Cardiovascular Toxicity of Epoetin-Alfa in Patients with Chronic Kidney Disease

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Key Words

Anemia · Cardiovascular disease · Chronic kidney disease · Epoetin-alfa · Dose · Epidemiology

Abstract

Background: Recombinant erythropoietin has become a routine component of care of patients with chronic kidney disease reducing the need for blood transfusions but raising the risks for cardiovascular events. We undertook this secondary analysis of subjects enrolled in the Correction of Hemoglobin and Outcomes in Renal Insufficiency (CHOIR) trial to examine the interrelationships between epoetin-alfa maintenance doses utilized and achieved hemoglobin (Hb) irrespective of treatment target and randomized allocation. **Methods:** We performed a post hoc analysis from the CHOIR trial. Inclusion criteria were Hb <11.0 g/dl and estimated glomerular filtration rates of 15–50 ml/min/1.73 m². To be included in the present analysis, subjects needed to be free of the composite event at 4 months, receive epoetin-alfa, and have ≥1 postbaseline Hb measurement. The mean weekly dose of epoetin-alfa received up to the time of first event or

censure was the main exposure variable, while the achieved Hb at month 4 was the confounder representing the subject's underlying response to treatment. The primary outcome was the composite of death, heart failure hospitalization, stroke, or myocardial infarction. A Cox proportional hazard regression model was used in time-to-event analysis.

Results: Among 1,244 subjects with complete data, the average weekly dose of epoetin-alfa ranged 143.3-fold from 133 to 19,106 units/week at the time of first event or censor. Cox proportional hazard analysis found that those in the middle tertile of Hb achieved (>11.5 to <12.7 g/dl) and the lowest tertile of epoetin-alfa dose exposure level (<5,164 units/week) had the lowest risk. Irrespective of Hb achieved, the relative risk in the highest tertile (>10,095 units/week) of epoetin-alfa dose exposure level was significantly escalated (hazard ratios ranged from 2.536 to 3.572, $p < 0.05$, when compared to the group of middle Hb tertile and lowered dose tertile). In a multivariable model that adjusted for achieved Hb, albumin, cholesterol, age, prior heart failure, prior stroke, prior deep venous thrombosis, atrial fibrillation or malignancy, the average weekly dose had a significant ($p = 0.005$) relative risk of 1.067 per 1,000 units of epoetin-

alfa for the primary end point. **Conclusions:** In the CHOIR trial, average epoetin-alfa doses >10,095 units/week were associated with increased risks for cardiovascular events irrespective of the Hb achieved within the first 4 months of treatment. These data suggest the weekly epoetin-alfa dose and not the Hb achieved was a principal determinant in the primary outcome observed implicating a cardiovascular toxicity of this erythrocyte-stimulating agent.

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Introduction

Anemia is a common development in patients with advanced chronic illness including heart and kidney disease. Common causes for reduced hemoglobin (Hb) concentration in cardiorenal disease include reduced production of erythrocytes due to impairment in iron utilization, relative erythropoietin deficiency, and resistance to the actions of erythropoietin. Anemia has been consistently associated with the progression of chronic kidney disease and increased rates of cardiovascular disease events including myocardial infarction, stroke, and heart failure. Recombinant erythropoietin has become a routine component of care of patients with chronic kidney disease and end-stage renal disease reducing the need for blood transfusions [1, 2]. One randomized trial of supplemental erythrocyte-stimulating agents (ESA) in end-stage renal disease and 3 randomized trials in predialysis chronic kidney disease patients either failed to demonstrate a benefit of using ESAs to achieve higher Hb targets, or found an increased risk of the cardiovascular composite outcome. Specifically, an increased risk for cardiovascular hospitalization was seen in the Correction of Hemoglobin and Outcomes in Renal Insufficiency (CHOIR) trial while an elevated risk of stroke was observed in the Trial to Reduce Cardiovascular Events with Aranesp Therapy (TREAT) [3–7]. In the CHOIR study, among those with heart failure or diabetes at baseline, there was no increased risk of cardiovascular outcomes with higher Hb targets, suggesting a lack of internal consistency with respect to the Hb achieved and cardiovascular outcome [8]. Additionally, in the TREAT, cardiovascular risk was most strongly predicted by age, history of heart failure, C-reactive protein, urinary protein/creatinine ratio, abnormal electrocardiogram, serum N-terminal pro-B-type natriuretic peptide, and troponin T, but not the achieved Hb [9].

Three major explanations have emerged regarding ESA use and risks of cardiovascular events. The first is

that elevation in Hb as a result of ESA therapy, through increasing blood volume or viscosity or other pathways, works to promote cardiovascular disease. It is possible that some physicians in the research and practice community have subscribed to the concept that elevation of Hb is the proximate cause of increased cardiovascular risk [10, 11]. The preponderance of evidence to date, however, indicates that elevation of Hb into the normal range occurring spontaneously is not pathogenic, but is associated with reduced rates of cardiovascular events [12]. The second is that ESA resistance reflects a state of advanced illness which confounds the relationship between cardiovascular outcomes and exogenous ESA administration, thus ESA usage itself is neutral on the cardiovascular system [13, 14]. While ESA resistance has been associated with generalized illness, androgen deficiency, infection and inflammation, increased cytokine signaling, secondary hyperparathyroidism, use of drugs that antagonize the renin-angiotensin system, and acquired defects in iron transport, there are however no plausible explanations of how resistance to ESA could cause or promote atherosclerosis, myocardial disease, or other forms of cardiovascular disease [15, 16]. The third is that ESA therapy, in supraphysiologic doses, has a cardiovascular toxicity related to the average, median, or cumulative exposure over time. Biologic explanations for ESA causing or worsening cardiovascular disease include increased sensitivity of α -adrenergic receptors, elevations in blood pressure, and increased thrombogenicity with supraphysiologic exposure to this protein [17, 18]. Thus, if the third explanation is correct, then in those with the lowest ESA exposure and the highest Hb achieved, there would be a lower cardiovascular risk compared to those with higher ESA at any achieved Hb value as suggested in the Normal Hematocrit Study in patients with end-stage renal disease [19].

This secondary analysis of subjects with chronic kidney disease enrolled in the CHOIR trial was undertaken to examine the interrelationships between epoetin-alfa doses utilized and achieved Hb irrespective of treatment target and randomized allocation. A previous analysis from the CHOIR study had demonstrated that participants receiving 'high-dose' ESA therapy ($\geq 20,000$ units/week) by 4 and 9 months had increased cardiovascular events over the course of the trial irrespective of the treatment arm (HR = 1.71 at 4 months, $p = 0.003$; HR = 1.54 at 9 months, $p = 0.05$) [20]. These associations remained statistically significant when adjusting for ESA resistance and other confounders. This prior analysis, however, did not examine what happened to the dose and exposure to

epoetin-alfa after 9 months of treatment in the trial. We sought to extend these observations and critically evaluate the entirety of drug exposure up to the time of the first event or censor in participants with available information. We hypothesized that higher ESA dose exposure would be associated with increased CV morbidity and mortality among participants in the CHOIR trial.

Methods

Study Subjects

The CHOIR was a randomized trial comparing the effect of treatment with epoetin-alfa to one of two Hb targets on the composite end point of death, heart failure, stroke, and myocardial infarction in chronic kidney disease patients. Methods, baseline characteristics, and results of the CHOIR trial have been previously reported [21]. Inclusion criteria were Hb <11.0 g/dl and an estimated glomerular filtration rate of 15–50 ml/min/1.73 m² [22].

Of the 1,432 subjects randomized, 25 were excluded because they had not received epoetin-alfa or obtained postbaseline Hb measurement. At 4 months, 147 subjects were excluded because they had events or terminated the study prior to this landmark, and 36 were excluded because of incomplete Hb or ESA dose data. This approach yielded a total of 1,224 subjects for analysis.

Measurements

Subjects in the CHOIR trial were randomized to Hb targets of 11.3 or 13.5 g/dl utilizing different dosing algorithms and were administered weekly or biweekly epoetin-alfa subcutaneously as previously published. Information on ESA dose and Hb were collected at least biweekly. We chose the achieved Hb by month 4 to represent the subject's underlying resistance status in response to ESA treatment as done previously in a CHOIR landmark analysis [8]. We chose Hb tertile as the analytic framework for the results tables since it objectively divided the study population into three groups and is a familiar treatment target to clinicians, guideline developers, and regulatory agencies. In order to determine whether the overall dose exposure, not just the exposure up to month 4, is associated with the primary outcome regardless of resistance status, we chose the average weekly dose (calculated with all recorded doses over the total observation time, i.e. up to time of first event or censor) as the primary exposure variable.

Statistical Analysis

Patient characteristics were described by tertiles of achieved Hb at month 4 (table 1). Continuous data were summarized by mean (with standard deviation) or median, and categorical data were summarized by counts and proportions. The Kruskal-Wallis and χ^2 tests were used for continuous and categorical variables, respectively, in making comparisons between the tertiles of achieved Hb at months. Cox proportional hazard analyses were performed to examine associations between achieved Hb at 4 months, average weekly epoetin-alfa dose, and the primary outcome of the composite of death, heart failure hospitalization, stroke, or myocardial infarction reported as Kaplan-Meier event rates at 3 years with log-rank *p* values for differences between Hb groups. The achieved Hb at month 4 and average weekly ESA dose as continuous variables

were then used in a model-building process shown as models 1–3 in table 2 while adjusting for known significant baseline variables to arrive at the final model 4 [8, 20]. A *p* value less than 0.05 was considered statistically significant. All statistical analyses were performed using SAS (version 8.2, SAS Institute, Cary, N.C., USA).

Results

Of the available 1,260 subjects for the 4-month (study days 92–122, inclusive) landmark analysis population, 29 did not have Hb reported, 3 had no dosing data on or after day 92 and 4 were missing both Hb values and ESA doses. Therefore, 1,224 patients were included in the analyses (table 1). Those in the highest tertile of Hb achieved were more likely to be Hispanic and not smoking (table 1). Of note, those with the highest Hb achieved were more likely to have heart failure at baseline, *p* = 0.034. Hb at baseline and after epoetin-alfa 10,000 units subcutaneously once per week for 3 consecutive weeks regardless of weight or baseline Hb were within a tight range of 9.9–10.3, and 10.3–10.9 g/dl (lowest to highest tertile), despite being statistically significant (*p* < 0.001).

The average weekly dose of epoetin-alfa ranged 143.3-fold from 133 to 19,106 units/week at the time of first event or censor. Primary end points occurred in 154/1,224 (12.6%) subjects. The medians (with interquartile ranges) of epoetin-alfa (averaged over the first 4 months) and the weekly dose of epoetin-alfa received at the time of first primary event compared to those without events at censor were: 8,000.0 (2,500–16,000) versus 5,200.0 (1,500–12,000), and 8,945.3 (5,829–12,807) versus 7,093.9 (3,853–11,200) units, *p* = 0.001, and *p* < 0.001, respectively. The cumulative dose of epoetin-alfa received up to the time of first event or censor ranged from 40,000 to 2,262,000 units (56.55-fold variation). Figure 1 provides a descriptive risk profile (based on hazard ratios) of 9 groups based on the combinations of the achieved Hb tertiles and average weekly ESA dose tertiles for the primary outcome where the reference group is the lowest risk group: middle tertile of achieved Hb (11.5 < Hb < 12.7 g/dl) and lowest tertile of average weekly ESA dose (<5,164 units/week). Model 1 in table 2 demonstrates that there is an overall significant difference across the 9 groups (*p* = 0.0074). The corresponding *p* values for the hazard ratios for each of the 8 groups as compared to the lowest risk group are also shown in table 2 model 1. Irrespective of Hb achieved, the relative risks in the highest tertile (>10,095 units/week corresponding to a cumulative median dose of 166,000) of epoetin-alfa dose exposure level

Table 1. Baseline characteristics of subjects and end points between randomization and termination

	Average Hb group in month 4 tertile			All patients (n = 1,224)	p value
	lowest (≤11.5 g/dl) (n = 415)	middle (>11.5 to <12.7 g/dl) (n = 399)	highest (≥12.7 g/dl) (n = 410)		
Age, years	n = 415 66.2±13.71	n = 399 66.3±13.78	n = 410 66.2±13.69	n = 1,224 66.2±13.72	0.967
Female gender	237/415 (57.1)	230/399 (57.6)	214/410 (52.2)	681/1,224 (55.6)	0.225
Race, n	n = 415	n = 397	n = 410	n = 1,222	0.359
White	257 (61.9)	243 (61.2)	264 (64.4)	764 (62.5)	
Black	132 (31.8)	117 (29.5)	104 (25.4)	353 (28.9)	
American Indian or Alaskan native	2 (0.5)	1 (0.3)	1 (0.2)	4 (0.3)	
Asian or Pacific Islander	8 (1.9)	13 (3.3)	14 (3.4)	35 (2.9)	
Other	16 (3.9)	23 (5.8)	27 (6.6)	66 (5.4)	
Hispanic ethnicity	43/415 (10.4)	45/396 (11.4)	67/409 (16.4)	155/1,220 (12.7)	0.021*
Cigarette smoking, n	n = 415	n = 397	n = 409	n = 1,221	0.049*
Never	234 (56.4)	227 (57.2)	216 (52.8)	677 (55.4)	
Past	147 (35.4)	150 (37.8)	175 (42.8)	472 (38.7)	
Current	34 (8.2)	20 (5.0)	18 (4.4)	72 (5.9)	
Past/current cigarette smoking (vs. never smoked)	181/415 (43.6)	170/397 (42.8)	193/409 (47.2)	544/1,221 (44.6)	0.411
Current smoker (vs. never/past smoker)	34/415 (8.2)	20/397 (5.0)	18/409 (4.4)	72/1,221 (5.9)	0.047*
Primary etiology, n	n = 412	n = 396	n = 406	n = 1,214	0.379
Diabetes	206 (50.0)	181 (45.7)	194 (47.8)	581 (47.9)	
Hypertension	109 (26.5)	130 (32.8)	116 (28.6)	355 (29.2)	
Other	97 (23.5)	85 (21.5)	96 (23.6)	278 (22.9)	
Prior diabetes or diabetes etiology	274/415 (66.0)	239/397 (60.2)	244/408 (59.8)	757/1,220 (62.0)	0.120
Cardiovascular history, n					
Hypertension	375/395 (94.9)	351/382 (91.9)	378/391 (96.7)	1,104/1,168 (94.5)	0.013*
MI	61/394 (15.5)	55/383 (14.4)	57/389 (14.7)	173/1,166 (14.8)	0.901
CABG	49/394 (12.4)	54/383 (14.1)	78/390 (20.0)	181/1,167 (15.5)	0.009*
PCI	52/394 (13.2)	35/383 (9.1)	32/389 (8.2)	119/1,166 (10.2)	0.050*
CHF	96/394 (24.4)	80/382 (20.9)	74/389 (19.0)	250/1,165 (21.5)	0.182
Atrial fibrillation/flutter	43/394 (10.9)	29/382 (7.6)	23/389 (5.9)	95/1,165 (8.2)	0.034*
CVA	36/393 (9.2)	39/382 (10.2)	34/390 (8.7)	109/1,165 (9.4)	0.766
Lower extremity amputation	9/393 (2.3)	14/382 (3.7)	11/390 (2.8)	34/1,165 (2.9)	0.519
MI, CABG or PCI	140/394 (35.5)	130/381 (34.1)	130/390 (33.3)	400/1,165 (34.3)	0.806
Baseline mean body mass index	n = 391 30.7±8.14	n = 383 30.6±7.61	n = 399 30.1±7.28	n = 1,173 30.5±7.68	0.689
Baseline mean GFR, ml/min/1.73 m ²	n = 415 27.4±9.14	n = 399 27.4±9.00	n = 409 27.2±8.59	n = 1,223 27.3±8.91	0.987
Baseline mean creatinine, mg/dl	n = 415 2.4±0.76	n = 399 2.4±0.76	n = 409 2.4±0.76	n = 1,223 2.4±0.76	0.950
Baseline mean Hb, g/dl	n = 411 9.9±0.87	n = 397 10.2±0.84	n = 408 10.3±0.76	n = 1,216 10.1±0.84	<0.001*
Week 3 mean Hb, g/dl	n = 365 10.3±0.91	n = 360 10.7±0.91	n = 371 10.9±0.89	n = 1,096 10.6±0.93	<0.001*
Baseline mean albumin, g/dl	n = 415 3.7±0.47	n = 399 3.8±0.50	n = 409 3.8±0.48	n = 1,223 3.8±0.48	0.020*
Baseline mean phosphorus, mg/dl	n = 415 4.1±0.76	n = 399 4.0±0.70	n = 408 4.1±0.75	n = 1,222 4.1±0.74	0.493
Baseline mean cholesterol, mg/dl	n = 415 182.3±48.65	n = 399 187.8±52.07	n = 409 182.7±45.29	n = 1,223 184.2±48.75	0.486
Baseline mean urine total protein/ creatinine ratio	n = 396 1.5±2.09	n = 392 1.4±2.17	n = 393 1.5±2.08	n = 1,181 1.4±2.11	0.804
Baseline mean ferritin, ng/ml	n = 413 155.7±159.96	n = 397 181.9±168.03	n = 409 180.7±160.80	n = 1,219 172.6±163.22	0.002*
Baseline mean TSAT, %	n = 415 23.7±9.74	n = 399 25.4±11.00	n = 408 25.9±11.73	n = 1,222 25.0±10.88	0.037*
Baseline TSAT <20%	159/415 (38.3)	132/399 (33.1)	132/408 (32.4)	423/1,222 (34.6)	0.146

Table 1 (continued)

	Average Hb group in month 4 tertile			All patients (n = 1,224)	p value
	lowest (≤11.5 g/dl) (n = 415)	middle (>11.5 to <12.7 g/dl) (n = 399)	highest (≥12.7 g/dl) (n = 410)		
Baseline medications, n	n = 413	n = 394	n = 407	n = 1,214	
ACE inhibitor and/or ARB	304 (73.6)	305 (77.4)	307 (75.4)	916 (75.5)	0.455
β-Blocker	200 (48.4)	181 (45.9)	183 (45.0)	564 (46.5)	0.591
HMG-CoA reductase inhibitor	215 (52.1)	208 (52.8)	218 (53.6)	641 (52.8)	0.911
Iron	124 (30.0)	108 (27.4)	135 (33.2)	367 (30.2)	0.206
Intravenous	10 (2.4)	9 (2.3)	9 (2.2)	28 (2.3)	0.980
Oral	110 (26.6)	98 (24.9)	113 (27.8)	321 (26.4)	0.647
Unknown route	9 (2.2)	6 (1.5)	15 (3.7)	30 (2.5)	0.128
Average weekly epoetin-alfa dose in month 4, units/week	n = 415	n = 399	n = 410	n = 1,224	0.002*
Mean ± SD	8,330.7 ± 7,169.33	6,732.6 ± 6,713.44	7,396.0 ± 6,225.95	7,496.6 ± 6,742.36	
Median	6,000	4,500	6,000	5,600	
25th–75th percentile	2,000–15,000	1,000–11,000	2,000–12,000	1,600–12,800	
Min.–max.	0–23,000	0–20,000	0–20,000	0–23,000	
Weekly epoetin-alfa dose in month at the time of first event or censure, units/week	n = 415	n = 399	n = 410	n = 1,224	<0.001
Mean ± SD	8,155.4 ± 4,947.51	7,163.6 ± 4,885.33	8,694.4 ± 3,890.76	8,012.6 ± 4,638.25	
Median	6,813.2	6,043.6	8,571.9	7,374.8	
25th–75th percentile	3,885.1–12,441.9	3,043.5–10,926.5	6,066.3–11,331.0	3,952.9–11,489.5	
Min.–max.	133.3–18,657.1	375.0–19,105.7	315.8–18,592.6	133.3–19,105.7	
Cumulative epoetin-alfa dose through month 4, units/week	n = 415	n = 399	n = 410	n = 1,224	<0.001*
Mean ± SD	150,549.4 ± 86,315.48	143,373.4 ± 78,318.48	168,305.9 ± 64,936.21	154,158.0 ± 77,703.17	
Median	124,000	120,000	166,000	143,000	
25th–75th percentile	80,000–227,000	78,000–200,000	117,000–213,000	90,000–214,000	
Min.–max.	0–330,000	0–330,000	30,000–329,000	0–330,000	
Cumulative epoetin-alfa dose through day of the 1st event, units/week	n = 58	n = 43	n = 53	n = 154	0.980
Mean ± SD	553,862.1 ± 447,079.39	506,897.7 ± 372,718.72	514,037.7 ± 340,344.89	527,042.9 ± 390,402.01	
Median	373,000	381,000	398,000	383,000	
25th–75th percentile	218,000–822,000	240,000–837,000	252,000–730,000	240,000–759,000	
Min.–max.	64,000–2,262,000	88,000–1,680,000	40,000–1,583,000	40,000–2,262,000	
Days to the 1st event	n = 58	n = 43	n = 53	n = 154	0.901
Mean ± SD	396.4 ± 208.43	404.4 ± 205.07	378.0 ± 187.29	392.3 ± 199.42	
Median	383	386	325	365	
25th–75th percentile	210–544	212–573	231–512	212–549	
Min.–max.	135–905	124–851	123–748	123–905	
Average Hb in month 4, g/dl	n = 415	n = 399	n = 410	n = 1,224	<0.001*
Mean ± SD	10.78 ± 0.669	12.05 ± 0.313	13.57 ± 0.614	12.13 ± 1.272	
Min.–max.	6.95–11.50	11.53–12.67	12.70–15.60	6.95–15.60	
End points between randomization and termination					
MI	11/415 (5.9)	9/399 (2.9)	11/410 (4.4)	31/1,224 (4.6)	0.932
Stroke	7/415 (6.4)	5/399 (1.4)	6/410 (4.4)	18/1,224 (4.3)	0.923
Heart failure hospitalization	22/415 (7.9)	20/399 (10.0)	23/410 (8.3)	65/1,224 (8.7)	0.944
Death	22/415 (8.8)	20/399 (14.6)	23/410 (11.4)	65/1,224 (11.5)	0.932
Primary composite end point	58/415 (25.5)	43/399 (21.5)	53/410 (23.1)	154/1,224 (23.6)	0.481

* $p < 0.05$; threshold for statistically significant values. Figures in parentheses indicate percentages. MI = Myocardial infarction; CABG = coronary artery bypass graft; PCI = percutaneous coronary intervention; CHF = congestive heart failure; CVA = cerebrovascular accident; GFR = glomerular filtration rate; TSAT = transferrin saturation; ACE = angiotensin-converting enzyme; ARB = angiotensin receptor blocker; HMG-CoA = 3-hydroxy-3-methylglutaryl co-enzyme A. Test statistics: continuous variables – Kruskal-Wallis test; binary/categorical variables – Pearson's χ^2 test; end points between randomization and termination – log-rank test. Continuous variables are reported as means ± SD unless noted otherwise; number and percentages are reported for binary/categorical variables; number of events and Kaplan-Meier event rates at 36 months are reported for end points between randomization and termination. As for the primary composite end point of death, heart failure hospitalization, stroke or myocardial infarction, patients can experience more than one end point. For the primary composite end point, time to the first end point is used in the analysis.

Table 2. Cox proportional hazard models for the primary composite end point of death, heart failure hospitalization, stroke or myocardial infarction, according to the Hb achieved at 4 months and the average weekly epoetin-alfa dose exposure prior to the first event or censure

Variable	HR	p value
<i>Model 1</i>		
Combinations of achieved Hb tertile in month 4 and average weekly epoetin-alfa dose tertile prior to first event or censure		0.0074
Lowest, lowest	1.363 (0.618–3.006)	0.443
Lowest, middle	2.679 (1.274–5.629)	0.009
Lowest, highest	3.572 (1.757–7.260)	<0.001
Middle, lowest (reference group)	1.0 (NA)	NA
Middle, middle	2.124 (0.951–4.745)	0.066
Middle, highest	3.304 (1.572–6.945)	0.002
Highest, lowest	1.456 (0.565–3.758)	0.437
Highest, middle	2.383 (1.167–4.866)	0.017
Highest, highest	2.536 (1.230–5.229)	0.012
<i>Model 2</i>		
Average Hb in month 4	1.181 (0.878–1.589)	0.271
Average weekly epoetin-alfa dose (per 1,000 units) prior to first event or censure	1.360 (0.982–1.884)	0.065
Interaction between average Hb and average weekly epoetin-alfa dose	0.980 (0.954–1.008)	0.154
<i>Model 3</i>		
Average Hb in month 4	0.971 (0.858–1.098)	0.635
Average weekly epoetin-alfa dose (per 1,000 units) prior to the first event or censure	1.074 (1.040–1.109)	<0.001
<i>Model 4</i>		
Average Hb in month 4	1.020 (0.870–1.197)	0.805
Average weekly epoetin-alfa dose to day of 1st event or censure (per 1,000 units)	1.067 (1.020–1.116)	0.005
Hb 13.5 g/dl (vs. 11.3 g/dl) (actual)	0.965 (0.585–1.591)	0.889
Baseline albumin	0.714 (0.503–1.015)	0.060
Baseline cholesterol linear splines (per 10 mg/dl)		
<240 mg/dl	0.974 (0.933–1.017)	0.238
≥240 mg/dl	1.089 (1.010–1.174)	0.027
Age linear splines (per 5 years)		
<55 years	1.481 (1.031–2.128)	0.033
≥55 to <75 years	0.966 (0.830–1.125)	0.660
≥75 years	1.442 (1.163–1.789)	<0.001
Prior CHF composite	2.466 (1.765–3.445)	<0.001
Prior stroke or transient ischemic attack	1.309 (0.869–1.971)	0.198
Prior deep venous thrombosis	2.540 (1.350–4.780)	0.004
History of solid organ malignancy	0.599 (0.359–1.002)	0.051
Prior atrial fibrillation/flutter	1.835 (1.182–2.848)	0.007

HR = Hazard ratio; 95% confidence intervals in parentheses; NA = not assessed. Reference group for model 1: average Hb middle tertile, average epoetin-alfa dose middle tertile. CHF = Congestive heart failure; prior CHF composite: prior CHF, cardiomyopathy, left ventricular dysfunction, or right ventricular dysfunction.

were significantly escalated (hazard ratios ranged from 2.536 to 3.572; $p < 0.05$ when compared to the lowest risk group of middle Hb tertile and lowest dose tertile). The relative risk in the middle tertile ($>5,164$ to $<10,095$ units/week) as compared to the lowest tertile was significantly or almost significantly increased as well, irrespective of

Hb achieved. When the highest risk group (the lowest tertile of the achieved Hb and the highest tertile of the average weekly ESA dose) was compared to the combined remaining 8 groups, the log-rank p value was 0.0085 as shown with the Kaplan-Meier survival curves in figure 2. There was no significant interaction ($p = 0.83$) between

Fig. 1. Cox proportional hazards for the primary composite end point of death, heart failure hospitalization, stroke, or myocardial infarction, according to the tertile of Hb achieved at 4 months and the long-term maintenance epoetin-alfa (EPO) dose received. The middle tertile is set as referent. The x-axis represents average Hb in month 4, and the z-axis represents the average weekly dose of EPO received prior to the first event or time of censor. Average Hb tertiles were (g/dl): lowest ≤ 11.5 , middle >11.5 to <12.7 , highest ≥ 12.7 . Average weekly EPO dose tertiles prior to first event were (1,000 units): lowest $<5,164$, middle $5,164$ – $10,095$, highest $>10,095$.

the achieved Hb tertiles and the average weekly ESA dose tertiles. The model with main effects only of achieved Hb tertiles and the average weekly ESA dose tertiles indicated that there was no significant impact of achieved Hb tertiles ($p = 0.51$); however, there was a significant effect of average weekly ESA dose ($p = 0.0001$) on the primary outcome. The same findings are also shown when achieved Hb and average weekly dose, and the interaction between the two, are treated as continuous variables in model 2 of table 2. Model 3 demonstrates that when the interaction between average Hb and average weekly epoetin-alfa dose is removed, the relationship between drug dose and outcome remains significant. In the fully adjusted model 4, the average weekly dose had a relative risk of 1.067 per 1,000 units of epoetin-alfa ($p = 0.005$) after adjustment for achieved Hb, albumin, cholesterol, age, prior heart failure, prior stroke, prior deep venous thrombosis, atrial fibrillation, or malignancy. Of note, baseline estimated glomerular filtration rate was considered as a potential co-

Fig. 2. Kaplan-Meier survival curves for the lowest tertile of the average Hb achieved in month 4 for patients ($n = 1,224$) who went on to receive the highest average weekly epoetin-alfa (EPO) dose up to the time of the first event or censor ($\text{Hb} \leq 11.5$ g/dl with $\text{EPO} > 10,095$ units/week) compared to the remaining 8 tertiles by tertile groups ($\text{Hb} > 11.5$ g/dl with $\text{EPO} < 10,095$ units/week), log-rank p value = 0.0085.

variate in the adjusted model of the primary end point; however, it was not statistically significant when modeled as two linear splines – <26 ml/min/ 1.73 m 2 ($p = 0.246$) and ≥ 26 ml/min/ 1.73 m 2 ($p = 0.444$) – and therefore was not included.

Discussion

As a part of conduct of the trial, subjects underwent treatment with epoetin-alfa to reach a steady-state level of drug effect according to the protocol-specified Hb target at 4 months. Those who incurred primary end points, tended to have the dose at 4 months maintained, while those without events had the dose reduced to achieve the protocol-determined Hb target over the ensuing months of the trial. When ignoring the randomization allocation and treatment target, we found those with the lowest epoetin-alfa dose exposure ($<5,164$ units/week) had reduced risks of primary events even if the Hb achieved was >12.7 g/dl. Conversely, in the highest epoetin-alfa tertile and the lowest Hb achieved (11% of the entire population), there was a nearly 2-fold hazard for the composite outcome. The achieved Hb groupings revealed that there was a greater frequency of baseline heart failure in the

highest tertile of Hb at 4 months, and this imbalance may have explained the association between the higher Hb target and heart failure hospitalization in the primary results of the CHOIR [3]. Thus, a higher Hb target did not place a subject at a higher risk of cardiovascular events unless the dose of epoetin-alfa was advanced in the middle or higher tertiles of Hb achieved. At the time of events, subjects were receiving higher doses of epoetin-alfa compared to those without events given similar per-patient time periods. These data suggest that the dose used at steady state (4 months) and the long-term maintenance doses of ESA used are the real determinants of epoetin-alfa-associated cardiovascular events and not the Hb target or the Hb achieved [20]. Furthermore, there appeared to be a dose-related cardiovascular toxicity associated with epoetin-alfa above a cumulative median dose of 166,000 units (average >10,095 units/week, see Results text). Previous analyses from the CHOIR and other similar trials have concluded that resistance to ESA was the determinant of increased cardiovascular risk [8, 13, 14]. Our analysis is the first to examine the dose of epoetin-alfa per week out to the time of first event or censor and clearly suggests that resistance to the drug which is typically determined within the first few weeks to months cannot explain the associations observed over the course of maintenance therapy. Those who were maintained on high doses of epoetin-alfa clearly had elevated risks irrespective of resistance or Hb response. We believe that if ESA resistance plays a role confounding cardiovascular risk (confounding by indication), then it is only in the highest dosing tertile and the lowest Hb response which represents approximately 11% of the population.

Similar to the CHOIR trial, the Normal Hematocrit study demonstrated an overall relative risk of 1.28, 95% confidence interval 0.92–1.78, favoring the low-hematocrit group [19]. However, subjects achieving a higher hematocrit with lower doses had a lower mortality rate than subjects in the lower-hematocrit group [19]. Higher Hb values alone in both the Normal Hematocrit study and CHOIR were not associated with worse outcomes if the epoetin-alfa dose was not escalated. Rather, lower Hb achieved values appeared to be associated with higher mortality even with lower doses of epoetin-alfa.

Epoetin-alfa requirements are variable among anemic patients [23]. This variability has been attributed to multiple etiologies, including iron deficiency, infection, and inflammation. Hyporesponsiveness to epoetin-alfa requires higher doses which currently do not have upper bounds found in clinical practice [24]. We observed approximately 143-fold and 50-fold variations in the week-

ly and cumulative doses of study drug received, creating an opportunity for considerable biologic variance in subjects. This could have led to an off-target cardiovascular adverse effect of epoetin-alfa.

Higher doses of epoetin-alfa have been demonstrated to be an independent predictor of mortality in the United States Renal Data System of dialysis patients [25]. Across all hematocrit categories, significant direct relationships between dose and mortality have been observed. The steepest increases in risk were found above the 72.5th dose percentile, corresponding to 18,800–29,300 units/week, which are considerably greater than the >10,095 units/week which we found as the average dose received in the highest risk group over 378 days of treatment. Thamer et al. [26] found that the business model of a dialysis unit was strongly related to the average epoetin-alfa dose utilized. Among the 6 large chain facilities with a similar patient case mix, the average dose of epoetin ranged from 17,832 units/week at nonprofit facilities to 24,986 units/week at for-profit facilities. Compared with nonprofit facilities, for-profit facilities increased epoetin doses 3-fold for patients with hematocrit levels of less than 33% (Hb <11.0 g/dl).

Mechanisms by which high doses of epoetin-alfa may be associated with a greater risk of adverse outcomes remain unclear. Erythropoietin receptors have been demonstrated on human endothelial cells and multiple other sites [27, 28]. Additionally, receptors have been found on tumor cells suggesting potential roles of erythropoietin as a proliferative agent in vascular and myocardial tissue [29–31]. We recognize that large doses and perhaps increased frequency of doses both contributed to the cumulative dose exposure at 4 months. However, continued high-intensity doses appeared to be more common in those who incurred cardiovascular events. Thus, it is possible that not only the dose, but also the stimulation intensity over time has an adverse effect on the erythropoietin receptor that results in cardiovascular toxicity.

Our study has all the limitations of secondary analyses from clinical trials. Landmark analyses minimize biases created by differential dropout of subjects and intervening events between the time of randomization and the inception time for the outcome measurement. However, the impact of later Hb levels and doses received after the landmark time cannot be examined using this methodology. Hb target, actual Hb achieved, and epoetin-alfa dose are closely related in the CHOIR study due to the design of the trial. The dose utilized was a consequence of failure to respond, so by definition represents confounding by indication. However, the multivariable analysis clearly

identified the weekly dose and not Hb as a determinant of cardiovascular events. While our findings are congruent with those concerning epoetin-alfa from the Normal Hematocrit Study, they may not apply to other ESAs such as darbepoetin. A similar analysis such as the one presented in this paper is urgently needed from the TREAT trial to confirm our findings. Such an analysis could have an important impact on prescribing physicians and predialysis chronic kidney disease patients receiving this ESA.

Our data partially support current US Food and Drug Administration guidance, for more conservative dosing of ESA in patients with chronic kidney disease. These recommendations now stress individualizing therapy for each patient and using the lowest possible ESA dose required to reduce the need for transfusions. These recommendations, however, still feature reduced Hb targets which in our view are irrelevant if the ESA dose is kept sufficiently low. In fact, our data suggest in those receiving low doses that normalization of Hb was not harmful [32]. We anticipate those with the highest Hb achieved had a quality-of-life benefit without the penalty of adverse cardiovascular outcomes. In summary, our analysis does not support restricted Hb targets for predialysis chronic kidney disease patients with anemia, rather a low dose ESA approach with monitoring and the final low maintenance dose continued even when there is a significant Hb response into the normal range (>12.0 g/dl for women and >13.0 g/dl for men).

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