Chronic Obstructive Pulmonary Disease and Lung Cancer: New Molecular Insights

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Introduction

Chronic obstructive pulmonary disease (COPD) has been described as a ‘preventable and treatable disease with some significant extrapulmonary effects that may contribute to the severity in individual patients. Its pulmonary component is characterized by airflow limitation that is not fully reversible. The airflow limitation is usually progressive and associated with an abnormal inflammatory response of the lung to noxious particles or gases’ [1]. COPD prevalence, morbidity and mortality vary and are directly related to the prevalence of tobacco smoking except in developing countries where air pollution resulting from the burning of biomass fuels is also important [1, 2]. COPD is currently a leading cause of morbidity and mortality worldwide whose prevalence and burden are projected to increase due to smoke exposure and the changing age structure of the world population, particularly in women [1, 3]. COPD is characterized by a chronic inflammation of the lower airway and, importantly, the presence of COPD increases the risk of lung cancer up to 4.5-fold among long-term smokers [4–9].

COPD is by far the greatest risk factor for lung cancer amongst smokers and is found in 50–90% of patients with COPD. Further understanding of the molecular and cellular pathobiology that distinguishes smokers with lung cancer from smokers with and without COPD is needed to unravel the complex molecular interactions between COPD and lung cancer. By understanding the common signalling pathways involved in COPD and lung cancer the hope is that treatments will be developed that not only treat the underlying disease process in COPD, but also reduce the currently high risk of developing lung cancer in these patients.
lung cancer [10]. Even a small reduction in forced expiratory volume in 1 s (FEV1), a marker of airflow obstruction, is a significant predictor of lung cancer, especially among women [11]. There is a racial effect as the risk of lung cancer increases significantly for white women but not in African-American women possibly due to racial admixing [12, 13].

Lung cancer accounts for 12% of all cancers diagnosed worldwide, making it the most common malignancy other than non-melanoma skin cancer and approximately and over one million die of lung cancer each year [14]. Worldwide, tobacco smoking is associated with more of 90% of cases of lung cancer [15]. In more developed countries, the incidence and mortality rates are generally declining, reflecting previous trends in smoking prevalence. However, in less developed countries lung cancer rates are predicted to continue to increase due to endemic tobacco use [16]. There is a clear role for genetics since only 15% of lifetime smokers develop lung cancer and 10% of lung cancers occur in never-smokers especially in women [17] and in Asiatic women in particular [18].

In addition, lung cancer is also a leading cause of morbidity and mortality in patients with COPD as 33% of patients died of lung cancer over a 14.5-year follow-up [19]. Furthermore 50–70% of patients diagnosed with lung cancer have spirometric evidence of COPD [20]. Non-small cell lung carcinoma (NSCLC) accounts for 85% of all lung cancer cases in the United States [21] and importantly, the COPD-related cancer type (squamous cell carcinoma) [22] still represents the most common histological subtype of lung cancer in European men [23]. Despite significant advances in diagnosis and mechanistic understanding of the pathophysiology of lung cancer there has been little improvement in 5-year survival rates (~15% overall and <14% among males and <18% among females), indicating that new approaches are needed [16, 24, 25].

Thus, the environmental risk factor cigarette smoke exposure in combination with a genetic predisposition results in two of the leading causes of morbidity and mortality worldwide namely lung cancer and COPD. Importantly, the risk of both diseases is increasing disproportionately in women [7]. They may, therefore, share similar pathogenic mechanisms (fig. 1).

**Inflammation in COPD**

Cigarette smokers develop some degree of lung inflammation, but the COPD patient develops a greater degree of inflammation that progresses with advanced disease [26], often accompanied by systemic inflammation and by increased inflammation in the heart, blood vessels and skeletal muscle [27]. Squamous cell metaplasia and cell atypia are features of cigarette smokers, and these changes may be precursors of cancer development; on the other hand, apoptosis is one potential mechanism for alveolar destruction. Breakdown of extracellular matrix in parenchymal tissues and an increase in extracellular matrix production in the adjacent small airways occur simultaneously, determined by the microenvironment. Oxidative stress provided by exogenous cigarette smoke itself and from endogenous cellular sources may lead to the activation of many intracellular pathways including kinases, transcription factors and epigenetic events that modulate the inflammatory response and cell cycling/proliferation [27].

The chronic inflammation of COPD is characterized by an accumulation of neutrophils, macrophages, B cells, lymphoid aggregates and CD4+ and CD8+ T cells particularly in the small airways [28] and the degree of inflammation increases with the disease severity as classified by the Global Initiative for Chronic Obstructive Lung Disease (GOLD) [26]. Neutrophils and activated macrophages release oxygen radicals, elastase, and cytokines that are essential to the pathogenesis of COPD, with effects on goblet cells and submucosal glands, and on the induction of emphysema and inflammation. Monocytes/macrophages are important effector cells in COPD due to the release of reactive oxygen species, extracellular matrix proteins, lipid mediators, cytokines, chemokines and matrix metalloproteinases [29] and their numbers increase with increasing severity [26]. Cigarette smoke extract impairs the phagocytic ability of both neutrophils and macrophages and these abnormalities may underlie the increased risk of respiratory infections in smokers and in COPD patients [27, 30].

An increased number of eosinophils and eosinophilic products such as eosinophil cationic protein have been reported in sputum, bronchoalveolar lavage fluid and in the airway wall [31–35]. The role of eosinophils in pathogenesis of COPD is unclear but patients with increased numbers of sputum and bronchoalveolar lavage eosinophils may represent a distinct subgroup of COPD who have concurrent asthma and respond to corticosteroid treatment [36–38].

CD8+ T cells are increased throughout the airways and in lung parenchyma [39] but their role still remains speculative although one role for CD8 T cells is to remove virally infected cells by cytolysis or apoptosis [27].

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Lymphoid follicles consisting of B cells and follicular dendritic cells with adjacent T cells were demonstrated both in the parenchyma and in bronchial walls of patients with emphysema [40]. The B cell increase may also reflect a role for autoimmune responses, and this is supported by the reduced numbers of T regulatory cells reported in lungs of COPD patients [41]. The accumulation of dendritic cells in the epithelium and adventitia of small airways of patients with COPD with increasing severity [42] supports the involvement of adaptive immunity.

The amount of airway smooth muscle in small airways increases in COPD patients and this is inversely correlated with lung function [27]. Airway smooth muscle can also release a host of inflammatory mediators including cytokines, chemokines, growth factors, and proteases which may be important in the pathogenesis of COPD [27].

Goblet cell hyperplasia is more pronounced in smokers with COPD [43] and chronic sputum production is associated with an increased risk of hospitalization, an excessive yearly decline in FEV$_1$ and the development of COPD [44]. The epithelial response to cigarette smoke may represent attempts by the airway epithelium to protect itself and repair the injury caused by cigarette smoke [45]. Injury may lead to the development of squamous metaplasia, which is the reversible replacement of the columnar epithelium by a squamous epithelium, an effect that was correlated to airflow obstruction [46]. Squamous cell metaplasia impairs mucociliary clearance and contributes to the increased risk of squamous cell carcinoma in COPD [22]. Epithelial cells from smokers show upregulation of pro-apoptotic, antioxidant and ubiquitin proteasome pathways [27]. Furthermore, a proteomic study of cells from chronic smokers indicated the upregulation of several proteins involved in the unfolded protein response which is a compensatory mechanism for the effect of reactive oxygen species on protein folding [47].

Cigarette smoke induces the release of many inflammatory mediators and growth factors including TGF-β, IL-1, IL-8 and G-CSF through oxidative pathways [27]. Activation of the epithelial growth factor receptor (EGFR) cascade is increased in bronchial biopsies from smokers with or without COPD compared to non-smokers [48, 49], and smoking cessation does not lead to a reduction in EGFR expression [50]. This indicates that chronic cigarette exposure may lead to permanent changes in the epithelium. Overexpression of EGFR has been one of the earliest abnormalities found in smokers at high risk of developing lung cancer [51] and smoking somatic mutations can persist for years once acquired [52, 53]. EGFR activation mediates mucus hypersecretion in response to neutrophil elastase and oxidative stress, thus linking airway inflammation to an important mechanism of lung cancer [54].
Stepwise Progression towards Squamous Cell Lung Carcinoma in the COPD Patients

A stepwise progression from pathological premalignant changes in the epithelium towards basal cell hyperplasia and dysplasia through to squamous cell carcinoma has been well described [55]. It has been postulated that this occurs due to mutations in tumour suppressor genes such as p53 within a single progenitor bronchial epithelial cell which expands to populate broad areas of the bronchial mucosa. This concept is known as field carcinogenesis [56].

Although there is a field effect phenomenon for preneoplastic lung lesions, recent data suggest that there are at least two distinct lung airway compartments involved in lung cancer pathogenesis – central and peripheral. Lower airway inflammation may also play an important role in lung cancer development and could be an important component of the field effect phenomenon [57]. This stepwise progression may be facilitated in smokers who develop COPD due to impaired clearance of carcinogenic substances since enhanced particulate deposition in the major bronchi was modelled to occur in the lungs of patients with COPD [58]. However, the link between COPD and squamous cell lung carcinoma is probably more complex than a simple mechanistic one although the timing of these potential events is unknown.

Pulmonary Stem Cells as a Target of the Carcinogenic Process in Human Squamous Cell Lung Carcinoma

Evidence suggests a direct relationship between proximal airway basal progenitors and carcinogenesis in murine models of lung cancer although the precise stem cell target of this process in the development of human squamous cell lung carcinoma remains unknown [59]. In murine models a pulmonary stem cell population, termed bronchioalveolar stem cells (BASCs), is found at the bronchioalveolar duct junction. BASCs are resistant to broncholar and alveolar damage and proliferate during epithelial cell renewal in vivo and their transformed counterparts give rise to adenocarcinoma [60–62].

Protein kinase C iota (PKC\(\iota\)) is an oncogene required for maintenance of the transformed phenotype of NSCLC cells [63] and in animal models, genetic loss of PKC\(\iota\) dramatically inhibits hyperplasia and subsequent lung tumour formation in vivo. Importantly, this correlates with an inability of PKC\(\iota\)-deficient BASCs to undergo expansion and transformation into both squamous cell lung carcinoma and adenocarcinoma [63].

Effect of Smoking on Epithelial Cell Gene Expression Profiles: Links to Lung Cancer and COPD

The gene expression profile of bronchial epithelial cells from control smokers with normal lung function compared with smokers with COPD has been reported [64–67] and may provide novel clues to the possible links between COPD and squamous cell carcinoma of the lung. Cigarette smoking was associated with increased expression of 175 genes which could be classified into those with xenobiotic functions such as cytochrome P450 (CYP)1B1, antioxidants such as glutathione peroxidase (GPX)2 and aldehyde dehydrogenase (ALDH)3A1, electron transport such as NADPH and putative oncogenes CEACAM6. In contrast, decreased expression of genes involved in regulation of inflammation and several putative tumour suppressor genes (TU3A, SLIT1 and SLIT2, and GAS6) were decreased in smokers. These changes mostly reversed to non-smoker levels with smoking cessation particularly with downregulated genes albeit at different rates [68] except for potential oncogenes and tumour suppressor genes [69]. Importantly, many of these changes in gene expression were associated with changes in protein expression [67]. These later findings may explain the continued risk of developing lung cancer many years after individuals have ceased to smoke.

In addition, genes related to oxidative stress, extracellular matrix synthesis and inflammation were increased in severe emphysema [65]. Using cluster analysis a group of 17 genes was associated with improved outcome following lung volume reduction surgery.

The protein aldo-keto reductase family 1 member B10 (AKR1B10) is overexpressed in the bronchial epithelium of COPD patients and in the neoplastic cells of squamous cell carcinoma of the lung [64, 70]. Aldo-keto reductases are NADPH-dependent oxidoreductases that catalyze the reduction of a variety of carbonyl compounds [70]. AKR1B10 may also affect cell cycle progression following translocation to the nucleus [70].

Multiple Genes

Given the heterogeneous nature of lung cancer and COPD, monitoring of only one or a few genes is probably of limited value. A pan-genomic analysis using high-
throughput gene expression analysis seems more efficient for identifying specific events in lung carcinogenesis and in COPD [71]. Since 2000, several studies have proposed a molecular classification of human lung carcinomas on the basis of gene expression panels and have described numerous putative biological markers of lung cancer [72]. Using a gene microarray on histologically normal large-airway epithelial cells obtained at bronchoscopy from smokers with suspicion of lung cancer, Spira et al. [66] have identified an 80-gene biomarker that distinguishes smokers with and without lung cancer with an accuracy of 83% (80% sensitive, 84% specific), and approximately 90% sensitivity for stage I lung cancer. In a similar vein, studies are now being conducted to look at whole genomes from lung cancer cells using deep sequencing technologies [73, 74]. Furthermore, global analysis of epigenetic marks including histone and DNA methylation [75] and micro-RNA (miRNA) profiles [76] are beginning to demonstrate distinct profiles between cancer cell types. The potential for personalized medicine based on precise delineation of an individual’s global genomic and epigenomic maps is expensive but may be feasible in the future as the cost of arrays decreases. At this time, improving the biological significance of microarray data is still an important clinical challenge [72]. Similar studies in COPD are required to distinguish these profiles from that of lung cancer.

Genetic and Epigenetic Abnormalities in COPD and Lung Cancer

Squamous cell lung carcinoma results from the accumulation of multiple independent genetic and epigenetic abnormalities, including DNA sequence alterations, copy number changes, and aberrant promoter hypermethylation [77]. Together, these abnormalities result in the activation of oncogenes and inactivation of tumour suppressor genes [77]. In addition, these abnormalities are often present in premalignant lesions and in histologically normal lung bronchial epithelial cells [77]. Loss of heterozygosity (i.e., deletion of one copy of allelic DNA sequences) and microsatellite alterations in widely dispersed, apparently clonally independent foci (~90,000 cells) also occur in normal and hyperplastic bronchial epithelium and these changes may persist for many years after smoking cessation [77].

Familial clustering of COPD occurs particularly in subjects with a severe genetic deficiency of α1-antitrypsin who usually develop severe pulmonary emphysema [1]. However, less than 1% of COPD patients have this deficiency and more recent studies have found some familial aggregation in subjects with COPD even in the absence of pulmonary emphysema [78, 79]. There is evidence of familial aggregation of late-onset lung cancer and, even after adjustment for smoking behaviour of probands and their relatives, the risk of lung cancer is still statistically significant. There is no evidence, however, of familial aggregation in early-onset lung cancer or among relatives of Caucasian never-smokers [80] although this may differ depending upon racial background [81]. Using traditional linkage analysis a locus on chromosome 6q23–25 has been associated with both lung cancer [82] and COPD [83].

Oxidative Stress and Xenobiotic Genes

In both lung cancer and COPD, environmental factors (mainly tobacco smoking) interact with multiple polymorphic genes to influence susceptibility to disease. Thus, only a fraction of smokers (around 15%) will develop lung cancer and/or COPD in their lifetime [1, 84], which suggests a different individual susceptibility to the risk of lung cancer and COPD or time of disease onset. Genes involved in the modulation of oxidant and noxious compounds are likely to be important in gene–environment interactions in COPD and lung cancer [12].

Metabolism of noxious substances present in tobacco smoke by xenobiotic metabolizing enzymes is usually benign but in some instances the metabolic process can transform harmless substances into toxic chemicals [5], suggesting that differences in xenobiotic metabolism may contribute to the risk of developing both COPD and squamous cell lung carcinoma [85, 86]. The major xenobiotic metabolizing enzymes, including both phase I (CYPs, microsomal epoxide hydrolases (EPHX), flavin monooxygenases (such as heme oxygenase-1, HO1) and myeloperoxidase (MPO)) and phase II enzymes (conjugation enzymes, including several transferases such as glutathione S-transferases (GSTs) and arylamine N-acetyltransferases (CoASAc; NAT, EC 2.3.1.5)) are expressed in human lung tissues [87]. Xenobiotics undergo metabolic activation by phase I enzymes, whereas phase II enzymes transform compounds activated by phase I enzymes into inactivated hydrophilic compounds that are eventually excreted [87].

The combination of several genetic polymorphisms in CYPs, EPHX, HO1 and GST enzymes that activate or detoxify the tobacco smoke carcinogens might modulate...
the risk of chronic smokers of developing both COPD and squamous cell lung carcinoma [88]. Polycyclic aromatic hydrocarbons are generally benign but can become toxic following activation by CYP enzymes [5]. Importantly, oestrogen can upregulate the expression of CYP enzymes in lungs and this may account for the sex-related differences in the metabolism of some cigarette smoke constituents in females [5].

The homozygous *2A allele of CYP1A1 is an independent risk factor for very severe COPD [89] whilst the CYP1A1 m1 homozygous genotype is a risk factor for lung cancer [90]. Two haplotypes in the EPHX1 gene are significantly associated with lung cancer risk in the overall population [86]. The slow- and very-slow-activity phenotypes of EPHX1 are also associated with an increased risk of COPD whereas the fast-activity phenotype of EPHX1 is protective against developing COPD in Asian, risk of COPD whereas the fast-activity phenotype of EPHX1 are also associated with an increased concentration of Nrf2 maintained by proteasomal degradation through a Keap1-dependent mechanism promote efficient ubiquitination and rapid turnover [98].

Polymorphisms of HO1 gene promoter can be grouped into three classes dependent upon the number of GT repeats. Those with large numbers (>32 repeats) have been associated with the development of lung adenocarcinoma in Japanese male smokers [93] and with COPD in a Chinese population [94].

MPO is a lysosomal phase I enzyme expressed predominantly in neutrophil granulocytes, monocytes and macrophages. It activates tobacco smoke-derived carcinogens such as polycyclic aromatic hydrocarbons, benzo(a)pyrene, aromatic and heterocyclic amines and induces the formation of endogenous carcinogenic free radicals [85]. There is a protective effect of the MPO variant allele (i.e. A/A and A/G genotypes) against lung cancer, with the risk reduction of approximately 50% linked to smoking status and pack-years [85].

Polymorphisms of the GST genes are associated with the risk of lung cancer and are more frequent in small cell lung carcinoma and in the squamous cell carcinoma compared with the lung adenocarcinoma [85]. In addition, smokers with the GSTP1 Ile allele have an increased risk for the development of COPD [95]. Furthermore, the combination of GSTM1, GSTT1 null, and GSTP1 Val/Val is associated with the maximal increased risk (12-fold) of COPD [95].

A number of single nucleotide polymorphisms (SNPs) in COPD candidate genes TGF-β1, EPHX1, SERPINE2, GSTP1 and ADRB2 have been associated with airway wall thickness and emphysema severity using computed tomography [96]. Finally, variants in the hedgehog interacting protein have been shown to have a protective effect in both COPD and lung cancer [97] (table 1).

The transcription factor nuclear factor E2-related factor 2 (Nrf2) increases the expression of several protective phase 2 detoxifying enzymes [such as GSTs and NAD(P)H:quinone oxidoreductase (NQO1)] and antioxidant enzymes such as peroxiredoxins [98]. Kelch-like ECH-associated protein 1 (Keap1) negatively regulates Nrf2 activity by targeting it to proteasomal degradation [99] and under normal conditions, low cellular concentrations of Nrf2 maintained by proteasomal degradation through a Keap1-dependent mechanism promote efficient ubiquitination and rapid turnover [98].

Common somatic mutations in the coding region of the Nrf2 gene resulting in increased cellular accumulation of Nrf2 are associated with poor prognosis in squamous cell lung carcinoma [98]. Mutations resulting in the loss of Keap1 function are more common in lung adenocarcinomas [99–101]. Interestingly, exposure of mice to cigarette smoke enhances oxidative damage and inflammation in the lungs via disruption of the Nrf2 gene [102] and a significant decrease in the Nrf2 protein level has been described in the COPD lungs [103–105]. This suggests that different changes in the Nrf2 pathway are involved in the development of COPD and lung cancer.

### Nicotine Addiction and Genetic Links between COPD and Lung Cancer

SNPs located in a region of the long arm of the chromosome 15 (15q25.1) which contains the nicotinic acetylcholine receptor alpha (nAChR) subunits 3 (CHRNA3) and 5 (CHRNA5), and the β4 nAChR subunit (CHRN5B4) are significantly associated with lung cancer risk [106–109] and account for 14% of the attributable risk of lung cancer cases [109]. Furthermore, the risk of lung cancer was more than 5-fold higher among subjects who had both a family history of lung cancer and two copies of the high-risk alleles located in this locus [110]. These SNPs in the 15q24–25.1 locus are extremely rare in Asians, and the three risk SNPs reported in Caucasians are not associated with lung cancer risk in Chinese [111]. However, other SNPs in this region are associated with significantly increased lung cancer risk and smoking behaviour in Asians possibly by affecting Oct-1 DNA binding, resulting in increased CHRNA3 expression [111]. Acetylcholine can promote the proliferation of neoplastic cells in

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vitro through nAChRs [112, 113] and the proliferation of lung fibroblasts and myofibroblasts acting on muscarinic acetylcholine receptors [114]. Furthermore, nicotine stimulates mitogen-activated protein kinase (MAPK) (p44/42) and Akt-dependent proliferation and nuclear factor κB (NF-κB)-dependent survival of squamous cell lung cancer cell lines conferring a survival advantage to these cells [115]. This raises the possibility that chronic nicotine inhalation and/or endogenous acetylcholine released locally in the lung might both play a role in COPD and squamous cell lung carcinoma development in long-term smokers (table 1).

However, since these SNPs also tend to be associated with nicotine dependence, it is unlikely that the risk of lung cancer is independent of any effect they may have on smoking behaviour [116] although this may not be true in all circumstances. The rs1696968 15q SNP has a strong association with the risk of lung cancer that is independent of the association with smoking quantity [117].

### DNA Damage/Repair Mechanisms in Squamous Cell Lung Carcinoma and COPD Susceptibility

The increased oxidative stress found in COPD either due to exogenous smoking or produced endogenously possibly in part due to the loss of Nrf2 activity can cause deoxyribonucleic acid (DNA) damage and carcinogenesis [118]. Damage to DNA induces several cellular responses that enable the cell to either eliminate or reverse the damage or to activate programmed cell death, presumably to eliminate cells with potentially catastrophic mutations [119]. These somatic mutations are usually associated with oncogenes but may also affect inflammatory signalling pathways such as the phosphoinositol 3-kinase (PI3K) pathway, impair host responses to viral and bacterial pathogens or alter drug responsiveness [118, 119].

DNA repair mechanisms include direct repair, base excision repair, nucleotide excision repair, double-strand break repair, and cross-link repair [119]. Efficient repair of DNA double-strand breaks is essential for the maintenance of chromosomal integrity [120, 121]. Double-strand breaks are repaired either by homologous recombination or by non-homologous end joining (NHEJ) with the later being the primary pathway involved [120, 121]. Six distinct proteins function in the NHEJ pathway (Ku70, Ku86, XRCC4, DNA ligase IV, Artemis, DNA-PKcs) [120, 121]. The heterotrimeric DNA-dependent protein kinase (DNA-PK) complex is a serine-threonine kinase activated by the presence of double-strand breaks in DNA and composed of a catalytic subunit (DNA-PKcs) and the DNA-binding heterodimer of the regulatory subunits Ku proteins (Ku70 and Ku86). Autophosphorylation of DNA-PKcs [phospho-DNA-PKcs (pS2612)] correlates with loss of protein kinase activity and dissociation of the DNA-PKcs-Ku complex. DNA-PK is localized in the nucleus and it is critical during NHEJ because it initially recognizes and binds to the damaged DNA and then targets the other repair activities to the site of DNA damage [120–122]. In addition to the regulatory function of the Ku proteins in DNA-PK, heterodimers of both Ku70 and Ku86 also have independent DNA repair functions [120–122]. In addition, oxidative stress can induce nuclear loss of Ku proteins in pancreatic cells in vitro [112].

A lack of DNA repair may be another common mechanism linking both COPD and squamous cell lung carcinoma [123]. For example, one possibility is that heritable genetic polymorphisms influence the efficiency of both DNA repair damage in the bronchial epithelium and connective tissue damage repair. It is also likely that genetic factors modulating the quality of DNA repair explain the absence of both COPD and squamous cell lung cancer in most smokers [124]. Several polymorphisms in DNA repair genes have already been reported to be associated with risk of squamous cell lung carcinoma [125]. Interestingly, women have a 10–15% lower capacity for repairing tobacco carcinogen-induced DNA damage than men [126]. DNA repair mechanisms also play an important role in the epigenetic regulation of cell function by mediating DNA demethylation [127] and may, in turn, be regulated by the epigenetic changes seen in lung cancer.

Recent evidence has determined a critical role for acetylation of histone H3 on lysine 56 AcH3K56 in DNA

| Table 1. Genes potentially involved in lung carcinogenesis and COPD pathogenesis |
|------------------|------------------|
| CHRNA3 | CHRNA5 |
| CHRNB4 | p53 |
| p21WAP/CIP1 | RB1 |

It is still not known whether there is an increased risk for the squamous cell carcinoma histological subtype.
damage and repair [128, 129]. Furthermore, deacetylation of Ach3K56 is controlled by histone deacetylases (HDACs) 1 and 2 and loss of HDAC1 and/or 2 can increase susceptibility to UV-A irradiation [128]. Sirtuins also play an important role in deacetylating H3K56 in mammalian cells [129, 130]. This suggests that in diseases, such as COPD, where there is a decrease in HDAC2 [131] and SIRT1 [132, 133] expression, there may be less protection against DNA breakage and repair induced by environmental factors increasing the potential for somatic mutations and the risk of lung cancer. Clearly due to the complexity and the interrelationships of the many different pathways involved in the process of DNA repair in the lung this area deserves to be fully explored in larger studies.

**Cell Cycle Regulation and Apoptosis in Lung Carcinoma and COPD Susceptibility**

Alterations in cell cycle regulation and apoptosis leading to malignant transformation could be caused by common genetic variants in tumour suppressor genes, such as the protein p53 [134]. DNA damage triggers a ‘danger response’ coordinated by the ataxia-telangiectasia-mutated gene and p53 proteins. This response to oxidative stress, for stress for example, aims to lessen the cellular damage as cells go into a waiting period for DNA repair, but can become permanent when cells have dangerously shortened telomeres (the DNA-protective TTAGG repeats at the end of chromosomes). This ultimately leads to cell senescence (replicative senescence), which is apoptosis resistant and metabolically active but unable to proliferate beyond the G1 stage of the cell cycle [134].

Cigarette smoking significantly increases lung cancer risk in carriers of a germline p53 mutation [135] and some p53 SNPs have been associated with an increased risk of developing COPD [136, 137]. The tumour suppressor gene p21^WAPl/CIP1^ is transcriptionally activated by p53 to induce cell cycle arrest and DNA repair. p21^WAPl/CIP1^ protein expression is increased in alveolar macrophages and bronchial epithelial cells of patients with COPD and is highly responsive to oxidative stress resulting in cytoplasmic translocation and inhibition of apoptosis of these cells [138]. A p21^WAPl/CIP1^ SNP is linked to a high risk for COPD in Taiwanese smokers [137]. These data suggest that an increased expression of p21^WAPl/CIP1^ in the lower airways caused by chronic exposure to tobacco smoking may represent another potential molecular link between COPD and squamous cell lung carcinoma (table 1).

The peripheral lung of the COPD patients contains higher percentages of pro-inflammatory senescent type 2 alveolar cells that co-express the cell cycle inhibitor p16^INK4a^ as well as phosphorylated NF-κB [139, 140]. p16^INK4a^ promoter methylation in the bronchial epithelium is very frequent among NSCLC patients and cancer-free controls and persists after smoking cessation [141–143].

**Epigenetic Mechanisms Linking Lung Cancer and COPD Susceptibility**

Epigenetic modifications are potentially heritable changes that alter gene expression and cell function without DNA sequence alterations [144]. These changes include DNA methylation and posttranslational modifications of histones (histone acetylation, methylation, ubiquitination, sumoylation and phosphorylation), which together control chromatin structure and remodelling and that ultimately control the transcriptional outcome of the cell [145]. The main epigenetic alterations associated with lung cancer are DNA promoter hypermethylation, DNA global hypomethylation, posttranslational modification of histones and miRNA silencing by DNA hypermethylation [146].

DNA methylation has critical roles in the control of gene activity and the architecture of the nucleus of the cell because it is usually associated with gene silencing [147]. DNA methylation occurs on cytosines within dinucleotide CpGs. CpG sites are not randomly distributed in the genome but are generally clustered at the 5’ end of the regulatory region of many genes in areas known as CpG islands [147] although they may also occur proximal to transcriptional start sites at CpG island shores [148]. The maintenance of these methyl CpG marks is due to the action of a number of DNA methyltransferases (DNMTs) which add the universal methyl donor S-adenosyl-L-methionine to cytosine. DNMT1 is considered to be a maintenance DNMT whereas DNMT3s are involved with de novo methylation [149].

Normal tissues from individuals show similar overall DNA methylation patterns in contrast to the several hundred hypermethylated CpG islands found in each lung squamous cell carcinoma. Of these, eleven CpG islands were methylated in 80–100% of the lung squamous cell carcinomas. In addition, extensive DNA hypomethylation in the lung squamous cell carcinomas occurs specifically at repetitive sequences, including short and long interspersed nuclear elements and long...
terminal repeat elements, segmental duplications, and subtelomeric regions [150]. Aberrant methylation of the p16\(^{INK4a}\) tumour suppressor gene and/or O\(^6\)-methylguanine-DNA methyltransferase (MGMT) promoters can be detected in DNA from sputum in 100% of patients with squamous cell lung carcinoma up to 3 years before clinical diagnosis [151], and has thus been proposed as a biomarker for early detection of squamous cell lung cancer [152]. The DNA hypermethylation status of the p16\(^{INK4a}\), CDH13, RASSF1A, and APC genes is also associated with an increased risk for recurrence following surgical resection of early NSCLC and with detection of methylated DNA in histologically negative lymph nodes [153].

MGMT hypermethylation is more common in squamous cell carcinomas in males and smokers than in adenocarcinomas in females and non-smokers. 17β-Oestradiol decreases DNMT1 and HDAC1 protein expression and their binding activity on the MGMT promoter in lung cancer cell lines and this may partially contribute to the MGMT hypermethylation gender difference seen in lung cancer [154].

Chronic exposure of human airway epithelial cells causes a specific gene expression signature linked to changes in cell function including an increase in soft agar clonogenicity and activation of Wnt signalling [155]. In addition, the authors reported a concentration- and time-dependent change in global epigenetic marks. Thus, there is a diminution of H4K16Ac and H4K20Me3 marks and increasing levels of H3K27Me3 [155]. Furthermore, there is a time-dependent global hypomethylation including that of D4Z4, NBL2, and LINE-1 repetitive DNA sequences along with gene-specific hypermethylation of TSGs such as RASSF1A and RAR-β [155]. Collectively, these data indicate that cigarette smoke induces ‘cancer-associated’ epigenomic alterations in cultured respiratory epithelia. Little is currently known about these effects in COPD although this is an area of active research.

**Micro-RNA**

miRNAs are small non-coding RNAs that regulate protein expression either through actions on mRNAs synthesis or translation and function as key controllers in a myriad of cellular processes, including proliferation, differentiation and apoptosis. miRNAs can function as tumour suppressors and oncogenes [156] and mutation, misexpression, and altered miRNA processing are all implicated in carcinogenesis and/or tumour progression. Aberrant expression or function of miRNAs may result from SNPs affecting their sequence, expression, or binding to target sites [156] or through epigenetic alterations, resulting in aberrant patterns of expression [145]. Increased expression of mir-196a2 due to a specific SNP is associated with a significantly decreased survival in patients with early NSCLC [156] and an increased susceptibility to lung cancer in Chinese populations [157].

Twenty-eight miRNAs are differentially expressed in bronchial airway epithelium from current and never-smokers with the majority being downregulated in smokers. Furthermore, regulation of one of these miRs (miR-218) by cigarette smoke exposure was able to regulate the airway epithelial gene expression response [158]. In addition, 34/627 miRNAs were differentially expressed in induced sputum supernatant between never-smokers and current smokers without airflow limitation. Reduced expression of let-7c and miR-125b was confirmed in COPD by validation in a second cohort. Importantly, let-7c target genes such as TNF receptor type II were inversely correlated with let-7c expression in the sputum of patients with severe COPD [159].

Finally, fibroblasts from COPD patients produce more cyclooxygenase (COX)-2 and its product prostaglandin (PG) E\(_2\) than those from healthy smokers following stimulation [160]. COX-2 expression is regulated by miR-146a whose expression was differentially enhanced by fibroblast stimulation, implicating a pathogenic role in the abnormal inflammatory response in COPD [160].

**Inflammation in COPD May Drive the Development of Human Squamous Cell Lung Carcinoma**

As described above, COPD is a chronic inflammatory disease of the lower airways characterized by the accumulation of macrophages, CD4+ and CD8+ T cells, dendritic cells, B cells and neutrophils, particularly in smaller airways and lung parenchyma, and the severity of COPD is associated with the degree of infiltration by these inflammatory cells [1]. A causal relation between inflammation and cancer was initially proposed by Galen and later by Virchow, who noticed the infiltration of leucocytes in malignant tissues and suggested that cancers arise at regions of chronic inflammation. The longer the inflammation persists, the higher the risk of associated carcinogenesis [reviewed in 161]. However, the mechanism by which chronic lower airway inflammation is linked to lung carcinogenesis is still not completely understood [162].
Inflammatory mediators in the BASC microenvironment may promote neoplasia by inducing pro-neoplastic mutations, proliferation, resistance to apoptosis, angiogenesis, invasion, metastasis, and secretion of immunosuppressive factors. In fact, inflammatory cells such as macrophages and T lymphocytes can communicate with the neoplastic cells through a reciprocal and self-perpetuating interaction, resulting in increased growth and resistance to immune destruction [163]. All these changes confer a survival advantage to the neoplastic cell.

We review below some of the inflammatory mediators and intracellular signalling pathways potentially relevant in the pathogenic link between COPD and lung cancer.

**Inflammatory Mediators in COPD and Lung Cancer**

**Chemokines, Their Receptors and Heterogeneous Nuclear Ribonucleoproteins in COPD and Lung Cancer**

Activation of the CXCR4/CXCL12 (SDF-1) axis may have a role in the pathogenesis of lung cancer. In fact, neutralization of CXCL12 by anti-CXCL12 or anti-CXCR4 monoclonal antibody in preclinical in vivo studies results in a significant decrease in NSCLC metastases [164]. Activation of the CXCR4/CXCL12 (SDF-1) axis also induces nuclear export of the heterogeneous nuclear ribonucleoprotein (hnRNP) A2/B1 [165]. hnRNPs comprise a family of multifunctional proteins that regulate mRNA processing, transport and subcellular localization, telomere stability, cell senescence and cell cycle regulation [165] and hnRNP A2/B1 may also have a role in cell migration [166].

Overexpression of hnRNP A2/B1 in plasma and primary human bronchial epithelium has a high sensitivity for the presence of NSCLC, particularly squamous cell carcinoma, and is also present in high-risk smokers years before they develop lung cancer [167, 168]. The role of the CXCR4/CXCL12 axis and of hnRNP A2/B1 in the pathogenesis of COPD and in the associated lung carcinogenesis should be explored.

**Prostaglandins in COPD and Lung Cancer**

The enzyme COX-2 and its product PGE2 may enhance the inflammatory response as well as carcinogenesis through effects on cell proliferation, apoptosis and angiogenesis and may be involved in the pathogenesis of both COPD and lung cancer. The sputum levels of PGE2 and COX-2 activity are increased in smokers with COPD compared to non-smoking control subjects and the sputum levels of PGE2 are inversely correlated with percent predicted FEV1 in COPD patients [169]. COX-2 expression is also increased in the peripheral lung of COPD patients compared to control subjects [170].

Many epidemiological studies suggest that there is a decreased incidence of lung cancer, particularly of adenocarcinoma, in subjects who regularly use aspirin or other COX inhibitors [171, 172] and a daily intake of aspirin or ibuprofen, for 5 or more years, reduces the risk of lung cancer by 36% [173]. Carriers of the C allele of a common polymorphism in the 3’-UTR of COX-2, associated with a reduced production of COX-2, have a significantly increased risk of lung cancer [174].

**Intracellular Signalling Pathways in COPD and Lung Cancer**

The transcription factor, NF-κB, is activated by inflammatory mediators and by oxidative stress and may provide a molecular link between inflammation and lung cancer [175] as it is activated in the bronchial epithelium and in inflammatory cells both in the lower airways of COPD patients and in the premalignant lesions of the bronchial epithelium and neoplastic cells of squamous cell lung carcinoma [176–179].

NF-κB activation and subsequent transactivation of inflammation-related genes may play a central role in both COPD and squamous cell lung carcinoma [175]. Indeed, in addition to its tumour-promoting role, which depends on stimulation of cell proliferation and inhibition of cell death, NF-κB may also participate in tumour initiation [180]. For instance, NF-κB-activated macrophages in the bronchial tissues of COPD patients can release oxidants in the proximity of the basal bronchial epithelial cells to cause their DNA damage. In addition, NF-κB-driven expression of pro-inflammatory cytokines may also promote tumour angiogenesis, which accelerates cell growth and metastases. Recent evidence suggests that prolonged exposure to cigarette smoke induces lung cancer in animals following induction of an NF-κB-dependent inflammatory response in the lower airways [181]. More subtle modulation of NF-κB activity by PKC zeta (PKCζ) [182], for example, may reduce the risk of infection associated with chronic dosing with NF-κB inhibitors [183].

PI3K and glycogen synthase kinase-3 signalling up-regulated in COPD [184] is important in airway inflammation and corticosteroid responsiveness [185]. PI3K is
also implicated in the control of Dnmt3a expression and the specific methylation and altered expression of selective imprinted genes in stem cells [186]. In addition, smoking induces a PI3K-characteristic genomic signature in human airway epithelial cells in vivo prior to tumorigenesis. Furthermore, successful treatment of dysplasia by the PI3K inhibitor myo-inositol attenuated the PI3K signature [187]. These data indicate that abnormal activation of the PI3K pathway in the bronchial airway epithelium of smokers is an early, measurable, and reversible event in the development of lung cancer. Targeting the PI3K pathway may be a suitable therapeutic approach for both COPD and lung cancer.

Other kinase signalling pathways are also likely to be important. As well as playing a critical role in the inflammatory response in COPD and being implicated in steroid responsiveness [27], the p38 MAPK pathway is up-regulated and may play critical roles in lung cancer [188]. This is particularly the case in non-smokers with lung cancer where lifelong non-smoking is associated with high levels of activated p38 MAPK in patients with lung adenocarcinoma [189]. In addition, p38 MAPK has been shown to be important for metastasis through the formation of tumour-platelet aggregates and their interaction with the endothelium [190]. Furthermore, the cytotoxic effects of cisplatin, for example, are mediated through targeting the p38 MAPK pathway [191]. However, mice lacking p38α are more susceptible to cancer development in carcinogenic or oncogene-induced cancer models [192]. p38α can also suppress cell proliferation by antagonizing the JNK/c-Jun pathway, which is an important regulator of proliferation and apoptosis [192], therefore suggesting that a combined p38MAPK/JNK approach may be better for targeting lung cancer inflammation.

There is evidence for abnormal activation of the Janus kinase (JAKs)/signal transducers and activators of transcription (STAT) signalling pathways in lung cancer [193] which may be related to the hypermethylation of the suppressor of cytokine signalling (SOCS)3 promoter [194]. In lung cancer, STAT3 can be activated by multiple pathways, including EGFR, α7 nicotinic receptor, numerous cytokines and erythropoietin receptor pathways [193]. Suppression of JAK/STAT activity induces cell apoptosis and suppresses growth [194]. IL-6 production is implicated in the pathogenesis, progression and drug resistance in cancer and, along with the NF-κB and PI3K/Akt pathways, the JAK/STAT pathway is important in controlling the autocrine production of IL-6 from lung cancer cells [195]. Therefore, regulation of this pathway may be useful for the treatment of lung cancer.

Peroxisome proliferator-activated receptors (PPARs) are ligand-activated transcription factors that belong to the nuclear hormone receptor superfamily. PPARγ regulates several metabolic pathways by binding to sequencespecific PPAR response elements in the promoter region of the target genes [196]. PPARγ regulates cell growth by inducing differentiation and apoptosis. These effects are mediated through inhibition of transcription factors, including NF-κB. PPARγ ligands inhibit the release of pro-inflammatory cytokines from airway epithelial cells and play an important role in regulating their differentiation. In an animal model of COPD-like airway inflammation the PPARγ agonist, rosiglitazone, inhibits lipopolysaccharide-induced neutrophilia and reduces chemotacticants and survival factors [196]. Decreased expression of PPARγ has been observed in lung cancer [197].

HDAC2 which is important in many cell functions including NF-κB activation and glucocorticoid function is reduced in COPD [131]. Activation of HDAC2 activity by low-dose theophylline restores steroid responsiveness in COPD patients on inhaled corticosteroids, resulting in an improvement in inflammatory indices and lung function [198]. Since HDAC2 is also involved in the DNA repair process the combination of low-dose theophylline and corticosteroid may also prevent the progression to lung cancer. Similarly, sirtuin 1 (SIRT1), a member of the silent information regulator 2 in mammals, has also recently been found to be reduced in the peripheral lung of COPD patients [132, 133]. SIRT1 is known to be able to deacetylate a number of important signalling proteins such as p53 and NF-κB and in the case of NF-κB, deacetylation attenuates NF-κB transcriptional activation [199].

Oxidants in COPD and Lung Cancer

Oxidative stress can amplify the inflammatory response and the loss of Nrf2 activity in COPD lungs may contribute to the increased susceptibility of COPD patients to lung cancer by regulating the expression of many anti-oxidant and detoxifying enzymes and thereby enhancing lung inflammation [104, 200]. In addition, oxidative stress also increases cytoplasmic expression of p21WAP/CDPI and promotes the transition from the G1 to the G2/M phase of the cell cycle, resulting in an imbalance of apoptosis/proliferation towards hyperproliferation in lung epithelial cells [138]. This may enhance the epithelial transition from normal to hyperplastic and finally to carcinomatous status in smokers and patients with COPD. Oxidative stress may also induce somatic

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mutations in DNA [118] and may also affect DNA methylation by the formation of 8-hydroxy-2'-deoxyguanosine residues since the presence of these residues affects DNMT1 DNA binding to the TGF-β1 promoter [201].

**New Potential Pharmacological Therapies for Both Lung Cancer and COPD**

Smoking cessation should be encouraged in all smokers but even if all smokers stopped there would still be a large number of patients suffering from COPD and lung cancer over the next decades. At present there are many compounds in development or under study (table 2) that either prevent lung cancer in animal models or demonstrate efficacy in human epidemiological and small clinical studies. However, few of these have been proven effective in large controlled clinical trials. Recently, the oral EGFR tyrosine kinase inhibitor erlotinib was shown to be well tolerated and significantly prolongs progression-free survival in a phase 3 placebo-controlled study of 889 subjects with unresectable NSCLC (SATURN; BO18192) following first-line platinum-doublet chemotherapy [202]. EGFR is involved in mucus hypersecretion so EGFR inhibitors might be expected to reduce chronic bronchitis. However, a recent study with an inhaled EGFR inhibitor (BIBW 2948) showed no effect on mucus secretion [203].

In animal models of lung cancer, inhaled glucocorticoids have a protective effect and reverse DNA hypomethylation and modulate mRNA expression of oncogenes [204] and epidemiological studies suggest that regular use of inhaled glucocorticoids, with and without long-acting inhaled β2-agonists, may reduce the risk of lung cancer among former smokers with diagnosed COPD [205]. However, a 6-month treatment with high doses of inhaled glucocorticoids does not cause any regression of bronchial dysplasia or secondary markers of carcinogenesis in smokers [206–208] and a long-term clinical trial in moderate to severe COPD patients treated for 3 years with inhaled glucocorticoids has not demonstrated a decreased risk of lung cancer [209]. There is an ongoing chemoprevention trial measuring the effect of inhaled fluticasone propionate in high-risk smokers (however, patients with FEV1 less than 1 litre have been excluded from this study) [206]. The addition of low-dose theophylline to combination therapy may have additional benefits [198].

Normal bronchial epithelium and squamous cell lung carcinomas synthesize and release ACh which can stimulate tumour growth by binding to nicotinic and muscarinic receptors expressed on lung cancers [210, 211]. Thus antagonists to nicotinic and muscarinic receptors can inhibit lung cancer growth. The muscarinic receptor (mAChR) subtype utilized for cell proliferation is the M3 subtype and consistent with this M3 mAChR antagonists inhibit growth of squamous cell carcinomas [210, 211]. However, a long-term clinical trial in moderate to severe COPD patients treated for 3 years with inhaled tiotropium (a strong M3 blocker) has no demonstrable effect on the risk of lung cancer [212]. Due to the safety of these drugs and their wide use in clinical practice for the treatment of COPD, more long-term controlled clinical trials should be designed to specifically address this issue.

In a series of case-control studies, daily use of a selective COX-2 inhibitor, either celecoxib or rofecoxib, significantly reduced the risk for lung cancer; however, the use of these drugs increased the relative risk of cardiovascular disease [173].

Many different signal transduction pathways, originating from a wide variety of cellular stresses and stimuli, converge on a single target, the NF-κB/IκB complex and its activating kinase (inhibitor of κB kinase, IKK). IKK2 inhibitors are in development as novel therapies for the treatment of COPD [183] and lung cancer [213]. Interestingly, in vitro, inhibition of NF-κB using bortezomib or the compound BAY 11-7085 sensitizes squamous cell lung carcinoma cell lines to death induced by histone deacetylase inhibitors [214, 215]. NF-κB inhibition suppresses airway inflammation in animals [183] and urethane-induced lung cancer [216]. Interestingly, p53 may also suppress NF-κB activity in animal models of airway inflammation.
The potential benefit of inhibiting several other signalling pathways such as PI3K, p38 MAPK, PKCζ and PKCs has been discussed above and results from clinical trials in COPD as well as lung cancer are awaited.

As described above, there is a clear role for oxidative stress in driving the inflammatory response in COPD and inducing lung cancer. Anti-oxidant strategies should, therefore, be of use in both diseases [218]. A long-term clinical trial in moderate to severe COPD patients treated for 3 years with the anti-oxidant N-acetylcysteine (600 mg daily) did not demonstrate a decreased risk of lung cancer [219]. Similarly in the large EUROSCAN trial, neither vitamin A nor N-acetylcysteine (600 mg daily) could prevent tumour recurrence or the occurrence of second primary tumours in patients with lung cancer during the 2-year follow-up period [220]. However, the doses used in these studies may not have produced a local anti-oxidant effect. The development of bioavailable mitochondrially directed drugs may enhance the intracellular anti-oxidant capacity and thus effectiveness of this approach [221, 222].

Conclusions

Many new compounds that target the molecular pathology of advanced squamous cell lung carcinoma are now undergoing clinical trials. However, it is likely that a greater understanding of the molecular and cellular pathobiology that distinguishes smokers with premalignant bronchial lesions and squamous cell lung cancer from smokers with and without COPD is needed to unravel the complex molecular interactions between COPD and lung cancer. These studies should also look at younger healthy smokers in combination with risk models of lung cancer and COPD. Overall these studies may allow the discovery of new molecular targets of the early carcinogenesis process that in the foreseeable future may render the early diagnosis and treatment, and maybe even the prevention, of invasive squamous cell lung carcinoma a reality. By understanding the common signalling pathways involved in COPD and lung cancer the hope is that treatments will be developed that not only treat the underlying disease process in COPD, but also reduce the currently high risk of developing lung cancer in these patients.

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References

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