A Memory Already like an Elephant’s?
The Advanced Brain Morphology of the Last Common Ancestor of Afrotheria (Mammalia)

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Introduction

Afrotheria is a clade of placental mammals that includes the extant Proboscidea (elephants), Sirenia (sea cows), Hyracoidea (hyraxes), Tenrecoidea [Tenrecidae (tenrecs) plus Chrysochloridae (golden moles)], Macroscelidea (elephant shrews) and Tubulidentata (aardvarks) [Stanhope et al., 1998; Springer et al., 2004; Poulakakis and Stamatakis, 2010; Kuntner et al., 2011]. The former three orders (Proboscidea, Hyracoidea and Sirenia) form, with their extinct relatives (Embrithopoda and Desmostylia), a clade of hoofed mammals called Paenungulata. A more restrictive clade, called Tethytheria, includes the same orders with the exception of Hyracoidea [Tabuce et al., 2008]. The remaining three orders of insectivorous afrotherians and their extinct relatives (e.g. Bibymalagasia, the Malagasy aardvarks) are nested in the Afroinsectiphilia [Asher and Hulgen, 2010] (fig. 1). Among extant Afrotheria, Paenungulata have large, curved brains with proportionally small olfactory bulbs. Their a priori ‘primitive’ suite of traits evident in the brain of Afroinsectivorora, especially in the tenrecs, may have been secondarily acquired. Implications on the overestimation of the divergence age of Afrotheria are discussed.

Key Words
Neopallium · Endocast · Ungulate grade · Insectivore grade · Afrotheria · Ancestor

Abstract
Virtually reconstructed and natural endocranial casts are used in the study of brain evolution through geological time. We here present work investigating the paleoneurological evolution of afrotherian mammals. Using microCT-generated endocasts we show that, with the exception of the subfamilies Macroscelidinae and Tenrecoidea, most Afroinsectiphilia display a more or less gyrencephalic and ventrally expanded neopallium, two derived features that are unexpected for these insectivore-grade afrotherians. This implies that the endocast morphology at the root of the afrotherian clade may have been more advanced than previously thought. The reconstructed endocast morphology of the Afrotheria’s last common ancestor reaches the level of complexity of some early Cenozoic archaic ungulates. Our result gives support to the hypothesis of an ungulate-like ancestral body plan for Afrotheria. It also implies that the a priori ‘primitive’ suite of traits evident in the brain of Afroinsectivora, especially in the tenrecs, may have been secondarily acquired. Implications on the overestimation of the divergence age of Afrotheria are discussed.
are present in modern hoofed mammals (Paenungulata, Ferungulata) and anthropoid Primates, it is commonly assumed that they are derived with respect to the ancestral eutherian condition: a small linearly organised brain with small and smooth neocortex (lissencephalic), hugely exposed rhinencephalon (macrosmatic) in lateral view, proportionally large olfactory bulbs, large midbrain exposure and large flocullar lobes [Elliot Smith, 1902; Edinger, 1948, 1956; Dechaseaux, 1962; Edinger, 1964; Kielan-Jaworowska, 1986; Jerison, 1973; Nieuwenhuys et al., 1998; Macrini et al., 2007; Rowe et al., 2011]. Afroinsectiphilia show a wide range of brain morphologies. On one hand, the Tenrecoidea (Chrysochloridae, Tenrecidae) and some Macroscelididae (namely those belonging to the subfamily Macroscelidinae) typically display the so-called ‘primitive’ eutherian condition [Le Gros Clark, 1932; Edinger, 1948; Jerison, 1973; Nieuwenhuys et al., 1998]. On the other hand, Rhynchocyon (Macroscelidae, subfamily Rhynchoyininae) and Orycteropus (Tubulidentata) have more advanced brain morphologies. Rhynchocyon, which is the basal-most genus of Macroscelidae [Corbet, 1995; Douady et al., 2003; Kuntner et al., 2011] and is sometimes considered a ‘living fossil’ [Novacek, 1984], bears three distinct sulci on its neocallium [Owen, 1868; Bauchot and Stephan, 1967]. As in other Macroscelidae, its brain is a little more encephalized than other Afroinsectiphilia and its neocortex is larger [Bauchot and Stephan, 1967; Jerison, 1973]. The brain of Orycteropus also bears at least three sulci and there is neither midbrain exposure nor prominent flocular lobes [Elliot Smith, 1899, 1902; Sonntag and Woolard, 1925; Friant, 1960; Thewissen, 1985]. To find such advanced characters in both major clades of Afrotheria strongly suggests that they were already present in the last common ancestor of Afrotheria (LCA). Following this hypothesis, the ancestral brain morphology of afrotherians would have looked quite different from that of stem eutherians. The alternative hypothesis is that these derived traits appeared convergently in each clade.

In the present study, we have investigated the brain and endocranial cast morphology of extant and fossil afrotherians in order to test these hypotheses. Endocranial casts are a material of choice to compare fossil taxa with extant species because their morphology is nearly identical to that of the outer surface of the brain itself in most mammals [Edinger, 1948; Dechaseaux, 1962; Bauchot and Stephan, 1967; Jerison, 1973]. Although data on brain and endocranial cast are published for most groups (see Material and Methods), no survey on the evolution of the endocranial cast among afrotherians has been conducted so far. The new techniques of non-destructive investigations by the use of X-ray microtomography allow the study of endocranial casts which were hardly accessible before [Rowe et al., 2011; Orliac et al., 2012]. This technology is of particular interest for palaeontologists who are now able to see the internal structures of some rare extant species and unique fossil specimens without destroying or damaging them. Endocasts of living and fossil afrotherians which were not accessible before can now be studied in silico and can provide new insight into the evolutionary history of early afrotherians’ brain.

**Material and Methods**

**The Accuracy of Endocranial Cast to Reconstruct Brain Morphology**

Endocranial casts (or endocasts) are casts of the brain cavity and include prints of the meninx, cranial nerves, blood vessels and venous sinuses between the dura mater and the periost [Bauchot...
and Stephan, 1967]. Thus, the endocast is not an exact cast of the brain. Nevertheless, in the context of a paleoneurologic study, it is the only element that can be compared between extant and extinct animals [Edinger, 1948; Dechaseaux, 1962]. Additionally, marks left by the neopallium on the endocranial cast are often identical to the real sulcal pattern of the neocortex in life, except in the case of a thickening of the meninx as it occurs in Tethytheria and Cetacea [Dechaseaux, 1962]. Accordingly, neocortex and neopallium are used here interchangeably. To avoid any error in the interpretation of the sulcal pattern of the endocranial casts, our data were systematically compared to published pictures of real brains when available (online suppl. data 1; for all online suppl. material, see www.karger.com/doi/10.1159/000348481). The result was that none of our samples deviate from the pattern observed on the fleshy outer surface of the brain.

**Taxon Sampling**

The morphology of the fleshy brain has been described in many of the living representatives of Afrotheria (online suppl. data 1) and the morphology of the endocranial cast is also known in many fossil representatives such as Pleistocene hyracoids [Wells, 1939], the embirithopod Arisinoitherium [Andrews, 1906], the proboscides Moeritherium, Mammut and Mammutthus [Andrews, 1906; Dechaseaux, 1958a], numerous Paleogene and Neogene sirenians [Edinger, 1933; Pilleri, 1990; Furusawa, 2004], the bibymalagasan Plesiorycteropus [Edinger, 1975]. To make our reconstruction of the endocranial morphology of the LCA of afrotherians as accurate as possible, we scanned and digitally reconstructed the brain of some key fossil taxa (see online suppl. data 1).

**Paenungulata**

The Procaviidae are the only living family of Hyracoidea. Within procaviids, the endocranial morphology is quite similar in extant and fossil species [Wells, 1939]. Thus, in addition to extant species, the endocasts of two Paleogene hyracoids were also studied. Seggeurius amourensis, from the early Eocene of El Kohol (Algeria), represents the earliest and most plesiomorphic hyracoid yet known [Court and Mahboubi, 1993; Seiffert, 2007; Tabuce et al., 2008]. We used a cranium still undescribed, found associated with the mandible UOK-210 described by Crochet [in Mahboubi et al., 1986] and later by Court and Mahboubi [1993]. The second hyracoid endocranial cast belongs to Sagatherium antiquum (SMNS 12621), Oligocene of the Fayum, which represents a more advanced species [Rasmussen and Gutierrez, 2010].

**Afroinsectiphilia**

Bibymalagasia (or Malagasy aardvarks) is an extinct order of Malagasy mammals represented by the Quaternary genus Plesiorycteropus [Patterson, 1975; MacPhee, 1994]. Since the discovery of the clade Afrotheria, the sister-group relationship between Bibymalagasia and Tubulidentata has been supported by several phylogenetic analyses [Asher et al., 2003; Horovitz, 2004; Asher, 2005; Holroyd and Mussell, 2005; Asher, 2007] and their place in Afrotheria is largely accepted [Kemp, 2005; Beck et al., 2006; Holroyd, 2010]. Although the genus Plesiorycteropus became extinct recently, the origin of bibymalagasics likely dates back from the Eocene or even earlier, when the ancestors of this lineage split from Tubulidentata and reached Madagascar [Patterson, 1975]. The two known skulls of Plesiorycteropus (MAD 327 and MAD 328) were both scanned. We also scanned the skull of a Pliocene tubulidentate from France (Amphiprorycteropus depeteri, Rs 555) belonging to a different genus than the extant aardvark. Unfortunately, no endocast of fossil Macroscelididae and Tenrecoidae were available for this study, primarily because no significant cranial remains are known prior to the Neogene [Seiffert, 2002; Benoit et al., 2013].

The Procaviidae are the only living family of Hyracoidea. Within procaviids, the endocranial morphology is very similar in extant and extinct species [Wells, 1939]. In addition to extant species, the endocasts of two Paleogene hyracoids were also studied. *S. amourensis*, from the early Eocene of El Kohol (Algeria), represents the earliest and most plesiomorphic hyracoid yet known [Court and Mahboubi, 1993; Seiffert, 2007; Tabuce et al., 2008]. We used a cranium still undescribed, found associated with the mandible UOK-210 described by Crochet [in Mahboubi et al., 1986] and later by Court and Mahboubi [1993]. The second hyracoid endocranial cast belongs to Sagatherium antiquum (SMNS 12621), Oligocene of the Fayum, which represents a more advanced species [Rasmussen and Gutierrez, 2010].

**Measurements**

The Neo/Rhin (table 1, column B) ratio was calculated as the quotient between the maximum height of the neopallium and the maximum height of the rhinencephalon when viewed in lateral view (see online suppl. data 2). This value was complemented by generating the ratio between the surfaces of the neopallium and the rhinencephalon (table 1, column C). These two measures give congruent results (table 1). We also computed ratios between olfactory bulbs, cerebellum and total endocranial cast volumes (table 1). We use the encephalization quotient (EQ) defined by Jerison [1973] EQ = brain mass/0.12(body mass)2/3 in order to investigate the evolution of brain size across Afrotherians, primarily because it is the more widespread in the literature dealing with Afrotherian brains [Jerison, 1973; Shoshani et al., 2006]. The EQ is a value to describe relative brain mass across mammalian species. A species with an EQ greater than 1 has a larger brain than expected for its body mass while a species with an EQ smaller than 1 has a smaller brain than expected for its body mass. We computed the EQ on our sample and we completed it mainly with the large databases of Shultz and Dunbar [2010] and Boddy et al. [2012] (online suppl. data 3). All measurements were done using Avizo 6.3 VSG software.

**Reconstruction of the LCA**

To reconstruct the morphology of the LCA as well as the evolution of discrete and continuous brain traits across afrotherian phylogeny, we used a parsimony model of ancestral states reconstruction using Mesquite 2.6 [Madisson and Madisson, 2009] on our EQ dataset and character matrix (table 2). The phylogenetic tree used for the reconstruction of ancestral states is a strict consensus mainly based on the works of Asher et al. [2003], Seiffert [2007] and Kuntner et al. [2011]. We also used the works of Gheerbrant et al. [2005], Lehmann [2009], Smit et al. [2011] and Velez-Juarbe.
et al. [2012] to ascertain the phylogenetic position of some macroscelid species, *Amphiorycteropus* and fossil sirenians. The tree is unrooted in order to minimize assumptions on character polarity and because of uncertainties on the sister-group relationship of Afrotheria [Tabuce et al., 2008]. Given the highly derived conditions of modern elephant and sea cow EQs [Jerison, 1973; O’Shea and Reep, 1990], we choose to perform two analyses, one including and one excluding modern forms of proboscideans (Elephantimorpha) and sirenians.

**Results**

**Description and Comparison**

**Tubulidentata and Bybimalagasia**

The neopallial morphologies of the extant aardvark and that of *Plesiorctopus* have been described several times [Elliot Smith, 1899, 1902; Sonntag and Woolard, 1925; Friant, 1960; Thewissen, 1985; MacPhee, 1994;
Nieuwenhuyse et al., 1998). The olfactory bulbs of Bibymalagasia (Plesiorycteropus germainepetterae) are less than twice as voluminous as those of Tubulidentata (table 1). Contrary to Orycteropus [Edinger, 1956], there is no evidence on the endocranial cast that the olfactory bulbs of Bibymalagasia display olfactory tracts in every direction on their dorsal and lateral aspects. In Bibymalagasia and Tubulidentata, the brain is linearly arranged (fig. 2a, b) and the telencephalon is only slightly macrosmatic, with a Neo/Rhin ratio that exceeds 1 (table 1). The relative surface of the neopallium with respect to the surface of the rhinencephalon is especially enlarged in  P. germainepetterae (table 1). The neopallium bears three sulci: a praesylvia located rostroventrally, a sulcus lateralis located medially, and a suprasylvia located laterally to the sulcus lateralis. A faint ectosylvia could also be present. The praesylvia extend from the posterior rhinal fissure. In both taxa, it joins the sulcus lateralis rostrally (fig. 2a, b).

According to the parsimony reconstruction of ancestral character states (fig. 3b) Bibymalagasia and Tubulidentata are unique among afrotherians by the presence of this contact between the praesylvian and the lateral sulci. While the *suprasylvia* is always faint if ever present in Orycteropus [Thewissen, 1985], it is always present and well marked in *Plesiorycteropus*. Moreover, the suprasylvia is more extended rostrally in *Plesiorycteropus*. In this taxon, the suprasylvia and the sulcus lateralis join each other rostrally and define an almond-shaped gyrus III (suprasylvian gyrus) in dorsal view (fig. 2b). Finally, the mesencephalon is entirely hidden in dorsal view and the floccular lobe of the cerebellum is reduced.

### Macroscelidea and Tenrecoida (Afroinsectivora)

The EQ of Afroinsectivora is usually low, especially in the Tenrecinae where the reconstructed ancestral value equals 0.36 (table 3). The olfactory bulbs are relatively large except in *Potamogale*, probably because of adaptation to aquatic lifestyle [Bauchot and Heinz, 1968]. Among Macroscelididae, Rynchocyoninae have the more voluminous olfactory bulbs (table 1). The neopallium of Tenrecoida is macrosmatic and smooth (fig. 2g, h). A short praesylvia is nonetheless present in some Tenrecidae such as *Tenrec* and *Microgale*, but not in *Potamogale* [Bauchot and Stephan, 1967, 1970]. As in macroscelides the midbrain is exposed in Tenrecoida (except *Potamogale*). It consists of the posterior colliculi in both Tenrecidae and Chrysochloridae [Le Gros Clark, 1932; Bauchot and Stephan, 1967; Stephan and Bauchot, 1970]. Note that in tenrecine tenrecids the sagittal and transverse venous sinuses cover the midbrain on the endocranial cast (fig. 2g) but not on the membranous brain itself [Le Gros Clark, 1932; Bauchot and Stephan, 1967]. The floccular lobe of the cerebellum is developed in all Afroinsectivora. The brain is straight except in Chrysochloridae in which the hemispheres are anteroposteriorly compressed [Stephan and Bauchot, 1960] (fig. 2h).
Though similar, the endocranial casts of Macroscelididae differ from that of Tenrecoidea in many respects: the brain is relatively larger (reconstructed ancestral EQ averaging 0.7; table 3), the telencephalon is only slightly macrosmatic (Neo/Rhin ratio >1, table 1) and strongly tapers anteriorly in dorsal view (fig. 2e, f). Among Macroscelididae, which comprises all living genera except Rhynchocyon, the neopallium is larger than long, the Neo/Rhin ratio always exceeds 1 (while it is slightly below this value in Rhynchocyon, see table 1), there is an orbital excavation in the first half of the brain that is absent in Rhynchocyon and the neopallium bears a short sulcus posteriorly (fig. 2f). This short sulcus was identified as the intercalary sulcus by Le Gros Clark [1928] because it separates the retrosplenial area (a part of the cingulate gyrus) and the visual area (a part of the lateral gyrus). In most mammals, the intercalary sulcus (or splenial sulcus) is restricted to the mesial face of the brain and cannot be seen.
Fig. 3. Reconstruction of the endocranial morphology of the LCA of afrotherians. a Result of the character state reconstruction on the full dataset showing the evolution of EQ across Afrotheria. Labels at nodes correspond to node numbers in table 3.
Paleoneurology of Afrotheria

Macroscelidinae are unique regarding this dorsal exposure of the intercalary sulcus. According to Le Gros Clark [1928], it is due to the hypertrophy of the retrosplenia which draws the cingulate gyrus onto the dorsal aspect of the brain. This phenomenon is also called 'pronation of the hemisphere' [Edinger, 1948].

The neopallium of Rhynchocyon differs from that of other Macroscelididae and from other Afroinsectivora in having three distinct and clearly visible neopallial sulci (fig. 2e). One of them is short and located rostroventral-dorsally [Elliot Smith, 1902]. Macroscelidinae are unique regarding this dorsal exposure of the intercalary sulcus. According to Le Gros Clark [1928], it is due to the hypertrophy of the retrosplenia which draws the cingulate gyrus onto the dorsal aspect of the brain. This phenomenon is also called 'pronation of the hemisphere' [Edinger, 1948].

The neopallium of Rhynchocyon differs from that of other Macroscelididae and from other Afroinsectivora in having three distinct and clearly visible neopallial sulci (fig. 2e). One of them is short and located rostroventrally while the remaining others are longer and define an almond-shaped gyrus as in Plesiotherium (fig. 2e). The homology of these sulci is yet to be ascertained. It is possible that one of these sulci could be homologous to the intercalary sulcus of Macroscelidinae. If this is so, this sulcus should part the retrosplenia (cingulate gyrus) from the visual area (lateral gyrus) on the dorsal aspect of the neocortex, as in Macroscelides and Elephantulus [Le Gros Clark, 1928]. Le Gros Clark [1932] briefly described the brain of Rhynchocyon but did not discuss the homology of these sulci. However, he mentioned that

Fig. 3. Reconstruction of the endocranial morphology of the LCA of afrotherians. b Discrete character states reconstruction of brain traits across Afrotheria and reconstruction of the LCA brain. The neopallium is in light grey, the midbrain is in black and the cerebellum is in dark grey. Drawings are not to scale to emphasize morphological comparison. Character changes are displayed along branches (see table 2 for the character list).
the retrosplenial area is less developed in Rhynchocyoninae with respect to that of Macroscelidinae. Thus, it seems unlikely that the intercalary sulcus migrates on the dorsal face of the neopallium due to the lack of the hypertrophy of the retrosplenial area in *Rhynchocyon*. On the other hand, the two dorsal-most sulci of *Rhynchocyon* are topologically very similar to the sulcus lateralis and the suprasylvia present in *Plesiorycteropus* (see above) and may correspond to these sulci. The homology of the rostroventral sulcus in *Rhynchocyon* is also disputable. Le Gros Clark [1932] homologized it to the orbital excavation he identified earlier in *Elephantulus* and *Macroscelides*. However, in *Petrodromus*, the orbital excavation is accompanied by a preasylvia rostrally, which closely resembles the rostroventral sulcus of *Rhynchocyon* (fig. 2e, f). It is a short sulcus that extends from the posterior rhinal fissure, whereas the orbital excavation identified earlier in *Elephantulus* and *Macroscelides* is a wide and shallow depression located more caudolaterally. Thus, it seems that there is no orbital excavation in *Rhynchocyon*, but a preasylvia instead.

**Tethytheria and Hyracoidea (Paenungulata)**

Extant Paenungulata are the more encephalized representatives of Afrotheria but their oldest extinct representatives display low EQ values, especially *Moeritherium* and *Seggeurius* (EQ = 0.2), which implies a convergent evolution of encephalization in Hyracoidea and Proboscidea (fig. 3a; table 3). Hyracoidea is the first diverging order of Paenungulata (fig. 3a). Extant and extinct hyracoids have a quadrangular-shaped neopallium in dorsal view (fig. 2c, d). The neopallium is also strongly expanded laterally (microsmatic). There is a deep sylvian fossa that defines a prominent temporal lobe, as in Tethytheria [Andrews, 1906; Friant, 1957; Dechaseaux, 1962].

The Procaviidae are characterised by the small size of their cerebellum and olfactory bulbs (table 1) resulting in a curved arrangement of the brain. The EQ is usually high (table 1; online suppl. data 3), but the Quaternary species *Procavia transvaalensis*, for which brain size approximates that of extant species [Wells, 1939] and body size was 50% larger [Rasmussen and Gutierrez, 2010], must have been consequently less encephalized. In Procaviidae, there is a splenial (or intercalary) sulcus on the medial-most aspect of the neopallium. A sulcus coronolateralis is also present in all genera but it is divided into a sulcus coronalis, a sulcus lateralis and a sulcus cruciatus in *Procavia* [Elliot Smith, 1902; Edinger, 1948; Friant, 1957]. The praesylvia is always present but sometimes shallow. It always contacts the anterior rhinal fissure. The suprasylvia is arched. The ectosylvia is not typically present in Procaviidae as it is only variably present in extant and extinct *Procavia* [Elliot Smith, 1902; Wells, 1939], absent or faint in *Heterohyrax* [Wells, 1939; Fischer, 1992] and absent in *Dendrohyrax* [Friant, 1957; this study]. The floccular lobes of the cerebellum are not prominent, as in *Orycteropus, Plesiorycteropus* and Tethytheria.

The endocranial cast of Paleogene hyracoids is small with respect to the skull while it is bigger in extant genera [Meyer, 1978; Rasmussen, 1989] (fig. 4a, b). The brain was linearly arranged as demonstrated by the morphology of *Seggeurius* (fig. 4c). Olfactory bulbs are pedunculated in *Saghatherium* (fig. 2d). It is impossible to say whether the midbrain was dorsally exposed or not on either specimen. The telencephalon, even though it is microsmatic, displays a less laterally expanded neopallium than in extant forms (table 1). On the neopallium of *Saghatherium* (the best preserved), the *praesylvia* is not clearly present, but

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**Table 3.** Character states reconstruction at nodes (see fig. 3a)

<table>
<thead>
<tr>
<th>Clade</th>
<th>Node</th>
<th>Neo/Rhin ratio</th>
<th>EQ1</th>
<th>EQ2</th>
</tr>
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<tr>
<td>Afrotheria (LCA)</td>
<td>1</td>
<td>2.96</td>
<td>0.60</td>
<td>0.54</td>
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<td>Paenungulata</td>
<td>2</td>
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<td>3</td>
<td>3.87</td>
<td>0.55</td>
<td>0.52</td>
</tr>
<tr>
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<td>4</td>
<td>5.55</td>
<td>0.82</td>
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<tr>
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<td>0.76</td>
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<td>Elephantimorpha</td>
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</tr>
<tr>
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</tr>
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</tr>
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<td>25</td>
<td>0.45</td>
<td>0.45</td>
<td>0.45</td>
</tr>
</tbody>
</table>

EQ1 is the encephalization quotient reconstructed after the analysis performed on the full dataset and EQ2 is that excluding Elephantimorpha and extant Sirenia.
this situation could be due to the poor quality of the specimen. Thus, there are only two visible sulci on the neopallium in *Saghatherium* (fig. 2c, d). The more lateral sulcus is ventrally arched, as are the *suprasylvia* of procavids and tethytheres. The most dorso-medial one is longer and rectilinear. It does not correspond to the splenial sulcus of extant Procaviidae because it runs parallel to the sagittal sinus, while the splenial sulcus runs obliquely (fig. 2c, d).

The brain of Tethytheria is strongly flexed anteroposteriorly, resulting in a non-linear organisation of its components [Friant, 1957]. However, Edinger [1933] has established that the endocranial casts of Paleogene sireni-ans were not strongly curved (fig. 4d), which testifies that the brain stem of Tethytheria (and probably Paenungulata) could have been primitively more linearly arranged. The midbrain is never exposed. The olfactory bulbs are very small in extant sirenians, which reflect the reduction of the sense of smell through their adaptation to life underwater [Pirlot and Kamiya, 1985; Reep et al., 2007]. In *Prorastomus*, a stem sea cow, the olfactory bulbs are still large (table 1) but the trigeminal nerves are also large (fig. 4d), as in extant *Dugong* and most aquatic mammals, suggesting intensive snout sensory perception [Bauchot and Heinz, 1968; Pirlot and Kamiya, 1985]. The EQ tends to decrease in Sirenia (fig. 3a; table 3), but it can exceed the value of 1 in *Arsinotherium* (EQ = 1.17) and Elephantimorph (reconstructed ancestral EQ = 1.31; table 3). In mammals the brain is covered by a thin layer of veinous sinuses and white mater called the meninx. The layer of meninx is usually so thin that it does not introduce a significant bias between the true morphology of the neopallium and that observed on its endocast [Dechaseaux, 1962; Bauchot and Stephan, 1967]. However, in Tethytheria the meninges are very thick and form a rete mirabile [Dechaseaux, 1958a; Shoshani et al., 2006; Manger, 2010]. This layer of meninx is so thick in extant tethytheres that it obliterates the sulcal pattern of the neopallium which results in a lissencephalic aspect of the endocranial cast [Edinger, 1933; Dechaseaux, 1958a, 1962]. The only sulcus observable on the endocast of the neopallium in extant Tethytheria is the deep pseudosylvia, which defines a hypertrophied temporal lobe [Edinger, 1933; Dechaseaux, 1958a]. This pattern is also observable on the endocranial cast of fossil tethytheres (e.g. *Mammuthus, Moeritherium, Arsinotherium, Eotheroides* and *Desmostylus*) suggesting that a comparably thick layer of meninx was already present at the root of the tethytherian clade [Andrews, 1906; Edinger, 1933; Dechaseaux, 1958a, 1962; Edinger, 1975; Thewissen and Domming, 1992].

Under the layer of meninx, the neopallium of extant elephants (*Elephas* and *Loxodonta*) is densely sulcated. Past workers have recognized a deep sylvian fossa, an arched suprasylvia and a coronolateral (or lateral) sulcus, just as in Hyracoidea, but failed to identify a splenial sul-
cus in most cases [Elliot Smith, 1902; Friant, 1954a, b, 1957; Anthony, 1972; Cozzi et al., 2001; Shoshani et al., 2006]. In addition they found a sylvian fossa prolonged dorsally by a deep pseudosylvia, a præsylvian sulcus starting from the anterior rhinal fissure and bearing three ramifications, a transverse sulcus called the fissure of Rolando, an antero-temporal sulcus called the post Sylvia and a postero-temporal sulcus called the sulcus post lateral. In sirenians, the brain seems lissencephalic at first sight [Elliot Smith, 1902; Friant, 1954b; Pirlot and Kamiya, 1985], but according to Anthony [1972], Ronald et al. [1978] and Welker [1990], shallow sulci are observable on the brain. These last three studies recognized the same ramified præsylvia, a fissure of Rolando, two dorsal sulci (sulcus lateralis and suprasylvia), a putative splenial sulcus and two posterior sulci (postsylvia and post lateral) as in elephants, which suggests a secondary loss of the sulcal pattern.

**Discussion**

**The Ancestral Brain Morphology of Afrotherians**

The new data provided here shows that the endocrania of many afrotherian mammals display advanced characters. *Rhynchocyon* displays a complex sulcal pattern of the neopallium with two sulci that are absent in all other sic masacrocles (suprasylvia and sulcus lateralis) and one more, which is also present in *Petrodromus* (præsylvia; fig. 2e). Such a fissured neopallium evocates the morphology of *Orycteropus* and *Plesiorycteropus* (fig. 2a, b). Given the basal branching of Macroscelidae, Tubulidentata and Bibymalagasia in the phylogenetic tree of Afroinsectiphilia [Asher et al., 2003; Springer et al., 2004; Beck et al., 2006; Poulakakis and Stamatakis, 2010; Kuntner et al., 2011] the complex sulcal pattern encountered in *Rhynchocyon, Orycteropus* and *Plesiorycteropus* is reconstructed as plesiomorphic for Afrotheria in our analysis (fig. 3b). Moreover, the brain of Macroscelidae resembles those of *Orycteropus* and *Plesiorycteropus* in having a Neo/Rhin ratio which equals or exceeds 1 (table 1). The Neo/Rhin ratio is reconstructed as having a value of 2.96, which means the neopallium was more expanded than the rhinencephalon on the lateral face of the brain in the LCA of afrotherians (table 3). This implies that the endocranial cast of stem-afroinsectipilans was probably more microsmatic and more convoluted (including three sulci) than in extant Tenrecoidea and Macroscelidinae, and was probably morphologically closer to that of early Paenungulata.

Consequently, we have re-evaluated the ancestral morphology of the LCA of afrotherians using Mesquite 6.4 software (fig. 3a, b; table 3). The following characters have been unambiguously reconstructed as plesiomorphic character for afrotherians: the straight arrangement of the brain (character 1, also present in Rhynchocyoninae, Tubulidentata, Bybimalagasia and Paenungulata), the absence of midbrain exposure (character 2, though the polarity of this character could not be ascertained with confidence in stem hyracoids), the presence of the three neopallial sulci encountered in the genera described above (præsylvia (character 4), suprasylvia (character 7) and sulcus lateralis (character 8)), the absence of an intercalary (or splenial) sulcus (character 9, the pronation of the hemisphere occurs in Procaviidae and Macroscelidinae only), a ventrally expanded neopallium that covers more than 50% of the lateral face of the brain (character 14) and the absence of prominent floccular lobes. Interestingly, the sulcus lateralis and the suprasylvia may have defined an almond-shaped gyrus, as encountered in *Rhynchocyon* and *Plesiorycteropus* (character 12, ambiguous). States of the characters 5, 8, 13, 15, 16 and 17 are also ambiguous at the root of the tree, but their presence in the LCA of afrotherians seems unlikely because they are de-
rived in Paenungulata or Afroinsectiphilia only and must be synapomorphic for these clades. The value of the reconstructed EQ is comprised between 0.54 and 0.60 (table 3).

**An Ungulate-Like Ancestor**

Before the discovery of the afrotherian clade, nearly all afrotherian orders had been more-or-less assimilated into one or another group of hoofed mammals. The paenungulates were the first [Gregory, 1910], and they were followed by some afroinsectiphilic taxa such as the tubulidentates [Sonntag and Woollard, 1925; Shoshani and McKenna, 1998], the bibymalagasi [MacPhee, 1994] and the macroscelides [Frehkop, 1931; Hartenberger, 1986; Simons et al., 1991]. The hypothesis nesting Macroscelidea within condylarths (archaic ungulates) retains support within the literature [Tabuce et al., 2007; Holroyd and Russel, 2005; Zack et al., 2005; Penkrot et al., 2008]. Moreover, since the discovery of the afrotherian clade, some authors argued in favour of an ungulate-like afrotherian LCA because typical ungulate features are shared between Paenungulata, Macroscelidea and Tubulidentata (e.g. squared cheek teeth, cursoriality, presence of a cotylar fossa of the astragalus) [Seiffert, 2002, 2003; Robinson and Seiffert, 2004; Tabuce et al., 2007; Asher and Seiffert, 2010]. These elements strongly suggest that the body plan of the LCA of afrotherians could have been ungulate-like, whereas most lineages of Placentalia are consensually thought to descend from insectivore-like mammals [Asher, 2005].

As stated above, the endocranial morphology of hoofed mammals is distinctly more advanced than the ancestral morphotype for Eutheria. The ventrolaterally expanded neopallium, the folded neopallium, the absence of voluminous flocular lobe and the absence of midbrain exposure, which are here reconstructed in the LCA of afrotherians, are typically present in modern ungulates (Perungulata and Paenungulata) [Elliot Smith, 1902; Edinger, 1948; Dechaseaux, 1962; Nieuwenhuys et al., 1998]. Regardless to the homology of the neopallial sulci, the endocranial morphology of the LCA of afrotherians equals or exceeds in complexity that of many early Tertiary hoofed mammals such as condylarths (e.g. *Phenacodus*) [Edinger, 1948, 1956; Dechaseaux, 1958b; Radinsky, 1976a; Orliac et al., 2012], *Diacodexis* and most Eocene Artiodactyla [Dechaseaux, 1970, 1974; Sigogne-Russell and Russell, 1983], the stem Perissodactyla *Hyracotherium* [Radinsky, 1976b] and the stem Notungulata *Notostylops* [Dechaseaux, 1958c; Radinsky, 1981] (fig. 5). In addition, the EQ of the LCA of afrotherians is greater than that of the most encephalized Paleogene ungulates [Jerison, 1973] which underlines its advanced morphology. Finally, the almond-shaped suprasylvian gyrus defined by the two dorsal sulci observed in *Rhynchocyon* and *Plesiorycteropus*, and ambiguously reconstructed in the LCA of afrotherians, strikingly resembles what can be observed in Eocene artiodactyls [Dechaseaux, 1970, 1974; Sigogneau-Russell and Russell, 1983] (fig. 5). To conclude, despite showing some ‘primitive’ traits (e.g. serial brain, praesylvia extending from the posterior rhinal fissure, absence of splenial sulcus), the LCA of afrotherians shares noticeable endocranial similarities with hoofed mammals which argues in favour of an ungulate-like ancestral body plan for afrotherians.

**The Evolution of the Brain in Afroinsectivora**

It was long thought that the linear arrangement of the brain, the smooth aspect of the neopallium and the huge midbrain exposure encountered in the brains of Tenrecoidea and Macroscelidinae were plesiomorphic features of the mammalian brain retained from the ancestral eutherian condition (more specifically, the brain of *Tenrec* with its reduced neocortex has long been considered as the most archaic mammalian brain) [Le Gros Clark, 1932; Edinger, 1948, 1956; Jerison, 1973; Kielen-Jaworska, 1986; Nieuwenhuys et al., 1998]. Nonetheless, following the new scenario of an advanced brain in the LCA of afrotherians, the a priori ‘primitive’ morphology of the brain of some Afroinsectivora would have been acquired secondarily and convergently in Tenrecoidea and Macroscelidinae.

If the brain of Afroinsectivora evolved from a primively folded and gyrated brain, what kind of mechanim could trigger the loss of this neopallial complexity? Some authors found a correlation between absolute brain size and the overall brain morphology: as the brain gets bigger, the telencephalon expands causing the cortex to fold, the midbrain being covered resulting in it looking consequently curved rather than straight [Finlay et al., 2001; Reep et al., 2007]. More specifically, a correlation between the complexity of the neopallial sulcal pattern and the absolute brain size has long been observed across closely related mammals [Edinger, 1956; Radinsky, 1976a; Welker, 1990]. Though not perfect [Radinsky, 1976a; Welker, 1990], this correlation implies that within a clade, animals with bigger brains tend to have more convoluted neocortex than those with smaller ones because the expansion of the cortical sheet outpaces the endocranial volume, generating an intracranial mechanical tension and resulting in the folding of the cortical sheet [Van Essen, 1997].
this regard, if the complexity of the sulcal pattern and the size of the telencephalon are inversely correlated to brain size, one could expect the reduction of brain and probably body sizes during the afroinsectivoran evolution. The fossil record of early Afroinsectivora is so far relatively poor, but to date no paleontological clues argue in the favour of a reduction of brain or body sizes in Afroinsectivora [Seiffert et al., 2007; Tabuce et al., 2007, 2008]. However, evidence for a reduction of the absolute body size during afroinsectivoran’s evolution has been provided indirectly through the discovery of an increase of the third codon position GC-content during Tenrec evolution [Romiguier et al., 2013]. Moreover, in tenrecs, developmental studies have shown that the cerebral hemispheres were not primitively reduced, but that their small size was acquired secondarily [Elliot Smith, 1902]. If such a decrease in the size of the brain and body mass really occurred, it could have triggered the relaxation of the endocranial constraint and led to the loss of the neopallial folding and other advanced brain traits, at least in Tenrecoidea.

In addition to the simple neopallial sulcal pattern, the midbrain exposure is another ‘primitive’ feature shared by Tenrecoidea and the subfamily Macroscelidinae. Indeed, afroinsectivorans display a huge exposure of the corpora quadrigemini (midbrain), though this is not homologous between the orders Chrysochloridae, Tenrecidae and Macroscelididae. While in Macroscelididae the anterior corpus quadrigemina is the greater, in Tenrecoidea the posterior one is the greater [Le Gros Clark, 1932; Stephan and Bauchot, 1960; Bauchot and Stephan, 1967]. In Chrysochloridae the posterior (auditive) corpus quadrigemina is the greater because of the reduction of the anterior (visual). This pattern is surely linked to the atrophy of eyes in golden moles [Stephan and Bauchot, 1960]. In Tenrecidae the anterior corpus quadrigemina is normal but the posterior one is hypertrophied, potentially for echolocation [Gould, 1965; Bauchot and Stephan, 1967], as it occurs in bats [Edinger, 1964; Dechaseaux, 1967]. Finally, in Macroscelididae, the midbrain exposure is made by the hypertrophied anterior corpus quadrigemina, a morphology that could be linked to their peculiar visual capacities [Dengler-Crish et al., 2006]. The scenario of a convergent acquisition of the midbrain exposure constrained by functional and adaptive requirements fits more parsimoniously with these observations than that involving the retention of the ‘primitive’ midbrain exposure.

**Implications on Divergence Time Estimation**

The time of origin of Afrotheria is likely to be late Cretaceous in age according to molecular clocks [Douady and Douzery, 2003; Bininda-Emonds et al., 2007; Poullakakis and Stamatakis, 2010], but no fossil afrotherian is known until the late Paleocene, which argues in the favour of an origin after the Cretaceous/Paleogene boundary [Gheerbrant et al., 2005; Gheerbrant, 2009]. The ‘poor fossil record’ of basal afrotherians is often cited as an argument by molecular biologists to validate the Cretaceous age of divergence of Afrotheria that they estimate [Poullakakis and Stamatakis, 2010]. However, although the Cretaceous mammalian record in Africa is admittedly poor, data for this time and place do exist, documenting, for example, the absence of eutherians in the ‘middle’ Cretaceous of Morocco [Sigogneau-Russell et al., 1988].

The reconstructed ancestral morphology of the afrotherian brain looks clearly more advanced than that of some early Paleogene species (fig. 5). So, on one hand, one could argue that this advanced condition strengthens a post-Cretaceous origin for Afrotheria contrary to what molecular estimates suggest [Douady and Douzery, 2003; Bininda-Emonds et al., 2007; Poullakakis and Stamatakis, 2010]. On the other hand, given our admittedly poor knowledge of mammalian evolution during the Cretaceous in Africa, the hypothesis of the occurrence in that continent of a Cretaceous ungulate-like LCA of afrotherians could not be rejected. Nevertheless, the worldwide earliest known putative archaic ungulates may have occurred as late as the latest Cretaceous, only ~300,000 years before the K/P boundary, and their belonging to Placentalia is not ascertain [Prasad et al., 2007; Archibald et al., 2011]. As a consequence, a post-Cretaceous origin for Afrotheria fits more parsimoniously with the current fossil record and our data.

Finally, our reconstruction of ancestral brain traits emphasizes many changes in brain size across afrotherian phylogeny (fig. 3a). The EQ tend to decrease across Sirenia, the (Tubulidentata, Bibymalagasia) clade and Tenrecoidea, especially in the (Tenrec, Hemicentetes, Setifer, Echinops) clade, and tend to increase in Macroscelididae, Proboscidea and Hyracoidea (table 3). Recent work has suggested that molecular ages of divergence in Primates may have been overestimated because modern rates of mutations are not representative of ancient mutation rates [Steiper and Seiffert, 2012]. These authors propose to re-calibrate molecular clocks using life-history traits such as brain size, which are tightly correlated to mutation rates. They found that taking such life-history traits into account, the estimations of divergence ages in Primates evolution move forward from the late Cretaceous to the early Paleogene, primarily because early representatives of Primates were less encephalized than their mod-
ern counterparts. Given the huge changes in brain size and EQ across afrotherians phylogeny as reconstructed here, one could expect the divergence age of Afrotheria to look very different when taking brain size into account.

**Concluding Remarks**

According to Robinson and Seiffert [2004] the long evolution of Afrotheria ‘erased’ their morphological synapomorphies and removes many morphological clues that could be useful to reconstruct the morphology of their last common ancestor. Our observations reveal that despite some plesiomorphic traits, the morphology of the endocranial cast of the LCA of afrotherians was more advanced than that of any Cretaceous mammal known to date. Its endocranial morphology is even reminiscent of that of some early Cenozoic ungulates. To determine if the progressive aspect of the brain of the LCA of afrotherians (and especially its sulcal pattern) constitute a shared derived trait of Afrotheria is to date impossible in the absence of a clear phylogenetic background. Indeed, both molecular and morphological characters fail in determining the sister-group of Afrotheria, even though close relationships to xenarthrans and/or some condylarths are consensually reported [Springer et al., 2004; Tabuce et al., 2008]. Many authors have argued for a close relationship between two families of hyopsodontid condylarths Louisinidae and Apheliscidae, and some afrotherian orders such as the proboscideans [Gheerbrant, 2009], hyracoids [Godinot et al., 1996] and macroscelides [Hartenberger, 1986; Zack et al., 2005; Tabuce et al., 2007; Penkrot et al., 2008]. These assertions are mainly based on dental and pedal morphology, especially the shared presence of a cotylar fossa of the astragalus [Zack et al., 2005; Tabuce et al., 2007]. To date, no endocrania of either Louisinidae or Apheliscidae have been described. Otherwise, there exists a great variety of neopallial sulcal pattern among xenarthrans ranging from lissencephalic to gyrencephalic brain anatomy [Elliot Smith, 1899, 1902; Anthony, 1972]. Future studies of the endocranial casts of the early representatives of these taxa will allow workers to test their apparent affinities to Afrotheria and to determine whether the advanced morphology of the LCA endocast is a synapomorphy of Afrotheria.

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