsewhere [Wilson et al., 2008; Singh et al., 2008]. The PAC composition was characterized using high-performance liquid chromatography (HPLC)-photodiode/electrochemical detection and matrix-assisted laser desorption/ionization (MALDI) time-of-flight (TOF) mass spectrometry (MS) [Wilson et al., 2008; Singh et al., 2008], and further confirmed using LC-MS-MS in product ion scan mode followed by a multiple reaction monitoring (MRM) scan. The identification of high-molecular oligomeric PAC were performed on an API-3000 tandem mass spectrometer (Applied Biosystems, Foster City, Calif., USA) using an electrospray ionization source in negative-ion mode and the following conditions: curtain gas = 10 psi, nebulizer gas = 9 psi, collisionally activated dissociation gas = 6 psi, TurbolonSpray (ion spray) voltage = –4,200 V, entrance potential = –10 V, collision cell exit potential = –8 V, source temperature = 400 °C, declustering potential = –60 V. Analytical data were acquired by the Analyst software, version 1.4.2. The product ion spectra for oligomeric PAC and total ion chromatogram in MRM mode are shown in figure 1.

**Bacterial Strains**

*S. mutans* UA159, a virulent cariogenic pathogen and the strain selected for genomic sequencing [Ajdić et al., 2002], was used for biofilm and animal studies. *S. anginosus* KSB8 construct (kindly provided by Howard K. Kuramitsu, State University of New York, Buffalo, N.Y., USA), which harbors the *gtfB* gene from *S. mutans* GS-5, was used for the production of GtB (responsible for the synthesis of glucans rich in α,1,3-linked glucose). The cultures were stored at –80 °C in tryptic soy broth containing 20% glycerol.

**Biofilm Preparation**

Hydroxyapatite disks (surface area of 2.7 ± 0.2 cm²; Clarkson Chromatography Products Inc., South Williamsport, Pa., USA) were coated with filter-sterilized (0.22 μm; polyester sulfone low-protein-binding filter; Millipore Co., Bedford, Mass., USA) clarified human whole saliva for 1 h at 37 °C; whole saliva was collected on ice from 1 donor who chewed paraffin film, and it was clarified by centrifugation (8,500 g, 4 °C, 10 min) [Koo et al., 2000]. Biofilms of *S. mutans* UA159 (ATCC 700610) were formed on saliva-coated hydroxyapatite (sHA) disks placed in a vertical position using a disk holder in ultrafiltered (Amicon 10-kDa molecular weight cutoff membrane; Millipore Co.) tryptone-yeast extract broth by addition of 30 mM sucrose at 37 °C and 5% CO2 for 5 days [Koo et al., 2005]. During the first 24 h, the organisms were grown undisturbed to allow initial biofilm formation; the biofilms (24 h old) were then treated twice daily (at 10 a.m. and 4 p.m.) until the 5th day of the experimental period (120-hour-old biofilms) with one of the following: (1) PAC (1.5 mg of PAC dry weight/ml); (2) 250 ppm of F (as sodium fluoride; positive control), or (3) vehicle control (10% ethanol, v/v; negative control). The presence of 10% ethanol did not affect the ionization of fluoride as determined experimentally. In addition, treatments with 10% ethanol (1-min exposure, twice daily) allowed the continued formation of biofilm, and did not affect the biochemical composition and cell viability when compared to biofilms treated with saline solution. The biofilms were exposed to the treatments for 1 min, dip-rinsed 3 times in sterile saline solution (to remove excess agents or vehicle control) and transferred to fresh culture medium. The treatments and rinsing procedures were repeated 6 h later. Each biofilm was exposed to the respective treatment a total of 8 times. Biofilm assays were performed in quadruplicate in at least 3 different experiments.

**Biofilm Analyses**

At the end of the experimental period (120-hour-old biofilms), the biofilms were removed and subjected to sonication using three 30-second pulses at an output of 7 W (Branson Sonifier 150; Branson Ultrasonics, Danbury, Conn., USA) [Koo et al., 2003]. The homogenized suspension was analyzed for biomass (dry weight), total protein (by acid digestion followed by ninhydrin assay) [Moore and Stein, 1954] and polysaccharide composition. The extracellular water-soluble and -insoluble polysaccharides, and intracellular iodophilic polysaccharides were extracted and quantified by colorimetric assays as detailed by Koo et al. [2003] and Duarte et al. [2008]. Briefly, an aliquot (4 ml) of the biofilm suspension was centrifuged at 10,000 g for 10 min at 4 °C. The supernatant was collected and the biofilm pellet resuspended and washed in the same volume of water; this procedure was repeated twice. All the supernatants were pooled and 3 volumes of cold ethanol added, and the resulting precipitate (or water-soluble polysaccharides) collected and washed with cold ethanol; the total amount of carbohydrate was determined by the phenol-sulfuric method [Dubois et al., 1956]. The biofilm pellet was dried in a Speed Vac concentrator and used for the determination of (i) extracellular insoluble polysaccharides and (ii) intracellular iodophilic polysaccharides. The insoluble polysaccharides were extracted using 1 N NaOH (1 mg of biofilm dry weight/0.3 ml of 1 N NaOH) under agitation for 2 h at 37 °C and quantified by the phenol-sulfuric method. The intracellular iodophilic polysaccharides were extracted with hot 5.3 mM KOH (0.8 mg of biofilm dry weight/ml of KOH) and quantified using 0.2% I2/2% KI solution and glycogen as a standard, as described by DiPersio et al. [1974]. Furthermore, the pH of the culture medium was measured daily at specific time points (60, 120 and 240 min after medium replacement) by a glass electrode (Futura Micro Combination pH electrode; 5 mm diameter; Beckman Coulter Inc., Calif., USA).

**Isolation of PAC Dimers and Oligomer Classes**

The crude PAC were isolated using directly coupled HPLC-MS and MALDI-TOF-MS systems [Wilson et al., 2008; Singh et al., 2008]. Briefly, PAC containing fractions obtained from methanol and 80% acetone elution were reloaded on a Sephadex LH-20 column (glass column; size: 100 × 45 mm) and eluted with increased gradients of a methanol and water (10, 20, 30, 40, 60 and 80% methanol/water) mixture. The PAC obtained from the 80% acetone/water elution was again chromatographed using a Sephadex LH-20 column. The greater portion of dimers and oligomeric PAC (less than hexamers) were selectively eluted using a mixture of methanol and water (80% methanol/water) while highly polymerized PACs (DP-6 to DP-12) remained in the column and were successively eluted with 90% methanol/water, 100% methanol and, finally, with 60/80% acetone/water to obtain polymeric PAC of molecular weight with a degree of polymerization (DP) ranging from DP-6 to DP-12 and a DP of >12 (pentadecamers). The fractions were further separated based on the DP using an MCI gel (CHP 20P) highly porous polymer column. The MCI gel column was successively eluted with 10–50% metha-
Fig. 1. MRM chromatogram of oligomeric PAC in the cranberry PAC fraction tested in this study. DP = Degree of polymerization. 

a Product ions from DP-2 to DP-10. Y axis: peak intensity as counts per second. X axis: mass for product ion in MRM mode as Q1/Q3.

b An example of total ion chromatogram in MRM mode representing peaks 1 to 11. Y axis: intensity of peaks in counts per second. X axis: time/min. Peaks 1 and 2 represent dimers, 3–5 trimers, 6–7 tetramers, 8–9 pentamers, 10 a hexamer and 11 a heptamer. DP-8 to DP-10 are not shown.
concentration of ethanol and the addition of DMSO were necessary. DMSO) as described elsewhere [Koo et al., 2000]; a higher concentration of agents (0.1 mg/ml) or the vehicle control (15% ethanol and 2.5% DMSO) was used in the presence of test whole saliva (sHA; free of Gtf activity), in the presence of test glucans. The Gtf enzyme added to each sample for all assays was equivalent to the amount required to incorporate 1–1.5 μmol of glucose over the 4-hour reaction (1.0–1.5 U).

The activity of Gtf was determined by the enzymes in solution or adsorbed to hydroxyapatite beads (Macro-Prep Ceramic Hydroxyapatite Type I; 80 μm; Bio-Rad) coated with clarified whole saliva (sHA; free of Gtf activity), in the presence of test agents (0.1 mg/ml) or the vehicle control (15% ethanol and 2.5% DMSO) as described elsewhere [Koo et al., 2000]; a higher concentration of ethanol and the addition of DMSO were necessary to dissolve some of the PAC, especially epicatechin and procyanidin A2. The final pH of the reaction mixture was approximately 6.5, which is within the optimum pH of Gtf either in solution or adsorbed on the surface [Schilling et al., 1988].

Effects of PAC Isolates on GtfB Activity
The GtfB enzymes (E.C. 2.4.1.5) were prepared from culture supernatants and purified to near homogeneity by hydroxyapatite column chromatography as described by Koo et al. [2000]. Gtf activity was measured by the incorporation of [14C]glucose from labeled sucrose (NEN Research Products, Boston, Mass., USA) into glucans. The Gtf enzyme added to each sample for all assays was equivalent to the amount required to incorporate 1–1.5 μmol of glucose over the 4-hour reaction (1.0–1.5 U).

The activity of GtfB was determined by the enzymes in solution or adsorbed to hydroxyapatite beads (Macro-Prep Ceramic Hydroxyapatite Type I; 80 μm; Bio-Rad) coated with clarified whole saliva (sHA; free of Gtf activity), in the presence of test agents (0.1 mg/ml) or the vehicle control (15% ethanol and 2.5% DMSO) as described elsewhere [Koo et al., 2000]; a higher concentration of ethanol and the addition of DMSO were necessary to dissolve some of the PAC, especially epicatechin and procyanidin A2. The final pH of the reaction mixture was approximately 6.5, which is within the optimum pH of GtfB either in solution or adsorbed on the surface [Schilling et al., 1988].

Effects of PAC Isolates on Glycolytic pH Drop by S. mutans
The effects of the cranberry PAC on glycolysis were measured by standard pH drop using dense cell suspensions as previously described by Belli et al. [1995]. Cells of S. mutans UA159 from suspension cultures were harvested, washed once with salt solution (50 mM KCl plus 1 mM MgCl2; pH 7.0) and resuspended in salt solution containing the test agents (0.1 mg/ml) or vehicle control. Glucose was added to the mixture to give a final concentration of 1% (w/v), and the decrease in pH was assessed by means of a glass electrode (Futura Micro Combination pH electrode) over a period of 60 min.

Animal Studies
The animal experiment was performed using methods previously described [Bowen et al., 1988; Koo et al., 2005]. Female Sproage-Dawley rats aged 14 days were purchased with their dams from Harlan Inc. (Indianapolis, Ind., USA). The dams, while still nursing, were infected by mouth with an actively growing culture of S. mutans UA159 [Bowen et al., 1988; Yamashita et al., 1993], and reinoculated the next day. The animals were fed Diet 2000 (56% sucrose; Ziegler Bros., Gardners, Pa., USA) and offered 5% sucrose water to drink ad libitum to enhance the infection by S. mutans. At the age of 21 days, the pups were weaned and their infection checked. The animals were then randomly placed into 3 groups of 16 animals, and their teeth treated topically using a camel’s hair brush twice daily as follows: (1) PAC (1.5 mg/ml in 10% ethanol, v/v); (2) 250 ppm of F (as positive control), or (3) vehicle control (10% ethanol, v/v; as negative control). The animals were provided with Diet 2000 and 5% sucrose water ad libitum [Bowen et al., 1988; Koo et al., 2005]. The experiment proceeded for 5 weeks, and all animals were weighed weekly and their physical appearance noted daily. At the end of the experimental period, the rats were sacrificed by CO2 asphyxiation. The left jaw was aseptically dissected and sonicated in 5.0 ml of 154 mM sterile NaCl solution. The suspensions obtained were streaked on mitis salivarius agar (Difco) plus bacitracin (Sigma) to estimate the S. mutans population, and on blood agar (blood agar base plus 5% sheep blood) to determine the total cultivable flora [Bowen et al., 1988]. All of the jaws were defleshed, and the teeth prepared for caries scoring by means of Larson’s modification of Keyes’ system [Larson, 1981]. The determination of the caries score was blinded by codification of the jaws and was done by 1 calibrated examiner. This study was reviewed and approved by the University of Rochester Committee on Animal Resources (UCAR No. 2003-124; University of Rochester Medical Center, Rochester, N.Y., USA).

Statistical Analyses
For the in vitro studies, an exploratory data analysis was performed to determine the most appropriate statistical test; the assumptions of equality of variances and normal distribution of errors were also checked. The data were then analyzed using ANOVA, and the F test was used to test any difference between the groups. When significant differences were detected, a pairwise comparison was made between all the groups using Tukey’s method to adjust for multiple comparisons. For the animal study, an analysis of outcome measures was done with transformed values of the measures in order to stabilize variances as detailed in Reutgers et al. [1999]; smooth-surface and sulcal caries scores were expressed as proportions of their maximum possible values (124 and 56, respectively), and the arcsine transformation was applied. The data were then subjected to ANOVA in the Tukey-Kramer Honest Standard Deviation (HSD) test for all pairs. The statistical software JMP, version 3.1 (SAS Institute, Cary, N.C., USA), was used to perform the analyses. The level of significance was set at 5%.

Results
The purified PAC fraction tested in this study consisted of a mixture of low-molecular-weight epicatechin (monomer) and oligomers ranging from dimers (procyanidin A3) to high-molecular-weight oligomers ranging in DP of 3–10 largely epicatechin units, as well as larger high-molecular-weight polymers (DP of >10) (fig. 1). The fraction contained a higher percentage of dimers (8.0%), trimers (16.6%), tetramers (20.7%), pentamers (2.5%), octamers (4.0%), nonamers (6.0%), decamers (6.3%) and pentadecamers (3.6%) than monomers (less than 0.01%) and other oligomeric PAC (total combined: 32.9%) based on relative percentage (percent by weight), and were devoid of any other phenolic (e.g. phenolic acids, flavonols and anthocyanins) and sugar contaminants.
The topical applications of PAC (1-min exposure, twice daily) significantly reduced the biomass (dry weight) and total amount of extracellular insoluble polysaccharides of \textit{S. mutans} biofilms (35–40% reduction) compared with the vehicle control (10% ethanol, v/v) treatments (p < 0.05) (table 1); however, the PAC did not affect the accumulation of intracellular polysaccharides in the biofilms. Fluoride at 250 ppm also showed inhibitory effects on the biomass, and especially on the amounts of intracellular polysaccharides in the treated biofilms (vs. vehicle control; p < 0.05). Furthermore, all test agents significantly reduced the acidogenicity of the biofilms, as indicated by higher pH values of the surrounding medium (at 240 min after initial treatment) compared with those of the vehicle-treated biofilms (p < 0.05). The number of viable cells, and the amount of protein and soluble polysaccharides in the biofilms treated with the test agents did not differ statistically from that of the vehicle-treated biofilms (p > 0.05; data not shown).

The chemical structures and the bioactivity of individual low- and high-molecular-weight cranberry PAC are shown in figure 2 and table 2. In general, the PAC – with the exception of epicatechin (monomer) – were highly effective inhibitors of GtfB activity either in solution or adsorbed on the sHA surface. Clearly, PAC with a DP of 4–12 were potent inhibitors of the activity of surface-adsorbed GtfB (40–70% inhibition). In contrast, the PAC oligomers (DP of >3) showed modest effects on acid

---

Table 1. \textit{S. mutans} UA159 biofilm composition after treatments\(^1\)

<table>
<thead>
<tr>
<th>Treatment</th>
<th>Vehicle control</th>
<th>PAC (1.5 mg/ml)</th>
<th>250 ppm fluoride</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dry weight, mg</td>
<td>5.6 (0.4)(^a)</td>
<td>3.4 (0.2)(^b)</td>
<td>4.6 (0.3)(^c)</td>
</tr>
<tr>
<td>Insoluble glucans, mg</td>
<td>2.3 (0.2)(^a)</td>
<td>1.5 (0.1)(^b)</td>
<td>1.9 (0.2)(^a,b)</td>
</tr>
<tr>
<td>IPS, mg</td>
<td>0.22 (0.05)(^a)</td>
<td>0.24 (0.05)(^a)</td>
<td>0.12 (0.04)(^b)</td>
</tr>
<tr>
<td>pH (240 min after treatment)(^2)</td>
<td>5.2 (0.1)(^a)</td>
<td>5.6 (0.2)(^b)</td>
<td>6.0 (0.1)(^c)</td>
</tr>
</tbody>
</table>

Values (n = 12) are means with SD in parentheses. Values followed by the same superscripts are not significantly different from each other (p > 0.05; ANOVA; comparison for all pairs using Tukey’s test). IPS = Intracellular polysaccharides.

\(^1\) Twice daily with 1-min exposure to each treatment.
\(^2\) The initial pH of the culture medium was 7.2 ± 0.1. The pH values at 60 and 120 min were not significantly different from each other (p > 0.05).
production; procyanidin A2 was the most effective agent against *S. mutans* acidogenicity. None of the PAC displayed antibacterial (biocidal) activity at concentrations tested in this study.

In the animal experiment, the rats remained in apparent good health during the 5-week experiment; weight gains were not significantly different among the vehicle control and test agent groups (*p* > 0.05). The incidence and severity of smooth-surface caries were significantly reduced in the groups treated with PAC and 250 ppm of F (twice daily) compared with the vehicle controls (*p* < 0.05) (table 3) despite negligible effects on the counts of both the total and *S. mutans* populations recovered from the animal’s plaque (vs. control; *p* > 0.05; data not shown). The PAC treatments resulted in 40–45% less severe smooth-surface lesions than the vehicle control treatment (at Dm, 3/4 of the dentin affected, and Dx, whole dentin affected, levels; *p* < 0.05). The groups treated with 250 ppm of F (positive control) had significantly less smooth-surface caries and less severe lesions than those treated with PAC (p < 0.05). The incidence and severity of sulcal-surface caries (at the Ds, dentin exposed, level) were significantly reduced only in the groups treated with 250 ppm of F (*p* < 0.05) (table 4). However, the sulcal-surface caries severity scores at the Dm and Dx levels were reduced by both PAC and 250-ppm F treatments when compared to the vehicle control group (*p* < 0.05).

### Table 2. Biological activity of isolated cranberry PAC

<table>
<thead>
<tr>
<th>Agents</th>
<th>GtfB in solution</th>
<th>GtfB on surface</th>
<th>Inhibition of acid production¹</th>
</tr>
</thead>
<tbody>
<tr>
<td>Epicatechin (monomer)</td>
<td>18.2 (2.9)</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Procyanidin A2 (dimer)</td>
<td>15.8 (3.5)</td>
<td>27.6 (6.3)</td>
<td>+</td>
</tr>
<tr>
<td>A-type PAC trimers</td>
<td>77.5 (2.1)</td>
<td>29.4 (3.6)</td>
<td>+</td>
</tr>
<tr>
<td>A-type PAC tetramers</td>
<td>78.0 (1.6)</td>
<td>36.7 (5.1)</td>
<td>+</td>
</tr>
<tr>
<td>PAC extract (DP-4 to DP-6)</td>
<td>84.8 (1.4)</td>
<td>52.4 (5.5)</td>
<td>+</td>
</tr>
<tr>
<td>PAC extract (DP-7 to DP-9)</td>
<td>91.5 (1.1)</td>
<td>69.5 (4.5)</td>
<td>+</td>
</tr>
<tr>
<td>PAC extract (DP-9 to DP-12)</td>
<td>94.5 (3.2)</td>
<td>53.5 (7.5)</td>
<td>+</td>
</tr>
<tr>
<td>PAC extract (&gt;DP-12)</td>
<td>54.4 (1.5)</td>
<td>42.6 (2.1)</td>
<td>+</td>
</tr>
</tbody>
</table>

Values denote mean percentages of inhibition with SD in parentheses. The percentages were calculated considering the vehicle control as the maximum GtfB activity (n = 6). None of the test agents were bactericidal against *S. mutans*.

¹ Based on ΔpH measurement (pH<sub>test agent</sub> − pH<sub>control</sub> at 30 or 60 min after glucose pulse; n = 6). + = ΔpH 0.1–0.2; + + = ΔpH ≥0.21; − = no significant effect (the values were not significantly different from vehicle control; *p* > 0.05; ANOVA; comparison for all pairs using Tukey’s test).

### Table 3. Effects of treatments on development (smooth-surface and severity) of dental caries in rats (Keyes’ score)

<table>
<thead>
<tr>
<th></th>
<th>Vehicle control</th>
<th>PAC (1.5 mg/ml)</th>
<th>250 ppm fluoride</th>
</tr>
</thead>
<tbody>
<tr>
<td>Smooth-surface caries</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ds</td>
<td>65.7 (15.8)</td>
<td>53.1 (12.9)</td>
<td>31.1 (10.3)</td>
</tr>
<tr>
<td>Smoothing severity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ds</td>
<td>55.6 (16.2)</td>
<td>40.8 (13.1)</td>
<td>9.6 (5.6)</td>
</tr>
<tr>
<td>Dm</td>
<td>39.2 (16.1)</td>
<td>23.1 (10.3)</td>
<td>3.2 (3.5)</td>
</tr>
<tr>
<td>Dx</td>
<td>30.7 (13.9)</td>
<td>16.9 (9.2)</td>
<td>1.7 (2.5)</td>
</tr>
</tbody>
</table>

Values denote means with SD in parentheses (n = 16). Values followed by the same superscripts are not significantly different from each other (*p* < 0.05; ANOVA; comparison for all pairs using the Tukey-Kramer HSD). Ds = Dentin exposed; Dm = ¾ of the dentin affected; Dx = whole dentin affected.

### Table 4. Effects of treatments on development (sulcal-surface caries and severity) of dental caries in rats (Keyes’ score)

<table>
<thead>
<tr>
<th></th>
<th>Vehicle control</th>
<th>PAC (1.5 mg/ml)</th>
<th>250 ppm fluoride</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sulcal-surface caries</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ds</td>
<td>52.9 (2.1)</td>
<td>51.1 (2.6)</td>
<td>40.4 (9.5)</td>
</tr>
<tr>
<td>Sulcal-surface severity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ds</td>
<td>45.4 (1.7)</td>
<td>43.4 (2.7)</td>
<td>35.2 (8.2)</td>
</tr>
<tr>
<td>Dm</td>
<td>33.7 (6.5)</td>
<td>25.0 (5.8)</td>
<td>12.1 (7.2)</td>
</tr>
<tr>
<td>Dx</td>
<td>24.3 (6.7)</td>
<td>17.1 (7.4)</td>
<td>5.1 (5.4)</td>
</tr>
</tbody>
</table>

Values denote means with SD in parentheses (n = 16). Values followed by the same superscripts are not significantly different from each other (*p* < 0.05; ANOVA; comparison for all pairs using the Tukey-Kramer HSD).

### Discussion

PAC are polymers of flavan-3-ols, having a common C6-C3-C6 flavone skeleton. In cranberry, PAC polymers are built upon monomers of epicatechin, and are predominantly found in oligomeric (up to 10 monomeric units) and polymeric forms (>10 units, known as condensed tannins) with at least 1 A-type double interflavan linkage (fig. 2); A-type PAC are uniquely found in high concentrations in cranberry, and have been shown to be biologically active [Cunningham et al., 2004]. PAC at 1.5 mg/ml was selected based on data from our previously published and unpublished response-to-dose studies, and is within the concentration normally found in cranberry juice and extracts [Cunningham et al., 2004;
The PAC fraction from cranberry extract consisted of an array of largely epicatechin oligomers ranging in DP from 2 to over 10. Therefore, we examined purified individual compounds and fractions having specific DP ranges of high-molecular-weight PAC, with the intent to identify precisely the constituents that are associated with the bioactivity of cranberry PAC extract. In general, the inhibitory activity of PAC enhanced when the DP increased from 2 to 12 monomeric units. However, a further increase in DP did not increase but actually diminished the Gtf inhibition activity of PAC. It is apparent that PAC with a DP ranging from 4 to 12 are optimal to effectively inhibit surface-adsorbed GtfB (40–70% inhibition at 0.1 mg/ml). Approximately 60% of the PAC fraction used in this study is comprised of A-type dimers through dodecamers; clearly, these are major bioactive compounds in cranberries. Further studies using individual PAC oligomers to determine the enzyme-binding sites and kinetics, and the effects on glucan structure, shall elucidate in more detail the structure-activity relationships (e.g. the role of DP and A-type linkage) associated with Gtf inhibition. In addition, an analysis of the effects on fructosyltranserase, which catalyzes a similar reaction but involves distinct monomeric units and glycosidic linkages, would contribute to a better understanding of the mechanisms of action of PAC.

The influences of the PAC fraction on the acidogenicity of S. mutans biofilms may be associated with the presence of procyanidin A3 (despite some inhibitory effects caused by oligomers). Recently, we have shown that procyanidin A3, a low-molecular-weight PAC dimer, disrupted both the acid production and acid tolerance of S. mutans cells as determined by glycolytic pH drop experiments, and partially inhibited the activity of membrane-associated F-ATPases [Gregoire et al., 2007]. In contrast, it is unlikely that larger PAC oligomers will directly affect the intracellular enzymes involved in the bacterial glycolysis. Nevertheless, it has been shown that PAC oligomers bind to bacterial membrane, and there is a correlation between binding properties with the presence of the A-type linkage and DP [Foo et al., 2000; Delehanty et al., 2007]. Cranberry PAC oligomers with a DP of 4 and 5, containing at least 1 A-type bond, displayed strong membrane-binding activity in Escherichia coli [Foo et al., 2000]. Thus, the oligomers may cause deleterious effects on acidogenicity by affecting the membrane components of the glycolytic pathway of S. mutans; our data, however, did not show a correlation between DP and effects on glycolytic pH drop.
In the last step of the study, we examined whether the antibiofilm activities of cranberry PAC observed in vitro would be translated into cariostatic effects in vivo, using a well-established rodent model of dental caries [Bowen et al., 1988; Koo et al., 2005]. The in vivo model simulates more precisely the variables encountered in the oral cavity such as exposure to salivary and cellular components, as well as hydrodynamic and abrasive forces. The presence of saliva is particularly important considering that salivary proteins (especially proline-rich proteins) are known to bind polyphenols [Bennick, 2002]. Furthermore, the rat harbors a complex and mixed oral flora even though it is infected by S. mutans. Daily exposure to the PAC fraction significantly disrupted the development of smooth-surface caries and, to a lesser extent, sulcal-surface carious lesions. The cariostatic effects of the topical application of PAC (30-second exposure, twice daily) to the animal’s mouth indicates that the active compounds are available at efficacious concentrations (despite the brief exposure and clearance of the agents in the oral cavity) when a sucrose-rich diet is ingested by the animals. The persistence of a therapeutic effect of a topically applied agent in the oral cavity is a highly desirable property in developing chemotherapeutic approaches to plaque-related oral diseases such as dental caries [Brecx et al., 1997]. The anticaries mechanisms of the PAC fraction may be related, in part, to their effective inhibition of surface-adsorbed GtfB and GtfC activities in addition to inhibitory effects on bacterial glycolysis [Duarte et al., 2006], which would attenuate the pathogenicity of S. mutans in vivo. This observation could be attributed to the presence of specific low- (dimers) and high-molecular-weight bioactive PAC oligomers (tetramers to dodecamers) in the extract. Earlier studies have shown that the deletion of genes controlling the production of Gtf, especially gtfB and gtfC, resulted in a dramatic decline in the virulence of S. mutans in vivo [Tanzer et al., 1985; Yamashita et al., 1993]. PAC were more effective in reducing the development of carious lesions on the smooth surface than on the sulcal surface (table 1). The data are consistent with a marked reduction in smooth-surface caries (but showing a less pronounced effect on the incidence of sulcal caries) observed in rats infected with mutants of mutants streptococci defective in the production of either one or both Gtf [Tanzer et al., 1985; Yamashita et al., 1993].

Treatment with PAC extract alone was not as effective as our positive control (250 ppm of F) in reducing caries development. Fluoride at 225–250 ppm is a clinically proven anticaries agent, and is the concentration found in most of the currently commercially available fluoride-based mouth rinses [Zero, 2006]. However, the overall cariostatic effects of PAC and fluoride may be enhanced without increasing the concentration of exposure when used in combination because fluoride, at micromolar levels found in plaque matrix, disrupts the insoluble glucan synthesis and acidogenicity of S. mutans in biofilms [Koo et al., 2008]. Further studies using individual oligomers and multispecies biofilm models in the presence of saliva shall elucidate the biological effects on complex ecology-host interactions and their influences on the EPS matrix development, which will advance our understanding of the exact mechanisms of action of these compounds. Moreover, additional response-to-dose studies on each of these oligomers and the inclusion of fluoride may provide an optimized combination of agents displaying enhanced therapeutic activity in vivo.

Standardization and characterization of natural products are key factors for a successful development of alternative, effective and useful therapeutic approaches to reduce or prevent human diseases [Schmidt et al., 2007]. In this context, cranberries are feasible and sustainable source of naturally occurring bioactive compounds because (1) cranberry is a chemically and genetically well-characterized fruit which can ensure a highly standardized source for PAC extraction, and (2) the cultivation procedures and PAC content profile during fruit development and maturation are well established, which is critical to determine the optimum harvest time for the highest PAC yield. Clearly, cranberry PAC show promise for the development of novel alternative or adjunctive anticaries chemotherapy.

**Acknowledgments**

The authors thank Dr. William H. Bowen for his critical reading of the manuscript, and Mr. Graham Gibson (Applied Biosystems) for his gift of the API-3000 LC-MS-MS instrument.

This research was supported by grants from the National Institute for Dental and Craniofacial Research (1R01DE016139-01; H.K.), the National Center for Complementary and Alternative Medicine (5R01AT002058; N.V.) and the Brazilian Government Agency – CAPES Foundation Grant BEX 0154/06-7 (scholarship to R.M.M.).
References


Cranberry Proanthocyanidins on S. mutans Cariogenicity

Caries Res 2010;44:116–126

125


