Innate Immune Protection against Infectious Diseases by Pulmonary Administration of a Phospholipid-Conjugated TLR7 Ligand

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Anthrax · Immunotherapy · Influenza · Phospholipid conjugation · Toll-like receptor 7 · Venezuelan equine encephalitis · Virus infection

Abstract
Pulmonary administration of Toll-like receptor (TLR) ligands protects hosts from inhaled pathogens. However, systemic side effects induced by TLR stimulation limit clinical development. Here, a small-molecule TLR7 ligand conjugated with phospholipid, 1V270 (also designated TMX201), was tested for innate immune activation and its ability to prevent pulmonary infection in mice. We hypothesized that phospholipid conjugation would increase internalization by immune cells and localize the compound in the lungs, thus avoiding side effects due to systemic cytokine release. Pulmonary 1V270 administration increased innate cytokines and chemokines in bronchial alveolar lavage fluids, but neither caused systemic induction of cytokines nor B cell proliferation in distant lymphoid organs. 1V270 activated pulmonary CD11c+ dendritic cells, which migrated to local lymph nodes. However, there was minimal cell infiltration into the pulmonary parenchyma. Prophylactic administration of 1V270 significantly protected mice from lethal infection with Bacillus anthracis, Venezuelan equine encephalitis virus and H1N1 influenza virus. The maximum tolerated dose of 1V270 by pulmonary administration was 75 times the effective therapeutic dose. Therefore, pulmonary 1V270 treatment can protect the host from different infectious agents by stimulating local innate immune responses while exhibiting an excellent safety profile.

Introduction
Pathogen-associated molecules are recognized as danger signals by pattern recognition receptors on innate immune cells that initiate host defense reactions. Among the pattern recognition receptors, Toll-like receptors (TLRs) play essential roles in protective responses against infectious diseases [1, 2]. Bacterial infections initiate a broad range of TLR activation, including TLR2, TLR4, TLR5, and TLR9 [2, 3]. Alternatively, virus particles activate the innate immune system via nucleotide receptors, including endosomal TLR3, TLR7, TLR8, or TLR9, and various cytoplasmic recognition molecules [4].
TLRs activates multiple signaling pathways that are essential for the protection of host barrier tissues from external microbial attack.

The innate immune response of the respiratory tract is the first line of defense against aerosolized pathogens and may profoundly affect manifestation of the disease and outcome of many viral, bacterial, and fungal infections. Failure to develop an early, robust innate immune response may foster microbial colonization and infection in the airways and lung parenchyma. Prophylactic administration of ligands for TLR2, TLR3, TLR4, and TLR9 has been reported to reduce the severity of various pulmonary infections [5–16]. However, excess TLR activation can also induce severe local and systemic inflammatory reactions. Such safety concerns have impeded the clinical development of TLR ligands as immune protectants [17].

We previously reported that covalent conjugation of a modified adenine-based TLR7 agonist to mouse serum albumin enhanced its ability to stimulate innate immune responses while reducing drug-induced systemic cytokine release [18]. Mice pretreated with the TLR7 ligand-mouse serum albumin conjugate, which was delivered via the pulmonary route, and subsequently challenged with Bacillus anthracis spores or H1N1 influenza virus showed a significant delay in mortality [19]. However, modified proteins may be immunogenic, particularly with repeated dosing, limiting utility to a single course of therapy. The lung is normally bathed in various phospholipids [20]. Therefore, we synthesized 1V270 (designated TMX201 by Telormedix, Bioggio, Switzerland) consisting of the same purine-based TLR7 agonist conjugated to a physiologic C-16 phospholipid [18]. When 1V270 was previously used as an adjuvant in a standard vaccination study, both T helper 1 and 2 antigen-specific immune responses were activated, without the induction of local and systemic inflammation [18].

In the experiments reported here, pulmonary administration of this phospholipid-modified TLR7 ligand activated local dendritic cells (DC) with resultant cytokine release into the bronchoalveolar lavage (BAL) fluids. In contrast, pulmonary administration of 1V270 did not cause systemic cytokine release, weight loss, or B cell mitogenesis in the distant lymphoid organs. The local effects of pulmonary 1V270 in mice were sufficient to increase resistance to otherwise lethal infections with B. anthracis, Venezuelan equine encephalitis (VEE) virus, and H1N1 influenza virus in mice. These results suggest that 1V270 is a potent inducer of innate immune responses in the lung with an appropriate safety profile. This drug may therefore be useful for the protection against infection by aerosolized viral and bacterial pathogens.

Materials and Methods

Animals
Female C57BL/6, A/J and BALB/c mice were purchased from the Jackson Laboratory (Bar Harbor, Mass., USA) and Charles River Laboratory (Wilmington, Mass., USA), respectively. TLR4−/−, TLR7−/−, and MyD88-deficient mice were a gift from Dr. S. Akira (Osaka University, Osaka, Japan) and bred onto the C57BL/6 background at the University of California, San Diego (UCSD). The studies described here were carried out in strict accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. All procedures used in this study were approved by the Institutional Animal Care and Use Committees of UCSD and Utah State University.

Reagents
Phosphate-buffered saline (PBS, pH 7.4), RPMI 1640 medium (Life Technologies, Grand Island, N.Y., USA) and DMEM (Life Technologies) were supplemented with 10% fetal bovine serum (Sigma, St. Louis, Mo., USA) and penicillin/streptomycin (Sigma). Phospholipid-conjugated TLR7 ligand, 1V270, was synthesized in our laboratory as previously described [18]. 1V270 was dissolved in DMSO (Sigma) as a 10-mM stock solution and kept at −20 °C until use. As standard endotoxin LAL testing has a false-positive reaction to phospholipids, compounds and conjugates were tested for potency in Tlr4−/− and wild-type bone marrow cells and found to have equal potency, suggesting no contaminating endotoxin activity [18].

Intranasal and Intratracheal Administration of 1V270 and Collection of BAL Fluids
Mice were anesthetized and intratracheally or intranasally administered with the indicated doses of 1V270 dispersed in 50 μl PBS, which forms small (100–150 nm) liposomal particles. The same solution without the drug was used as a vehicle control. Preliminary experiments with vital dye showed that both intranasal and intratracheal delivery methods led to pulmonary dispersal of the drug solution. Six, 24, 48, and 72 h after administration, mice were sacrificed and the sera, BAL fluids, and lungs were collected as described previously [18]. Cytokine levels in the BAL fluids and sera were determined by Luminex bead assays (Life Technologies). Total BAL cell numbers were determined using a Guava personal cytometer (EMD Millipore, Billerica, Mass., USA). Differential cell counts were morphologically determined after Wright-Giemsa staining. Lungs were fixed, embedded, sectioned, and stained with hematoxylin-eosin by the UCSD Histology Shared Resource.

In vivo Labeling of DCs with Carboxyfluorescein Succinimidyl Ester and Flow-Cytometric Analysis
Carboxyfluorescein succinimidyl ester (CFSE) was dissolved at 25 mM in DMSO and subsequently diluted to 8 mM in PBS. CFSE (50 μl i.n.) was administered to anesthetized mice as previously described [21]. Four to 5 h after the CFSE treatment, mice were sacrificed, and the sera, BAL fluids, and lungs were collected. In vivo Labeling of DCs with Carboxyfluorescein Succinimidyl Ester and Flow-Cytometric Analysis
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using a FACSCanto flow cytometer (BD Bioscience, San Jose, Calif., USA) and analyzed using FlowJo software (Tree Star, Ashland, Oreg., USA).

**Efficacy Evaluation of 1V270 in Infectious Challenge Models**

Three infection models were used to evaluate the immunoprotective efficacy of pulmonary 1V270 treatment. The viral infection models, utilizing H1N1 influenza and VEE virus, were performed at the Institute for Antiviral Research (Utah State University). The studies using B. anthracis were carried out using UCSD.

1. **Anthrax Model.** Live spores from the Sterne strain of B. anthracis (pXO1+pXO2−) were prepared as previously described [19, 22]. A/J mice were given 1 nmol 1V270 i.n. or vehicle at 2-week intervals by the intranasal route for three times. Four weeks after the last dose, mice were infected intranasally with 4 × 10⁶ CFU of live, heat-activated spores, and survival was monitored daily for 30 days. In separate experiments, A/J mice were treated with 1V270 (1 nmol) with or without irradiated B. anthracis spores (5 × 10⁷/mouse) on days 0, 14, and 28, and BAL fluids were collected on day 35. Irradiated spores were prepared as described previously [23]. Total serum IgA was measured with an ELISA kit (Bethyl Laboratories, Inc., Montgomery, Tex., USA).

2. **VEE Model.** Female BALB/c mice were treated with 1 nmol 1V270 i.n. in 20 μl saline on days −3 and −1 under anesthesia (ketamine/xylazine, 50/5 mg/kg i.p.). The Trinidad donkey strain of VEE virus (strain NR-332) was obtained from BEI Resources (Manassas, Va., USA) and prepared in Vero cells as previously described [24]. On day 0, VEE 0.1 ml of a 10⁴ CCID₅₀/mouse was injected subcutaneously to each mouse as previously described [25, 26].

3. **Influenza Model.** Female BALB/c mice were treated with 1 nmol 1V270 i.n. in 20 μl saline on days −3 and −1 under anesthesia (ketamine/xylazine, 5/5 mg/kg i.p.). Mouse-adapted influenza A/California/04/2009 (H1N1) was kindly provided by Dr. Elena Govorkova (St. Jude Children’s Research Hospital, Memphis, Tenn., USA) [26]. On day 0, 90 μl of a 10⁴ CCID₅₀/mouse of influenza was administered intranasally to anesthetized mice [24].

**Statistics**

Data are presented as means ± SEM or SD, as indicated. Student’s t test was used to compare two groups. One-way ANOVA or the Mantel-Cox log-rank test was used for multiple group comparisons. Kaplan-Meier survival curves and log-rank (Mantel-Cox) tests were performed for survival studies. GraphPad Prism software (version 5.0b; GraphPad, San Diego, Calif., USA) was used for analysis. A value of p < 0.05 was considered to be statistically significant.

**Results**

**Pulmonary Administration of 1V270 Activates Local Innate Immune Responses without Induction of Systemic Immune Responses**

To characterize innate immune responses induced by pulmonary administration of 1V270, cytokines and chemokines in BAL fluids were monitored for up to 72 h after drug delivery. Interleukin (IL)–6, monocyte chemoattractant protein-1 (MCP-1), keratinocyte chemo-attractant (KC), and interferon (IFN)–γ-induced protein 10 (IP-10) were measured in BAL fluids (fig. 1). IP-10 was used as a surrogate marker of type 1 IFN induction [27]. IL-6, MCP-1, and KC significantly increased in the drug-treated animals by 6 h (fig. 1a–c). IP-10 induction peaked at 24 h and declined to baseline levels by 72 h after treatment (fig. 1d).

To assess the effect of 1V270 on DC activation and migration, we monitored accumulation of CD11c+ cells in the draining mediastinal lymph nodes 24 h after drug administration (fig. 1e). The pulmonary 1V270 treatment significantly enhanced CD11c+ DC migration to the mediastinal lymph nodes but not to more distant lymphoid organs (fig. 1e).

The principal adverse effect of systemic TLR7 ligand administration is a ‘cytokine syndrome’ attributable to tumor necrosis factor (TNF)-α and related inflammatory mediators [28]. Hence, we compared the levels of TNFα and other proinflammatory cytokines in BAL fluid and sera 24 h after pulmonary administration of 1V270. Notably, pulmonary 1V270 treatment elicited only a minimal insignificant increase in TNFα and IL-6 in sera after a 4-nmol dose, which is 4 times the effective drug concentration for pulmonary protection (fig. 1f, g).

One of the significant adverse effects associated with the FDA-approved small-molecule TLR7 ligand, imiquimod, is lymphocytosis/plasmacytosis due to TLR7 activation of B cells [29]. To study the potential influence of pulmonary 1V270 on lymphoid organs, the B cell numbers in the cervical, mediastinal, mesenteric, and inguinal lymph nodes were compared (fig. 2). 1V270 treatment did not significantly increase B cell numbers in the draining mediastinal lymph nodes (fig. 2a) nor in the cervical, mesenteric, and inguinal nodes (fig. 2b–d).

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**Pulmonary 1V270 Treatment Causes Minimal Inflammation of the Lung Parenchyma and No Other Discernible Adverse Effects**

To evaluate whether local induction of proinflammatory cytokines and chemokines by 1V270 could cause pulmonary edema or interstitial inflammation, histological changes in the lung were evaluated 6 and 24 h after treatment. At both time points, 1V270-treated lung sections did not show inflammatory cell infiltration into the lung parenchyma (fig. 3a).

We previously reported that high doses of an unconjugated TLR7 agonist caused anorexic behavior after both...
intraperitoneal and pulmonary administration [30]. In contrast, the effective dose (1 nmol) of the phospholipid-conjugated TLR7 agonist did not cause significant weight loss compared to vehicle-treated mice (fig. 3b). Indeed, significant anorexia was only seen at the maximum tolerated dose of 75 nmol/animal. This effect was entirely TLR7 dependent since TLR7 null mice did not lose weight after 1V270 treatment. Thus, 1V270 did not apparently have off-target toxic effects at dosages that induced immune responses in the lung.

Fig. 1. Pulmonary administration of 1V270 induces local innate immune activation. C57BL/6 mice (n = 5/group) were treated with 1 nmol 1V270 i.t. and BAL fluids were harvested 6, 24, 48, and 72 h after administration. IL-6 (a), MCP-1 (b), KC (c), and IP-10 (d) were measured in BAL fluids by Luminex beads assay. Data shown are means ± SEM. The data are representative of 2 independent experiments. * p < 0.05 vs. vehicle-treated mice by one-way ANOVA with Dunnett’s post hoc testing.

Fig. 2. Pulmonary administration of 1V270 does not increase the B cell population. Mice were administered 1 nmol 1V270 i.t. Mediastinal (a), cervical (b), mesentric (c), and inguinal lymph nodes (d) were harvested 7 days after treatment. B cells were identified as a B220+ population by flow cytometry. NS = Not significant.
To further characterize the local innate immune response induced by pulmonary administration of 1V270, the cellular composition of the BAL fluids was monitored for 72 h after administration of 1 nmol drug (fig. 4). Total cell numbers in the BAL fluids increased over 48 h and declined to near baseline levels 72 h after administration (fig. 4a). A significant increase was observed in the neutrophil population 24 and 48 h after treatment (fig. 4b), whereas mononuclear cells were slightly elevated in both 1V270- and vehicle-treated mice 24 h after treatment (fig. 4c). The neutrophil influx at 24 h was dose responsive between 0.1 and 10 nmol 1V270 (fig. 4d, e).

To confirm that the transient neutrophil accumulation induced by pulmonary administration of 1V270 is TLR7-MyD88 signaling pathway dependent, MyD88 or TLR7 null mice were also treated (fig. 4f, g). Neutrophil recruitment to BAL fluids was diminished in the two deficient strains (fig. 4f, g).

Pulmonary Treatment with 1V270 Protects Mice from Infection

To evaluate the ability of pulmonary 1V270 to protect mice from diverse pathogens, we studied selected NIAID Biodefense Category A, B, and C Priority Pathogens: *B. anthracis*, VEE and inhalation H1N1 influenza [31]. To test the efficacy of pulmonary 1V270 treatment in a model of inhalation anthrax, A/J mice were dosed with 1 nmol 1V270 three times at 2-week intervals. Four weeks after the last dose, the drug- or vehicle-treated mice were challenged with live *B. anthracis* spores. Survival was monitored for 30 days. Forty percent of the mice treated with
1V270 survived at least 30 days, while control mice were all dead by day 7 (p < 0.01, fig. 5a). When A/J mice were intranasally treated with 1V270 or with 1V270 plus irradiated anthrax spores on days 0, 14, and 28, total IgA levels in sera were significantly higher in mice treated with 1V270 (299 ± 20 ng/ml) and 1V270 plus irradiated anthrax spores (354 ± 33 ng/ml) compared to naïve mice (117 ± 9 ng/ml).

In the VEE infection model, the virus infects through the subcutaneous route and disperses in the lungs, blood, and spleen prior to entering the central nervous system through the nasal olfactory nerves [32, 33]. In this situation, innate immune stimulation in the nasal and respiratory tissues might prevent lethal encephalitis. To test this hypothesis, BALB/c mice were treated with pulmonary 1V270 on days −3 and −1 before challenge with VEE virus subcutaneously. Eighty percent of 1V270-treated mice were protected from encephalitis while all control mice died by 12 days after infection (fig. 5b; p < 0.0005).

Using the same prophylactic protocol, 1V270 protected 100% of mice from lethal H1N1 pulmonary influenza infection (fig. 5c, p < 0.0001).

**Discussion**

The pulmonary route of infection is of particular relevance in terms of bioterrorism since it is a quick way to disperse an infectious agent to a susceptible population. In this study, we have demonstrated that pulmonary delivery of a phospholipid-conjugated TLR7 ligand, 1V270, activated local innate immune responses without causing a systemic cytokine syndrome and without damaging pulmonary parenchymal tissue. This treatment completely prevented lethal pulmonary infection by influenza...
virus and protected 40% of exposed animals from inhaled anthrax. This treatment was also 80% effective in the prevention of death from VEE virus infection.

A focus of our study was the safety profile of pulmonary 1V270 treatment. Prophylactic administration of ligands for TLR2, TLR3, TLR4, and TLR9 has been reported to reduce the severity of pulmonary influenza infection [5, 7, 8, 10, 11, 14, 15]. In spite of the efficacy of the TLR activators, these drugs have raised safety concerns that have impeded their clinical development [17]. Among TLR ligands, imiquimod is an FDA-approved TLR7 agonist for the topical treatment of papilloma virus infections [34]. However, imiquimod can cause systemic cytokine release and also exhibits significant off-target effects that are independent of TLR7 and TLR8 activation [35]. The current study shows that 1V270, a phospholipid-conjugated TLR7 ligand, provides several safety advantages compared to most other TLR agonists. First, pharmacodynamic data indicate that immune activation by pulmonary-administered 1V270 is confined to the respiratory tract. Effective doses of the drug did not significantly increase cytokine levels in the blood and did not cause anorexia. Second, pulmonary 1V270 did not induce B cell proliferation in secondary lymphoid organs. Third, the cytokine induction and cell infiltration in BAL induced by 1V270 was transient and not associated with lung interstitial inflammation. The maximum tolerated dose of 1V270 administered by the pulmonary route was 75 nmol/animal, which is 75 times higher than the effective dose of 1 nmol/animal. Moreover, the body weight loss induced by the maximum tolerated dose was entirely TLR7 dependent and was not attributable to off-target toxicity [30]. In humans, TLR7 expression is primarily limited to plasmacytoid DCs and to activated B cells under normal conditions. Several TLRs (e.g. TLR2, TLR3, TLR4, TLR5, and TLR6) are expressed in a broader range of cell types [36, 37]. The relatively limited expression pattern of TLR7 may prevent excessive immune stimulation by pulmonary administration. The inhalation of TLR9 activators has been reported to be safe in humans at doses that stimulate innate immune responses [38]. Taken together, our data indicate that 1V270, given by the pulmonary route, should also display an excellent safety profile in humans.

Another aim of this study was to determine the efficacy of pulmonary 1V270 treatment as an immune protectant against a range of infectious pathogens. Pulmonary treatment with the drug broadly activated local innate immune responses, inducing both neutrophil recruitment and IP-10 release, a surrogate marker of type 1 IFN production, in the BAL fluids. Local or systemic administration of exogenous type 1 IFN augments immune defenses against RNA viruses, but it is not entirely protective against influenza [39–44]. Additional responses to stimuli that induce endogenous IFN production

Fig. 5. Pulmonary treatment with 1V270 protects mice from bacterial and viral infections. **a** A/J mice (n = 16) were treated with 1V270 (1 nmol i.n.) or vehicle at 2-week intervals for three times and challenged with heat-activated live *B. anthracis* spores 4 weeks after the last immunization. Animal survival was monitored daily for up to 30 days. Kaplan-Meier survival curves and log-rank (Mantel-Cox) tests were performed to determine significance. The data were pooled from 2 independent experiments. **b** 1V270 (1 nmol/mouse i.n.) was administered to BALB/c mice (n = 20 in the placebo group; n = 10 in the drug group) once a day on days –3 and –1 relative to virus exposure. Mice were challenged subcutaneously with VEE virus (Trinidad donkey, NR-332) on day 0. **c** 1V270 (1 nmol/mouse) or placebo were administered intranasally to BALB/c mice (n = 20 in placebo group; n = 10 in other groups) once a day on days –3 and –1 prior to virus exposure. Mice were challenged intranasally with an influenza A/California/04/2009 (H1N1) virus on day 0. *p < 0.01, **p < 0.0005, and ***p < 0.0001 vs. the vehicle-treated group by log-rank (Mantel-Cox) test.
have been suggested to have an added beneficial effect to host responses in influenza infection [45, 46]. A recent report indicated that neutrophils also play a protective role in severe influenza infection in mice [47]. Consistent with its effects relative to both IFN and neutrophils, pulmonary 1V270 treatment protected 100% of mice from lethal H1N1 influenza infection.

The 1V270 treatment also protected mice from pulmonary infection by inhaled *B. anthracis* spores, confirming earlier results with an albumin-conjugated TLR7 ligand [48]. In part, the induction of type 1 IFN may be involved in the protection from anthrax because intranasal administration of the type 1 IFN inducer, TLR3 ligand, poly-ICLC, a strong type 1 IFN inducer, was also reported to protect animals from inhaled anthrax [48]. However, the 1V270 treatment also caused activation of pulmonary DCs that migrated to the regional lymph nodes. It seems likely that the persistent immune protection induced by 1V270 may be due to effects on activated DCs that orchestrate innate and adaptive immune responses. TLR7 ligands have also been shown to increase the viability of macrophages infected with *B. anthracis* [24]. We also demonstrated that 1V270 administration increased serum IgA levels, suggesting that 1V270 can enhance local mucosal immune protection [49, 50].

To test the efficacy of pulmonary 1V270 treatment in a model utilizing a route other than airway challenge, we studied VEE virus infection. Because natural infection by the VEE virus is mediated by mosquito bites, the subcutaneous challenge model has been well characterized in mice [51, 52]. In this model, virus replication in the draining lymph node is detectable within 3 h and the peak of viremia is observed at 12 h. Then, the virus replicates in the nasal epithelium and enters the central nervous system through sensory olfactory neurons. Death ensues 7–10 days after infection [52–57]. Although systemic type 1 IFN shows protective effects on subcutaneous infection by VEE virus, pulmonary 1V270 did not induce systemic cytokines. However, it is very likely that intranasal treatment with the drug induced local innate immune responses in the nasal passages, which inhibited the entry of the virus into the olfactory neuroepithelium and the brain.

In conclusion, this study showed that pulmonary administration of a phospholipid-conjugated TLR7 agonist, 1V270, activated local innate immune responses and provided protection from three infectious diseases. Pulmonary 1V270 treatment did neither induce systemic cytokine release nor B cell proliferation. Prophylactic administration of 1V270 could be a potential biodefense agent to increase innate host resistance to pulmonary pathogens with minimal side effects.

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### Conflicts of Interest

Dennis Carson is a scientific advisory board member of Telormedix that has licensed 1V270.

### References


Protection of Pulmonary Infection by a Phospholipid-Conjugated TLR7 Ligand

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