Mammalian Target of Rapamycin as a Rational Therapeutic Target for Breast Cancer Treatment

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Key Words

Mammalian target of rapamycin • mTOR • Estrogen receptor • Human epidermal growth factor receptor 2

Abstract

Therapies directed at endocrine receptors and human epidermal growth factor receptor 2 are important treatment options for patients with breast cancer; however, drug resistance and subsequent disease progression in patients with advanced disease is inevitable. The mammalian target of rapamycin (mTOR) is a key regulator of cell growth and proliferation implicated in the cellular processes that lead to the uncontrolled growth of cancer cells. Hence, overactivation of the mTOR pathway may also represent a key process in the development of resistance to these therapies, and interrupting this signaling cascade may alleviate resistance and help restore drug sensitivity. A number of agents that target the mTOR pathway have shown potent antitumorigenic effects in vitro, and several agents have also shown promise in treating patients with breast cancer. Everolimus and temsirolimus are the most clinically advanced agents in this class, with recent data from the BOLERO-2 study indicating significant benefit associated with everolimus when added to endocrine therapy in patients with endocrine therapy-resistant disease. In this review, we consider the translation of mTOR

inhibitors from laboratory studies to large clinical trials, driven by a rational understanding of the role of mTOR in the processes that underlie breast cancer tumorigenesis.

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Introduction

Breast cancer, accounting for 28% of all cancers affecting women in the United States, is the most common cancer among women, with over 207,000 new cases reported in 2010 [1]. Even though death rates have fallen steadily since 1990, reflecting improvements in early detection and treatment, mortality remains high, with breast cancer being the second most common cause of cancer-related deaths in women [1]. These high death rates reflect the limited effectiveness of current therapeutic options, particularly in patients with advanced disease.

Treatment decisions are guided by stage, tumor grade and hormone and human epidermal growth factor receptor 2 (HER2) status. Targeted therapies, such as endocrine and biological therapy (e.g. trastuzumab), were developed based on rational understanding of processes underlying tumorigenesis resulting in significant improvements in treatment outcomes [2]. However, similar to traditional chemotherapy [3], drug resistance with

Fig. 1. PI3K/mTOR pathway [5]. 4EBP1 = 4E-binding protein 1; AMPK = adenosine monophosphate-activated protein kinase; ASK1 = apoptosis signal-regulating kinase 1; ATP = adenosine-5'-triphosphate; BAD = BCL2-associated agonist of cell death; eEF2K = eukaryotic elongation factor-2 kinase; eIF4B = eukaryotic initiation factor 4B; eIF4E = eukaryotic initiation factor 4E; FKBP12 = FK506-binding protein, 12 kDa; FOXO = forkhead box O1; GDP = guanosine diphosphate; GSK3 = glycogen synthase kinase 3; GTP = guanosine-5'-triphosphate; IRS1 = insulin receptor substrate 1; mLST8 = mTOR associated protein, LST8 homolog; mTOR = mammalian target of rapamycin; mTORC1 = mTOR complex 1; mTORC2 = mTOR complex 2; PDK1 = phosphoinositide-dependent kinase 1; PI3K = phosphatidylinositol 3-kinase; PIP2 = phosphatidylinositol (4,5) biphosphate; PIP3 = phosphatidylinositol (3,4,5) triphosphate; PRAS40 = proline-rich Akt substrate 40; PTEN = phosphatase and tensin homolog; Rheb = Ras homolog enriched in brain; S6 = ribosomal protein S6; S6K = ribosomal protein S6 kinase; SIN1 = stress-activated mitogen-activated protein kinase associated protein 1; TSC1 = tuberous sclerosis complex 1; TSC2 = tuberous sclerosis complex 2. Reprinted from [5]. Copyright © 2010 with permission from Elsevier.

these therapies still represents a significant problem resulting in disease recurrence and/or progression, necessitating alternative treatment strategies.

The mammalian target of rapamycin (mTOR), a ubiquitously expressed protein kinase and important regulator of cell growth and proliferation, is implicated in cell processes that lead to uncontrolled growth of cancer cells. mTOR inhibitors are effective against several cancer types, and emerging data support their use in breast cancer. A number of clinical candidates have undergone, or are undergoing, evaluation against this tumor type, yielding interesting data implicating a pivotal role for the mTOR pathway in this disease.

Overview of the mTOR Pathway

mTOR is a serine-threonine protein kinase that plays an integral role in signal transduction pathways that control cell growth and survival [4]. This cellular mediator is

critical in translating the effects of growth factors and nutrients at the cell surface into appropriate adaptive responses involving protein translation and transcription. mTOR consists of 2 protein complexes: mTOR complexes 1 and 2 (mTORC1 and mTORC2). mTORC1 is regulated by a number of signaling pathways, including the phosphatidylinositol-3-kinase (PI3K)/protein kinase B (Akt) pathway (fig. 1) [5]. Activation of PI3K by growth factors and nutrients, with subsequent activation of Akt, has 2 notable effects: first, it prevents dimerization of tuberous sclerosis complexes (TSCs) 1 and 2, and second, it promotes phosphorylation of proline-rich Akt substrate 40 kDa (PRAS40). Both inhibition of TSC dimerization and phosphorylation of PRAS40 allow mTORC1 to promote cell growth and proliferation via downstream transduction of proliferative signals through phosphorylation of the translational regulator eukaryotic initiation factor 4E-binding protein (4E-BP1) and the ribosomal protein S6 kinase [6]. In contrast, under conditions of depleted energy stores, TSC2 activity increases, causing downreg-

Fig. 2. Cross-talk between signal transduction pathways and ER signaling in endocrine-resistant breast cancer. Republished with permission of American Association for Cancer Research from [8]. Copyright © 2010; permission conveyed through Copyright Clearance Center, Inc.

ulation of mTORC1 and a consequent reduction in growth. mTORC1 is the biological target for rapamycin and other mTOR inhibitors, which are thought to exert their effects by destabilizing the structural integrity of mTORC1, weakening the association of mTOR with its regulatory associated protein raptor, and blocking downstream interactions with S6 kinase [7]. mTORC2 is also a multiprotein complex that has ≥ 2 major functions: regulation and organization of the cellular actin cytoskeleton and regulation of Akt through phosphorylation. For full activation, Akt requires phosphorylation by both mTORC2 and PI3K [4].

One of the key regulators of the PI3K/Akt/mTOR pathway is the phosphatase tensin homolog (PTEN) [4]. Under normal conditions, PTEN acts as a negative regulator of this pathway, limiting the growth proliferation-promoting effects mediated through activation of mTORC1. Mutations or gene amplifications in PI3K and mutations resulting in PTEN loss are reported in many cancers, making the mTOR signaling pathway a rational therapeutic target for cancer drugs [4].

Rationale for Using mTOR Inhibitors in Breast Cancer

Although endocrine therapy and HER2-targeted therapy are considered effective, resistance occurs limiting further treatment options. However, an accumulating body of evidence indicates that overactivation of the PI3K/Akt/mTOR pathway may represent a key process in

the development of resistance to these treatments (fig. 2) [8]. It has been postulated that the use of mTOR inhibitors in breast cancer may be twofold: in patients with acquired resistance, they could be used to partially restore sensitivity and provide an additional treatment period for drugs such as trastuzumab or aromatase inhibitors, whereas in patients who have not previously been treated with endocrine therapies, they could be used in combination with hormonal treatments to delay the onset of resistance and prolong treatment [5, 9].

Several studies implicate increased PI3K/Akt/mTOR signaling in trastuzumab resistance. Trastuzumab inhibits PI3K signaling through an increase in PTEN activity, and this PTEN activation is thought to contribute to the drug's antiproliferative effects [10]. These antiproliferative effects, however, are decreased in cells with reduced PTEN expression, suggesting that a reduction in PTEN expression confers trastuzumab resistance [10]. These data are consistent with observations in the clinical setting where PTEN-deficient breast cancers demonstrate poor clinical response to trastuzumab [10]. Mutations leading to PTEN loss or PI3K catalytic subunit activation, both effectively increasing PI3K signaling, are also associated with trastuzumab resistance [11]. Finally, screening of breast cancer patients for genes involved in drug resistance has shown that oncogenic mutations in PI3K catalytic subunit or low PTEN expression are associated with poor prognosis after trastuzumab therapy [12].

The PI3K/Akt/mTOR pathway also plays an important role in modulating responses to estrogen receptor (ER) therapy in a ligand-independent fashion, with sev-

eral studies indicating that overactivation of Akt, and the subsequent overactivation of downstream mTOR, underlies resistance to endocrine therapies [13–15]. In breast cancer patients, activation of Akt is associated with a worse outcome among patients receiving endocrine therapy, with reduced clinical benefit in patients with positive expression of activated Akt [13]. Other studies have also shown an inverse correlation between Akt activation and partial response (PR) rates. [16]. Expression of phosphorylated S6 kinase, a downstream marker of mTOR activation, significantly predicts overall survival (higher expression equating to poorer survival) in patients with hormone receptor-positive breast cancer receiving adjuvant endocrine therapy [15]. This is mimicked in vitro and in xenograft studies, where breast cancer cells with constitutive Akt activation exhibit reduced estrogen dependency and also demonstrate reduced sensitivity to antiestrogen therapy [14]. In these studies, mTOR inhibition restored antiestrogen sensitivity [15].

Overview of mTOR Inhibitors in Breast Cancer

Temsirolimus

Preclinical Studies

Several in vitro studies report antitumor effects associated with temsirolimus in ER-positive, ER-negative and HER2-positive preclinical breast cancer models [17, 18]. In MCF-7 breast cancer cells, temsirolimus exhibited synergistic inhibition of cell growth with the ER antagonist, ERA-923, whereas only partial activity was seen with either agent alone. Similar synergy was also seen in a female ovariectomized athymic nu/nu mouse xenograft model, with the combination of drugs inhibiting tumor growth by approximately 85% compared with control [17].

Clinical History

Initial dose-escalation studies of temsirolimus assessed doses ranging between 25–100 mg administered orally on days 1–5 of a 14-day cycle in patients with advanced solid tumors (table 1) [19–22]. The maximum tolerated dose of 75 mg was associated with a time to maximum plasma concentration (T_{max}) of 2.9 h, a maximum plasma concentration (C_{max}) of 23.0 ng/ml and a terminal half-life of 9.5 h, yielding an area under the plasma concentration-time curve from 0 to 24 h ($AUC_{0-24 h}$) of 147 ng · h/ml. Overall, oral bioavailability was low at 1.5–2.5%, but with the additional contribution of the ac-

tive component, sirolimus, the relative exposure of the oral formulation was 8.8–26.5% [19].

Overall, 6 of 12 patients receiving temsirolimus 75 mg and 5 of 6 receiving temsirolimus 100 mg required dose reductions [19]. The most frequent adverse events (AEs) leading to dose reduction were mucositis, rash and thrombocytopenia. Ten patients experienced grade 3 AEs. Of 24 enrolled patients, 19 were also evaluable for tumor response. There was no complete response (CR) or PR noted, although 5 patients receiving 75- or 100-mg doses had radiographic minor responses that lasted 8–21 weeks and 2 had stable disease (SD) lasting 35–36 weeks. Overall median time to tumor progression (TTP) was 15.7 weeks [19].

A subsequent phase 2 study indicated that addition of temsirolimus to letrozole may extend progression-free survival (PFS) compared with letrozole alone in patients with locally advanced or metastatic breast cancer (table 1) [20]. Objective responses were reported in 9 patients receiving daily temsirolimus (all PR), 9 patients receiving intermittent temsirolimus (1 CR and 8 PR) and 12 patients receiving letrozole alone (2 CR and 10 PR); PFS at 1 year was 69, 62 and 48% in the daily, intermittent and monotherapy arms, respectively [20]. There were no grade 3/4 toxicities among patients receiving letrozole alone; however, in the temsirolimus arms, grade 3/4 AEs were reported in $\geq 5\%$ of patients.

Unfortunately, these promising phase 2 observations were not confirmed in a subsequent large phase 3 randomized study that allocated 1,112 postmenopausal women to receive placebo or temsirolimus administered orally (30 mg/day on days 1–5 of a 14-day cycle) in combination with letrozole (2.5 mg/day) (table 1) [21]. At interim analysis, PFS and objective response rates (27%) were similar in both treatment arms, and grade 3/4 AEs were more common among patients receiving temsirolimus plus letrozole than those receiving letrozole alone (34 vs. 23%). The study was subsequently terminated based on the recommendation of the Data Safety Monitoring Committee.

Recent Advances: Intravenous Temsirolimus

Further clinical studies were conducted using an intravenous (IV) formulation of temsirolimus suggesting acceptable tolerability associated with a once-weekly 30-min IV infusion (table 1) [23, 24]. These initial studies were followed by a phase 2 trial in patients with locally advanced or metastatic breast cancer who had received 1 or 2 previous chemotherapies in the advanced or metastatic setting [22]. Patients were randomly assigned to receive temsirolimus 75 or 250 mg once weekly as a 30-min IV infusion for 6 months or until disease progression.

Table 1. Clinical trials with temsirolimus in breast cancer [19–22]

Study	Study design	Patients, n	Treatments	Objective response rates	Disease progression	Safety
Buckner et al., 2010 [19]	phase 1, dose-ranging study	24 patients with advanced solid tumors	temsirolimus 25–100 mg orally once daily for 5 days every 2 weeks	SD, n = 2 (5 minor responses)	median TTP = 15.7 weeks	MTD = 75 mg grade 3 toxicities of rash and elevated aminotransferases at 100-mg dose. Most common AEs were mucositis, rash/maculopapular rash and asthenia
Carpenter et al., 2005 [20]	phase 2, randomized	locally advanced BC or MBC (~30 patients/arm)	letrozole (2.5 mg/day) + temsirolimus (oral 10 mg/day)	PR, n = 9	PFS at 1 year: 69%	grade 3/4 AEs: – hyperglycemia, 9%
			letrozole (2.5 mg/day) + temsirolimus (oral 30 mg for 5 days every 2 weeks)	CR, n = 1; PR, n = 8	PFS at 1 year: 62%	grade 3/4 AEs: – hyperglycemia, 17% – asthenia, 7% – hypertension, 7% – hypokalemia, 7%
			letrozole (2.5 mg/day)	CR, n = 2; PR, n = 10	PFS at 1 year: 48%	grade 3/4 AEs: none
Chow et al., 2006 [21]	phase 3, randomized, placebo-controlled	postmenopausal women with locally advanced BC or MBC, n = 1,112	letrozole (2.5 mg/day) + temsirolimus (oral 30 mg/day for 5 days every 2 weeks) (n = 556)	CR, 2%; PR, 25%; SD, 18%	median PFS: 8.8 months (95% CI: 7.4–9.6 months)	grade 3/4 AEs = 34% – hyperglycemia, 4% – dyspnea, 3% – neutropenia, 3% – asthenia, 3%
			letrozole (2.5 mg/day) + placebo (n = 556)	CR, 2%; PR, 25%; SD, 19%	median PFS: 8.9 months (95% CI: 6.9–9.4 months)	grade 3/4 AEs = 23% – dyspnea, 3% – asthenia, 2% – hyperglycemia, 1% – neutropenia, 1%
Chan et al., 2005 [22]	phase 2, open-label, 2-dose level, Simon 2-stage	heavily pretreated locally advanced BC or MBC, n = 109	temsirolimus 75 mg IV via 30-min infusion once weekly for 6 months or until disease progression	PR, n = 6; SD, n = 2	median TTP = 9.9 weeks	grade 3/4 TEAEs = 53% – leukopenia, 9% – GGT increase, 7% – mucositis, 6% – hyperglycemia, 6% – thrombocytopenia, 6% – hypercholesterolemia, 6%
			temsirolimus 250 mg IV via 30-min infusion once weekly for 6 months or until disease progression	PR, n = 4; SD, n = 3	median TTP = 14.3 weeks	grade 3/4 TEAEs = 57% – mucositis, 14% – somnolence, 10% – depression, 10% – hyperglycemia, 8% – asthenia, 6% – anorexia, 6% – vomiting, 6%

AEs = Adverse events; BC = breast cancer; CR = complete response; GGT = gamma glutamyl transferase; IV = intravenous; MBC = metastatic breast cancer; MTD = maximum tolerated dose; PFS = progression-free survival; PR = partial response; SD = stable disease; TEAE = treatment emergent AE; TTP = time to tumor progression.

AEs were more common at the 250-mg dose with more dose reductions, treatment delays, higher number of patients with grade 3/4 mucositis (7 vs. 3), somnolence (5 vs. 1) and depression (5 vs. 0), and more drug discontinuation. However, antitumor activity was comparable with similar numbers of patients in the 75- and 250-mg dose groups achieving PR (6 vs. 4) and SD $\geq$ 24 weeks (2 vs. 3). Across both dose groups, median TTP was 12 weeks.

Everolimus

Preclinical Studies

Data indicating that overactivation in the PI3K/Akt/mTOR pathway may underlie resistance to trastuzumab and ER therapy are supported by preclinical studies demonstrating that selective inhibition of mTOR signaling with everolimus can restore sensitivity to these

agents. Preclinical studies confirmed that everolimus alone exhibits potent antiproliferative and antitumor activity in models of breast cancer in vitro and in vivo [25]. Everolimus also rescued trastuzumab-mediated growth inhibition in cell culture and xenograft models made resistant to trastuzumab through PTEN antisense [26]. Similar observations have also been made regarding resistance to ER therapy. The Akt-mediated resistance to ER therapy seen in breast cancer cells is overcome through co-administration of ER therapies with everolimus [27].

Pharmacokinetics

Everolimus was rapidly absorbed with a $T_{\max}$ of 0.5–2.5 h [28]; however, absorption was delayed by concurrent administration with a high-fat meal. Under these conditions, $T_{\max}$ was delayed by 1.75 h and $C_{\max}$ and AUC were reduced by approximately 50 and 20%, respectively [29]. Exposure was dose proportional over the therapeutic dose range (2.5–25 mg), yielding AUC values of 344–2400 $\mu\text{g} \cdot \text{h/l}$, with steady-state concentrations achieved after approximately 7 days [28]. Metabolism of everolimus occurred primarily in the gut and liver by cytochrome P450 3A4, 3A5 and 2C8, with the majority of drug (approximately 98%) excreted in the bile in the form of metabolites [28].

Clinical Studies: Efficacy

Monotherapy. Everolimus has been evaluated in multiple studies of patients with breast cancer (table 2) [30–43]. In a phase 2 study of 49 women with recurrent or metastatic breast cancer, response rates were higher with daily (10 mg/day) compared with weekly (70 mg once weekly) oral administration of everolimus. Among the 33 patients receiving daily therapy, there were 1 CR and 3 PRs, with median durations of 13.1 and 3.7 months, respectively [30]. A further 15 patients receiving daily everolimus achieved SD [30].

In a second ongoing monotherapy study, everolimus (10 mg/day) was administered for 8 weeks to patients with invasive breast cancer and bone metastases [31]. Of 52 patients reported so far, 2 patients had a CR or PR and continued on treatment and 32 patients had progressive disease and were discontinued. The remaining 18 patients with SD were randomly allocated to continue with everolimus or receive placebo and the study is ongoing [31].

Everolimus Studies in Hormone Receptor-Positive Patients. Recent data from the Breast Cancer Trial of Oral Everolimus (BOLERO)-2 study indicates that addition of

everolimus to exemestane significantly improved PFS in patients with hormone receptor-positive advanced breast cancer (table 2) [32]. BOLERO-2 was a phase 3, placebo-controlled, randomized study comparing everolimus plus exemestane versus placebo plus exemestane in 724 patients with hormone receptor-positive advanced breast cancer refractory to anastrozole or letrozole. Median PFS was 6.9 months in patients receiving everolimus versus 2.8 months in the placebo arm (hazard ratio [HR] = 0.43, 95% CI: 0.35–0.54). Objective response rates were 9.5% with everolimus and 0.4% with placebo ($p < 0.001$) [32]. A longer-term prespecified 12-month follow-up analysis of BOLERO-2 confirmed these findings. Median PFS was 7.4 months versus 3.2 months, and objective response rates were 12.0 and 1.3% for combination and exemestane-only therapy, respectively (HR = 0.44, 95% CI: 0.36–0.53, $p < 0.001$ [local assessment]) [33]. The median dose intensity (cumulative dose/duration of exposure) of everolimus was 8.6 mg (range: 0.3–10 mg) and PFS was similar regardless of whether or not a patient's dose intensity during the study was reduced, possibly due to adverse events (< 7.5 mg/day, HR = 0.40, 95% CI: 0.31–0.52; ≥ 7.5 mg/day, HR = 0.45, 95% CI: 0.37–0.56) [44]. Recently, an 18-month follow-up analysis determined that the median PFS was 7.8 months versus 3.2 months (HR = 0.45, 95% CI: 0.38–0.54, $p < 0.001$ [local assessment]) and objective response rates were 12.6 and 1.7% for combination and exemestane-only therapy, respectively ($p < 0.001$) [34].

Two randomized, phase 2 studies also indicate that the addition of everolimus to endocrine therapy is associated with improved response rates in patients with breast cancer (table 2). TAMRAD evaluated tamoxifen alone (20 mg/day) or in combination with everolimus (10 mg/day) in patients with hormone receptor-positive and HER2-negative metastatic breast cancer previously treated with aromatase inhibitor therapy [35]. After a median follow-up of approximately 24 months, the clinical benefit rate (CR plus PR plus SD at 6 months) was 42% (95% CI: 29–56) versus 61% (95% CI: 47–74) in monotherapy versus combination treatment arms (exploratory $p = 0.045$). Consistent with tumor responses, TTP was significantly longer in the combination arm (8.6 months vs. 4.5 months; HR = 0.54, 95% CI: 0.36–0.81, exploratory $p = 0.002$) [35].

In a second phase 2 study, 270 patients with newly diagnosed ER-positive, localized breast cancer were treated with neoadjuvant letrozole (2.5 mg/day) alone or in combination with everolimus (10 mg/day) [36]. Response rates (by clinical palpation) were 68.1% (95% CI: 60.3–

Table 2. Clinical trials with everolimus in breast cancer [30–43]

Study	Study design	Patients, n	Treatments	Objective response rates	Disease progression	Safety
<i>Everolimus monotherapy studies</i>						
Ellard et al., 2009 [30]	randomized phase 2	minimally pretreated/ recurrent MBC	everolimus 10 mg/day orally	CR, n = 1; PR, n = 3; SD, n = 15	not evaluated	D/C because of toxicity: 27% – pneumonitis, n = 4 – transaminase elevation, n = 2
			everolimus 70 mg/week orally	accrual suspended because of disease progression rates	not evaluated	D/C because of toxicity: 13% – pneumonitis, n = 1 – fatigue, n = 1
Maas et al., 2009 [31]	prospective	invasive BC and bone metastases (n = 52)	everolimus (10 mg/day) for 8 weeks + zoledronic acid	CR, n = 1; PR, n = 1; PD, n = 32 no change at 8 weeks, n = 18*	not evaluated	SAEs, n = 14 – diarrhea/vomiting, n = 4 – infection, n = 2 – anemia/pancytopenia, n = 2
<i>Everolimus in patients with hormone receptor-positive disease</i>						
Baselga et al., 2012† [32]	placebo-controlled, randomized phase 3	postmenopausal ER-positive advanced BC (n = 724)	exemestane (25 mg/day) + everolimus (10 mg/day)	CR, 0.4%; PR, 9.1%; SD, 70.1% ORR, 9.5%	PFS = 6.9 months	grade 3/4 AEs – stomatitis, 8% – anemia, 6% – hyperglycemia, 4% – dyspnea, 4% – fatigue, 4% D/C because of AE, 19%
<i>BOLERO-2</i>			exemestane (25 mg/day) + placebo	CR, 0%; PR, 0.4%; SD, 58.6% ORR, 0.4% (p < 0.001 vs. exemestane alone)	PFS = 2.8 months (HR = 0.43; 95% CI: 0.35–0.54)	grade 3/4 AEs – stomatitis, 1% – anemia, <1% – hyperglycemia, <1% – dyspnea, 1% – fatigue, 1% D/C because of AE, 4%
Hortobagyi et al., 2011† [33]	placebo-controlled, randomized phase 3	postmenopausal ER-positive advanced BC (n = 724)	exemestane (25 mg/day) + everolimus (10 mg/day)	ORR, 12.0%	PFS = 7.4 months	grade 3/4 AEs – stomatitis, 8% – anemia, 7% – hyperglycemia, 5% – dyspnea, 4% – fatigue, 4% D/C because of AE, 8%
<i>BOLERO-2 (12-month data update)</i>			exemestane (25 mg/day) + placebo	ORR, 1.3% (p < 0.0001 vs. exemestane alone)	PFS = 3.2 months (HR = 0.44; 95% CI: 0.36–0.53)	grade 3/4 AEs – stomatitis, 1% – anemia, 1% – hyperglycemia, <1% – dyspnea, 1% – fatigue, 1% D/C because of AE, 3%
Piccart et al., 2012† [34]	placebo-controlled, randomized phase 3	postmenopausal ER-positive advanced BC (n = 724)	exemestane (25 mg/day) + everolimus (10 mg/day)	ORR, 12.6%	PFS = 7.8 months	grade 3/4 AEs – stomatitis, 8% – hyperglycemia, 5% – fatigue, 4% D/C because of AE, 9%
<i>BOLERO-2 (18-month data update)</i>			exemestane (25 mg/day) + placebo	ORR, 1.7% (p < 0.0001 vs. exemestane alone)	PFS = 3.2 months (HR = 0.45; 95% CI: 0.38–0.54)	grade 3/4 AEs – stomatitis, 1% – hyperglycemia, <1% – fatigue, 1% D/C because of AE, 3%
Bachelot, et al., 2012 [35]	randomized phase 2, Simon 2-stage	hormone- receptor-positive, HER2-negative MBC	tamoxifen (20 mg/day) + everolimus (10 mg/day) (n = 54)	CBR, 61%	TTP = 8.6 months	grade 3/4 AEs – stomatitis, 11% – anorexia, 7% – pain, 9% – rash, 4%
<i>TAMRAD</i>			tamoxifen (20 mg/day) (n = 57)	CBR, 42% (exploratory p = 0.045 vs. tamoxifen alone)	TTP = 4.5 months (exploratory p = 0.002)	grade 3/4 AEs – pain, 18% – fatigue, 11% – infection, 5%

Table 2 (continued)

Study	Study design	Patients, n	Treatments	Objective response rates	Disease progression	Safety
Baselga, et al., 2009 [36]	phase 2 randomized	postmenopausal women with operable ER-positive BC (n = 270)	everolimus (10 mg/day) + neoadjuvant letrozole (2.5 mg/day) (n = 138)	CR, 18 (13.0%) PR, 76 (55.1%) ORR [‡] = 68.1% (95% CI: 60.3–75.9)	not evaluated	grade 3/4 AEs, n = 31 – stomatitis, n = 3 – hyperglycemia, n = 7 – pneumonitis, n = 3 dose reduction/interruption, 52.9%
			neoadjuvant letrozole (2.5 mg/day) + placebo (n = 132)	CR, 12 (9.1%) PR, 66 (50%) ORR [‡] = 59.1% (95% CI: 50.7–67.5)	not evaluated	grade 3/4 AEs, n = 5 – asthenia, n = 1 – arthralgia, n = 1 – cellulitis, n = 1 dose reduction/interruption, 7.6%
Badin, et al., 2010 [37]	phase 2 open-label	ER-positive MBC with aromatase inhibitor therapy failure within 6 months (n = 11)	everolimus (10 mg/day) + fulvestrant (500 mg day 1, 250 mg day 14, 250 mg day 28 and monthly thereafter)	CBR = 55%	TTP = 8.6 months	mucositis, 63.6% rash, 45.5% infection, 36.4% fatigue, 27.3% most of the toxicities were grade 1 no grade 4 toxicities were observed
<i>Everolimus in patients overexpressing HER2</i>						
Jerusalem et al., 2011 [38]	phase 1b, dose-escalation study	HER2-overexpressing MBC	everolimus 5 mg/day + trastuzumab ± vinorelbine (n = 30)	CR, n = 1 PR, n = 5 SD, n = 18	median PFS: 30.7 weeks (95% CI: 28–44.9)	grade 3/4 AEs – neutropenia, n = 25 – leukopenia, n = 14 – stomatitis, n = 5
			everolimus 20–30 mg/week + trastuzumab ± vinorelbine (n = 20)	CR, n = 0 PR, n = 3 SD, n = 12	median PFS: 27.1 weeks (95% CI: 25.6–N/A)	grade 3/4 AEs – neutropenia, n = 18 – leukopenia, n = 8 – lymphopenia, n = 3
Andre et al., 2010 [39]	phase 1b, dose-escalation study	MBC pretreated with trastuzumab	everolimus (5–10 mg/day) + paclitaxel/trastuzumab (n = 23)	CR, n = 2 PR, n = 7 SD, n = 8	median PFS: 33 weeks (95% CI: 23.7–N/A)	grade 3/4 AEs – neutropenia, n = 13 – leukopenia, n = 8 – lymphopenia, n = 7 – stomatitis, n = 4
			everolimus (30 mg/week) + paclitaxel/trastuzumab (n = 10)	CR, n = 0 PR, n = 3 SD, n = 5	median PFS: 40.7 weeks (95% CI: 30–N/A)	grade 3/4 AEs – neutropenia, n = 4 – leukopenia, n = 2 – lymphopenia, n = 4 – stomatitis, n = 3
Dalenc et al., 2010 [40]	phase 2 Simon 2-stage	HER2-overexpressing MBC resistant to taxanes and trastuzumab	everolimus (10 mg/day) + paclitaxel/trastuzumab (n = 55)	CR, n = 0 PR, n = 9 SD, n = 30	median PFS: 26 weeks (95% CI: 23.1–40.4)	grade 3/4 AEs – neutropenia, n = 15 – stomatitis, n = 11 – lymphopenia, n = 8
Morrow et al., 2011 [41]	phase 1/2	trastuzumab-resistant MBC overexpressing HER2	trastuzumab (8 mg/kg, then 6 mg/kg every 3 weeks) + everolimus (10 mg/day) (n = 47)	PR, n = 7 SD ≥24 weeks, n = 9	median PFS: 4.1 months	grade 3/4 AEs – lymphopenia, 13% – hyperglycemia, 13% – mucositis, 9%
<i>Everolimus in patients with HER2-negative disease</i>						
Mayer et al., 2009 [42]	open-label phase 1b dose escalation study	HER2-negative MBC (70% TNBC)	everolimus (20–30 mg/week) + cisplatin (25 mg/m ²)/paclitaxel (80 mg/m ²) once weekly for 3 weeks in a 4-week cycle (n = 16)	13 patients evaluable for response CR n = 1 PR, n = 2 SD, n = 7 all CR/PRs receiving everolimus 30 mg/week)	median TTP: 5 months	grade 3/4 AEs – neutropenia, 8.5%

Table 2 (continued)

Study	Study design	Patients, n	Treatments	Objective response rates	Disease progression	Safety
Gonzalez-Angulo et al., 2011 [43]	single-institution phase 2	primary TNBC in neoadjuvant setting	paclitaxel for 12 weeks followed by 5-fluorouracil, epirubicin and cyclophosphamide every 3 weeks for 4 cycles	at week 12 CR, n = 3 PR, n = 5 SD, n = 16 at week 24 CR, n = 4 PR, n = 16 SD, n = 7	not evaluated	grade 3/4 AEs – neutropenia, 41% – GI disturbances, 19% – increased leukocytes, 11% – fatigue, 7% – thrombocytopenia, 7% – pain, 7%
			paclitaxel + everolimus (30 mg/week) for 12 weeks followed by 5-fluorouracil, epirubicin and cyclophosphamide every 3 weeks for 4 cycles	at week 12 CR, n = 0 PR, n = 11 SD, n = 11 at week 24 CR, n = 2 PR, n = 11 SD, n = 7		grade 3/4 AEs – neutropenia, 52% – GI disturbances, 26% – increased leukocytes, 17% – fatigue, 17% – anemia, 17% – pain, 13% – infection, 13%

AEs = Adverse events; BC = breast cancer; CBR = clinical benefit rate (CR + PR + SD at 6 months); CI = confidence interval; CR = complete response; D/C = discontinuation; ER = estrogen receptor; GI = gastrointestinal; HR = hazard ratio; HER = human epidermal growth factor receptor; MBC = metastatic breast cancer; N/A = not available; ORR = objective response rate; PR

= partial response; SAEs = serious adverse events; SD = stable disease; TNBC = triple-negative breast cancer; TTP = time to progression.

* Patients with no change at week 8 were randomized to continue with everolimus 10 mg/day or receive placebo for further treatment; data not available. † Data based on local review. ‡ By palpation.

75.9) in the combination and 59.1% (95% CI: 50.7–67.5) in the monotherapy arm (1-sided $p = 0.06$), meeting the pre-specified primary end point according to the 1-sided χ^2 test significance threshold of $p < 0.1$ [36].

Additionally, an open-label phase 2 trial of everolimus (10 mg/day) in combination with intramuscular fulvestrant is currently underway in patients with ER-positive disease who failed an aromatase inhibitor within 6 months of study entry. The median TTP was 8.6 months and the clinical benefit rate was 55% in the initial 11 patients enrolled [37].

Everolimus Studies in HER2-Positive Patients. Phase 1 dose escalation studies support the use of everolimus at doses of 5–10 mg/day in combination regimens for metastatic breast cancer (table 2) [38–41]. In combination with trastuzumab and vinorelbine, the most common dose-limiting toxicity was neutropenia [38]. Doses of 5 mg/day and 20–30 mg/week were subsequently evaluated for safety and efficacy in combination with trastuzumab alone (6 mg/kg every 3 weeks) or trastuzumab (2 mg/kg/week) plus vinorelbine. Among 47 evaluable patients, overall response rates (ORRs) were 19% and disease control rate was 83%, with no marked differences between daily and weekly schedules. Median PFS was 30.7 weeks in the daily everolimus arm and 27.1 weeks in the weekly everolimus arm [38]. A similar phase 1 study identified

everolimus 10 mg/day as the appropriate dose for further investigation when used in combination with paclitaxel and trastuzumab in patients with metastatic breast cancer pretreated with trastuzumab [39]. Median PFS was 34 weeks (95% CI: 29.1–40.7) and ORR was 44%. [39]. A follow-up phase 2 study using a similar treatment regimen in 55 patients with metastatic breast cancer resistant to taxanes and trastuzumab yielded an ORR of 19% (all PR) and an SD rate of 62%. The median PFS was 26 weeks (95% CI: 23.1–40.4) [40]. Everolimus plus trastuzumab (without chemotherapy) has also demonstrated activity in patients with trastuzumab resistant metastatic breast cancer, with a clinical benefit rate of 34% and median PFS of 4.1 months [41].

Everolimus Studies in HER2-Negative Patients. Everolimus-containing regimens have been assessed in a small number of patients with HER2-negative metastatic breast cancer (table 2). In a small phase 1/2 study that included 16 patients with HER2-negative metastatic breast cancer receiving everolimus in combination with cisplatin and paclitaxel (70% had triple-negative disease), 1 patient achieved a CR, 2 had a PR and 7 had SD [42]. Median TTP was 5 months [42]. A single-institution study has also evaluated the use of everolimus in patients with early-stage or locally advanced triple-negative disease [43]. In this study, patients were randomized to receive neoadju-

vant paclitaxel alone (n = 27) or in combination with everolimus (30 mg/week; n = 23) for 12 weeks, followed by 5-fluorouracil, epirubicin and cyclophosphamide every 3 weeks for 4 cycles. At the end of the initial 12-week dosing period, there was no significant difference in response rates between treatment arms, with 8 of 27 patients receiving paclitaxel achieving CR (n = 3) or PR (n = 5) and 11 patients receiving paclitaxel plus everolimus achieving PR [43]. There was also no difference in response rates between treatment arms at week 24, and pathological CRs were also similar [43].

Clinical Studies: Safety

Everolimus has a predictable safety profile (table 2). In monotherapy studies, fatigue, pneumonitis, infection, neutropenia, diarrhea and vomiting were the most frequently reported grade 3/4 AEs [30, 31]. In 1 study, pneumonitis was reported more frequently with daily (10 mg/day) compared with weekly (70 mg/week) administration [30]. With daily administration, 46% of patients developed any-grade pneumonitis, with a median time to onset of 51 days. The pneumonitis was symptomatic (grade ≥ 2) in most patients and reversible in all cases with appropriate measures (drug hold, steroid treatment for grade ≥ 2 , rechallenge at reduced dose in patients who recovered to grade ≤ 1). Median duration of symptomatic pneumonitis was 1.9 months [30].

In combination with endocrine therapy, stomatitis, rash, hyperglycemia and pneumonitis were distinguishing AEs in everolimus treatment arms. In BOLERO-2, 23% of patients receiving everolimus plus exemestane and 12% of those receiving exemestane plus placebo reported serious AEs, and discontinuation because of an AE was also higher with everolimus compared with placebo (19 vs. 4%) [32]. The most frequently reported grade 3/4 AEs in the everolimus arm were stomatitis, anemia, dyspnea, fatigue and hyperglycemia. Among patients receiving neoadjuvant everolimus plus letrozole, 52.9% required dose interruption or discontinuation, compared with 7.6% among those receiving letrozole alone [36]. Stomatitis was the most frequent AE reported in this combination, and hyperglycemia was the most frequent grade 3/4 AE. Three patients developed symptomatic pneumonitis, which resolved in all cases within 15 days of treatment discontinuation [36]. In patients with metastatic breast cancer receiving everolimus (10 mg/day) in combination with tamoxifen, toxicity-related discontinuations were more frequent in the combination arm (22 vs. 7%), with stomatitis, pain and fatigue among the most frequently reported grade 3/4 AEs [35]. For the phase 2 study of

everolimus plus fulvestrant, the most common AEs were mucositis, rash, infection and fatigue. However, most of these toxicities were grade 1, with no grade 4 toxicities observed [37].

HER2-positive metastatic breast cancer studies with everolimus have generally been conducted in heavily pretreated patients who have received ≥ 2 , and in some cases as many as 5, previous treatments [38–40]. In these studies, grade 3/4 neutropenia and leukopenia were the most frequent grade 3/4 AEs and were generally considered attributable to chemotherapy [38–41]. Stomatitis, mucositis and hyperglycemia were the most frequently reported grade 3/4 AEs attributable to everolimus.

Future Directions

Data from BOLERO-1 and BOLERO-3 are expected to follow the recent reporting of BOLERO-2. BOLERO-1 and BOLERO-3 are ongoing phase 3 studies in patients with HER2-positive metastatic breast cancer overexpressing HER2. In BOLERO-1 (NCT00876395) everolimus will be evaluated in combination with paclitaxel and trastuzumab in a first-line setting, and in BOLERO-3 everolimus will be administered in combination with vinorelbine plus trastuzumab in patients who progressed while receiving trastuzumab and who previously received a taxane (NCT01007942).

Other Inhibitors of the mTOR Pathway

Several other PI3K/mTOR inhibitors are currently being evaluated in early clinical studies in patients with advanced solid tumors (table 3) [45–50]. Deforolimus (ridaforolimus, AP23573, MK-8669) is a nonprodrug analog of rapamycin that selectively inhibits mTOR activity, causing dose-dependent inhibition of cell growth in vitro and antitumor activity in mouse xenograft models [51]. Phase 1 data indicate an acceptable tolerability profile, with fatigue, anorexia, mucositis, nausea and diarrhea among the most commonly reported AEs [52].

NVP-BEZ235 is a dual PI3K/mTOR inhibitor that has shown growth-inhibitory properties in a human breast cancer cell line resistant to tamoxifen [45]. NVP-BEZ235 also blocks vascular endothelial growth factor-mediated cell proliferation and angiogenesis, inhibits microvessel permeability in vitro [53] and may alleviate resistance to ErbB receptor inhibitors [54].

XL765 is a dual PI3K/mTOR inhibitor that blocks PI3K signaling in vitro and shows antitumor activity in xenograft models [55]. A phase 1 study of 34 patients with

Table 3. Investigational PI3K/mTOR inhibitors [45–50]

Drug	Mechanism	Clinical trial identifier
Deforolimus (ridaforolimus AP23573, MK-8669)	nonprodrug analog of rapamycin that selectively inhibits mTOR activity	NCT00736970, NCT01234857, NCT01220570
NVP-BEZ235	orally available dual PI3K/mTOR inhibitor	NCT01285466, NCT01248494, NCT00620594, NCT01482156, NCT01471847, NCT01495247, NCT01300962
XL765	dual PI3K/mTOR inhibitor	NCT01082068
GDC-0941	selective PI3K inhibitor	NCT00928330, NCT00960960
GDC-0980	dual PI3K/mTOR inhibitor	NCT01254526
GSK2126458	dual PI3K/mTOR inhibitor that inhibits tumor cell growth and inhibits PI3K/mTOR signaling in vitro [45]	NCT01248858, NCT00972686
AZD8055	ATP-competitive mTOR inhibitor selective for all class I PI3K isoforms that causes significant growth inhibition in a range of human tumor xenograft models [46]	NCT00731263
OSI-027	inhibitor of mTORC1 and mTORC2 that inhibits proliferation of cancer cell lines with activated PI3K/Akt signaling, and antitumor activity in several human xenograft models [47]	NCT00698243
CH5132799	selective class I PI3K inhibitor [48]	NCT01222546
PF-05212384 (PKI-587)	dual PI3K/mTOR inhibitor that inhibits tumor growth in human breast cancer xenograft models [49]	NCT00940498
ZSTK474	PI3K inhibitor that has shown efficacy in human cancer xenograft models [50]	NCT012800487

mTOR = Mammalian target of rapamycin; PI3K = phosphatidylinositol-3-kinase; ATP = adenosine triphosphate.

advanced solid tumors revealed that a maximum tolerated dose of 50 mg twice daily was sufficient to inhibit PI3K and pERK signaling [56].

GDC-0941, a selective PI3K inhibitor, has demonstrated inhibition of breast cancer xenograft growth. In vitro studies also suggest that both GDC-0941 and the dual PI3K/mTOR inhibitor GDC-0980 exhibit synergistic antitumor activity when added to trastuzumab-DM1 (trastuzumab conjugated to the antimicrotubule agent maytansinoid) in breast cancer xenograft models [57]. Phase 1 data with GDC-0980 and GDC-0941 in patients with advanced solid tumors support further investigation of these agents in larger clinical trials [58, 59].

Conclusions

The PI3K/Akt/mTOR pathway occupies a pivotal role in the cellular processes regulating growth and proliferation. Numerous lines of research suggest this pathway also represents a fundamental regulator of tumor growth and cellular proliferation in cancer cells, and data from in vitro and in vivo models of breast cancer suggest that activation of the mTOR pathway is implicated in the mechanisms that lead to resistance to widely used and effective cancer treatments, including trastuzumab, lapatinib, endocrine therapy and cytotoxic chemotherapies. Preclinical data indicate that mTOR inhibition overcomes resistance to these drugs. If confirmed clinically, these findings would suggest that mTOR inhibitors have the potential to extend the utility of these agents in patients whose disease has become refractory.

Several inhibitors of the mTOR pathway are under evaluation for potential use in breast cancer. These studies will ultimately determine whether the mechanistic laboratory observations can be translated effectively into a clinical setting. Early observations from phase 1 and phase 2 clinical trials are promising; in particular, the data reported from the randomized phase 2 TAMRAD trial evaluating everolimus plus tamoxifen in hormone receptor-positive metastatic breast cancer patients demonstrated a significant improvement in TTP for the combination compared with tamoxifen alone. Furthermore, the recent phase 3 trial data from BOLERO-2 confirm significant benefit associated with everolimus therapy in patients with advanced hormone receptor-positive disease. With the BOLERO-2 data, the FDA recently approved the use of everolimus for postmenopausal women with advanced hormone receptor-positive, HER2-negative breast cancer in combination with exemestane after failure of treatment with letrozole

or anastrozole. Additional confirmation of the efficacy of mTOR inhibitors in breast cancer awaits results from other phase 3 clinical trials, such as the BOLERO-1 and BOLERO-3 studies of everolimus. Several other exciting drug candidates, including dual PI3K and mTOR inhibitors, are now at earlier stages of clinical development. Accumulating clinical experience with the use of these drugs will provide a greater insight into how best to harness their potential.

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