Morphologic Basis for Developing Diverticular Disease, Diverticulitis, and Diverticular Bleeding

Thilo Wedel  Martina Barrenschee  Christina Lange  François Cossais  Martina Böttner
Institute of Anatomy, Christian-Albrechts-University of Kiel, Kiel, Germany

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Summary
Diverticula of the colon are pseudodiverticula defined by multiple outpouchings of the mucosal and submucosal layers penetrating through weak spots of the muscle coat along intramural blood vessels. A complete prolapse consists of a diverticular opening, a narrowed neck, and a thinned diverticular dome underneath the serosal covering. The susceptibility of diverticula to inflammation is explained by local ischemia, translocation of pathogens due to retained stool, stercoral trauma by fecaliths, and microperforations. Local inflammation may lead to phlegmonous diverticulitis, paracolic/mesocolic abscess, bowel perforation, peritonitis, fistula formation, and stenotic strictures. Diverticular bleeding is due to an asymmetric rupture of distended vasa recta at the diverticular dome and not primarily linked to inflammation. Structural and functional changes of the bowel wall in diverticular disease comprise: i) Altered amount, composition, and metabolism of connective tissue; ii) Enteric myopathy with muscular thickening, deranged architecture, and altered myofilament composition; iii) Enteric neuropathy with hypoganglionosis, neurotransmitter imbalance, deficiency of neurotrophic factors and nerve fiber remodeling; and iv) Disturbed intestinal motility both in vivo (increased intraluminal pressure, motility index, high-amplitude propagated contractions) and in vitro (altered spontaneous and pharmacologically triggered contractility). Besides established etiologic factors, recent studies suggest that novel pathophysiologic concepts should be considered in the pathogenesis of diverticular disease.

Diverticular Disease – A Common Condition with a Neglected Pathogenesis

Diverticular disease ranges among the most common benign diseases of the gastrointestinal tract. Due to its high prevalence especially in the elderly, diverticular disease represents the 5th most important gastrointestinal disease in Western countries in terms of direct and indirect costs with a mortality rate of 2.5 per 100,000 population per year [1]. In spite of its worldwide distribution and socioeconomic impact, the pathogenesis of diverticular disease is not yet fully understood, and most literature data on this issue date back to the 1960/70/80ies. In fact, a search in medical databases (e.g. Ovid/PubMed) reveals that much more efforts have been made to unravel pathogenetic factors for inflammatory bowel diseases (10-fold), arterial hypertension (50-fold), and diabetes mellitus (60-fold) compared to diverticular disease in terms of available studies.

Thus, in spite of being a major healthcare problem with currently changing concepts of classification, diagnostic procedures, and clinical management as evidenced by the release of current guidelines [2], the pathogenesis of diverticular disease appears to be a rather neglected research field deserving more attention. Here, we provide an overview of the pathomorphologic peculiarities of developing colonic diverticula, the spectrum of complications arising from diverticulitis, and the mechanisms underlying diverticular bleeding. In particular, both traditional and novel concepts in regard to the pathogenesis of diverticular disease are addressed.

Definition of Colonic Diverticula

The Latin term ‘deverticulum’ signifies a sideway or hide-out. The medical term describes a local and abnormal outpouching of a hollow organ. While ‘true’ diverticula involve all layers of the organ wall, ‘false’ diverticula are limited to an outbulging of only the inner layers, therefore also termed pseudodiverticula. In contrast to duodenal and jejunal diverticula or Meckel’s diverticulum, co-
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The colonic wall consists of an inner mucosal and outer muscular layer separated by the submucosal layer allowing shift motions between the inner and outer tube. The high mobility and abundance of mucosal tissue are prerequisites for the susceptibility to slip over a rather large distance from the luminal surface into and through the muscle coat. Moreover, in contrast to the esophagus, stomach, and small intestine, the longitudinal muscle in the colon does not form a continuous layer but is instead reduced to three parallel running muscle bands (taeniae coli), which predisposes to the preferential development of diverticula in colonic segments of the gastrointestinal tract. Of note is the observation that diverticula do not develop in the rectum – the region in which the three separate taeniae fuse again to make up a continuous longitudinal muscle layer.

Predilection sites of prolapsing mucosal/submucosal tissue are preformed weak points (loci minoris resistentiae) within the muscle coat where blood vessels enter the colonic wall. These so-called vasa recta arise from the mesenteric vascular arcades, penetrate through muscular gaps, and reach the submucosa and mucosa providing blood supply. Herniation of diverticula occurs along the perivascular connective tissue envelope which surrounds the intramurally located vasa recta and functions as gliding channel. The dense distribution of vasa recta in the left colon, in particular along the sigmoid segment, may explain the high frequency of diverticula in this region [4, 5].

**Location of Colonic Diverticula**

Colonic diverticula are most commonly found in the sigmoid colon (approximately 90%) followed by the descending colon and less frequently by the transverse and ascending colon. With increasing age, the development of diverticula tends to spread from distal to more proximally located sites. Whereas in Western countries right-sided diverticula are only seldom observed, in Eastern countries diverticula develop preferentially along the right hemicolectomy (approximately 70%) [6, 7]. In general, right-sided diverticula are more common in younger people and more predominant in men [8]. In principal, the pathomorphologic mechanisms underlying the development of right-sided diverticula are similar to those of left-sided diverticula [9, 10]. The varying location has been attributed to differences in morphology (e.g. length, thickness) and functional parameters (e.g. intraluminal pressure, prolonged transit time) of the colon, rather than to environmental differences.

**Pathomorphologic Events Leading from Diverticulosis to Diverticular Disease**

Most individuals with colonic diverticula do not develop symptoms during their entire life time (approximately 80%) – a condition defined as asymptomatic diverticulosis. However, the remaining patients will experience complications arising from ‘cold’ diverticula which comprise different types/severity grades of diverticulitis and/or diverticular bleeding.

**Diverticulitis**

The vulnerability of colonic diverticula to inflammatory events is explained by the following pathomorphologic and pathophysiological mechanisms:

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**Morphologic Basis for the Development of Colonic Diverticula**

A complete colonic pseudodiverticulum is composed of the following parts (fig. 1a):

- diverticular opening: the luminal portal through which the mucosal/submucosal herniation takes place;
- diverticular neck: the segment which passes through the muscle coat and is frequently narrowed due to muscular contraction;
- diverticular fundus: the extramurally located dome underneath the serosal covering which only comprises the thinned and distended mucosal/submucosal layer.

In the clinical jargon, however, the term colonic pseudod diverticula has been replaced by colonic diverticula. Although incorrect by definition, we stick to this convention in the following.

**Macroscopic Features of Diverticular Disease**

In a colon specimen with two complete, stool-filled diverticula. Note the narrowed diverticular neck and the thin-walled, distended diverticular dome only covered by serosa and epicolic fat. The colonic wall is considerably constricted and the muscle coat is thickened. Isolated diverticula are classified as pseudodiverticula affecting the mucosal and submucosal layer. Whereas complete pseudodiverticula penetrate through the entire muscle layer and reach the serosal covering, incomplete pseudodiverticula are defined by a herniation through the mucosal/submucosal layer.

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Submucosal blood vessels are pulled into the diverticulum and, thus, become distended and compressed. Local ischemia of the herniated mucosa resulting from the decreased blood supply increases the risk of inflammation.

Due to the frequently narrowed diverticular neck, retained stool cannot be emptied from the diverticular sac. Exposure time to intraluminal bacteria is increased and favors translocation of pathogenic germs.

The entrapped stool can transform into a fecolith of harder consistency able to mechanically irritate the mucosal lining resulting in a ‘stercoral trauma’ of the epithelial lining.

The diverticular fundus is frequently distended and thin-walled. Due to the weakened resistance, microperforation can easily take place, in particular at the diverticular fundus, allowing inflammatory spread through pericolic tissues and abscess formation.

The initial inflammatory histopathologic features of diverticulitis are prominent mucosal folds with mild cryptitis, crypt distortion, mucosal ulcerations, and periostial inflammatory infiltrates composed of lymphocytes, neutrophils, and activated macrophages [11–13] (fig. 1b). The local inflammatory process (peridiverticulitis) can spread transmurally to adjacent colonic segments (pseudomassive diverticulitis) and may result in different types of complications, e.g. microabscess, paracolic/mesocolic abscess, covered or open bowel perforation, purulent or fecal peritonitis. Fistula formation and stenotic strictures are particularly triggered by recurrent chronic forms of diverticulitis [13].

Overlaps of histopathologic findings between diverticulitis and inflammatory bowel diseases have been described in diverticular specimens, e.g. the presence of granuloma, transmural inflammatory infiltrates, lymphoid aggregates, or Paneth cell metaplasia [14]. It has been suggested that the presence of Crohn’s disease in association with diverticulosis predisposes to the development of diverticulitis. In rare cases (approximately 1%), segmental colitis is associated with sigmoid diverticula (SCAD) in which the inflammatory changes are not confined to peridiverticular regions but found along the entire diverticula-bearing colonic segment [13, 15, 16].

**Diverticular Bleeding**

Colonic diverticula are a common source for lower gastrointestinal bleeding, in particular in the elderly. Bleeding from diverticula may be occult or massive, but will stop spontaneously in approximately 90% of the patients. Although most diverticula develop in the sigmoid colon, diverticular bleeding occurs at least half of the time in the right colon suggesting higher susceptibility of this colonic segment to diverticular hemorrhage. The bleeding is due to a rupture of intramural located vasa recta. The following pathomorphologic peculiarities of the angioarchitecture have been described [17, 18]:

- Due to the mucosal/submucosal herniation, the vasa recta are bent, distended, and shifted into close proximity to the luminal surface, thereby increasing its mechanical vulnerability.
- Rupture of the blood vessel is asymmetric and eccentric, pointing towards the lumen of the diverticular dome.
- At the bleeding point, eccentric thickening of the tunica intima and duplication of the lamina elastic interna are observed suggesting intimal proliferation due to traumatic factors, e.g. ‘stercoral trauma’ by impacted fecoliths.

Of note is the observation that in most cases bleeding diverticula are not simultaneously affected by inflammatory infiltrates, thus ruling out inflammatory events as primary pathogenetic factors for diverticular bleeding. Conversely, factors which are known to alter the blood flow and/or the angioarchitecture, e.g. anticoagulation, medication, arterial hypertension, ischemic heart disease, and diabetes mellitus, are associated with an increased risk of diverticular bleeding. Recently, obesity has been identified as an additional risk factor for diverticular hemorrhage, although the exact pathomechanism is not yet clear. It is suggested that both the angioarchitecture and the connective tissue envelope of vasa recta are altered with increasing visceral fat deposits [19].

**Structural and Functional Alterations of the Bowel Wall in Diverticular Disease**

While the process of diverticula formation and the susceptibility of diverticula to both inflammation and bleeding per se are evident for obvious reasons (see above), the underlying pathomechanisms which trigger the development of diverticula are not yet fully clarified. In fact, the pathogenesis appears to be multifactorial and involves both morphologic and functional alterations of the bowel wall which may further explain the initiation of the disease (table 1) [20].

**Alterations of Enteric Connective Tissue**

Evidence of connective tissue alterations promoting the development of diverticula has been derived from those conditions in which the composition and metabolism of the connective tissue matrix are congenitally disturbed. Thus, the incidence and early onset of diverticulosis is significantly increased in patients with Ehlers-Danlos syndrome (gene mutations for collagen) or Marfan syndrome (gene mutation for fibrillin) [21]. The general weakening of connective tissue compounds with increasing age would also explain the widespread distribution of diverticulosis in the elderly. From hernia research, a hypothesis has been raised suggesting that the commonly encountered coincidence of abdominal wall/inguinal hernia, cholecystolithiasis, and colonic diverticulosis (Saint’s triad) as well as aortic aneurysm, lung emphysema, and pelvic organ prolapse may be due to a systemic connective tissue disorder [22].

Specimens obtained from diverticular disease showed a shift from stable collagen type I towards the less tensile collagen type III and alterations of enzymes involved in the connective tissue metabolism (e.g. matrix metalloproteinases, tissue inhibitors) [23, 24]. Apart from altered collagen fibers, elastic fibers have also been shown to be increased, in particular within the longitudinal muscle layer (‘elastosis coli’), thereby leading to enhanced longitudinal contraction and excess of mucosal tissue prone to herniation [25, 26]. Calculation of the connective tissue index revealed that in the longitudinal muscle layer the relative portion of connective tissue
elements was significantly increased compared to controls [27] (fig. 2a, b). Moreover, the overall content of collagen fibers as well as the degree of collagen cross-linking were higher in specimens from diverticular disease compared to controls [25, 26, 28]. It is suggested that connective tissue alterations on the one hand impair the flexibility and resilient forces of the gut wall and on the other hand enlarge and further soften the weak spots (loci minoris resistentiae), thereby promoting the development of diverticula.

**Alterations of Enteric Musculature**

One of the most consistent histopathologic features in diverticular disease is the thickening of the muscle coat affecting both the circular and longitudinal muscle layer [26, 27, 29] (fig. 2c, d). The muscular tissue architecture is disturbed and characterized by aberrant muscle bundles of unordered direction and focal replacement of myocytes by connective tissue. The myostatic muscular thickening (‘myochosis coli’) appears to originate from hypertrophy of myocytes and additional deposits of connective tissue. Although the muscle coat is morphologically thickened, the content of functionally relevant myofilaments is partly reduced. An essential myofilament component, smooth muscle myosin heavy chain, was found to be decreased both at the gene expression and protein level in the enteric musculature of patients with diverticular disease [27]. This finding further questions the functional integrity of the smooth muscle contractile apparatus in spite of the overall hypertrophy of the muscle coat.

**Alterations of the Enteric Nervous System**

First evidence for structural changes in the enteric nervous system emerged five decades ago, when Macbeth and Hawthorn [30] reported enlarged and ectopically located myenteric ganglia in diverticular disease suggesting a ‘neuromuscular derangement’. Involvement of the enteric nervous system was confirmed in subsequent studies which mainly described a reduced ganglionic and neuronal number in patients with diverticular disease compared to controls [31–33] (fig. 2e, f). Other studies could not observe major neural alterations while others showed a decreased amount of enteric glial cells and intestinal pacemaker cells (interstitial cells of Cajal) [34–36]. A possible explanation for the decline of both enteric nerve and glial cells as evidenced by morphometric quantification might be a deficiency of neurotrophic factors responsible for the development and maintenance of the enteric nervous system, such as the glial cell line-derived neurotrophic factor (GDNF). A deficit of GDNF and its receptors was only recently reported in the muscularis propria obtained from patients with diverticular disease compared to controls [37]. As the GDNF system promotes growth and differentiation as well as synaptic plasticity of the enteric nervous system [38, 39], it is conceivable that decreased expression of the GDNF system impairs both the maintenance of the enteric nervous system and adequate enteric neurotransmission.

Other factors contributing to a disturbed neurotransmission involve alterations of neurotransmitters released by enteric nerve cells. The imbalance of the neurotransmitter spectrum reported in diverticular disease involves both excitatory (e.g. acetylcholine, substance P) and inhibitory (e.g. nitric oxide, vasoactive intestinal polypeptide) agents [25, 40–43]. Moreover, neurotransmitter receptors essential for mediating intestinal motility are obviously affected in diverticular disease, e.g. down-regulation of serotonin receptor 4 (5HT-4R) and up-regulation of muscarinic receptor 3 (M3) [40, 44]. A significant decrease in SERT transcript levels was

| Structural and functional alterations of the bowel wall in diverticular disease |
|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| Enteric connective tissue       | increased overall connective tissue content | altered composition of collagen types | increased cross-linking of collagen fibers |
|                                 | increased elastin fibers (‘elastosis coli’) | disturbed connective tissue metabolism | |
| Enteric musculature             | thickening of circular and longitudinal muscle (‘myochosis coli’) | deranged architecture of muscle fibers | focal loss of myofilaments |
|                                 | fibrotic degeneration | |
| Enteric nervous system          | oligo-neuronal hypoganglionosis | imbalance of neurotransmitters/receptors | deficiency in neurotrophic factors |
|                                 | nerve fiber remodeling | increased pain-mediating neurotransmitter systems | loss of interstitial cells of Cajal (intestinal pacemakers) |
| In vivo intestinal motility     | increased intraluminal pressure | increased motility index | increased high-amplitude propagated contractions |
|                                 | retrograde propagations | visceral hypersensitivity | |
| In vitro intestinal motility    | altered spontaneous contractility pattern | decreased relaxation due to impaired nitricergic input | increased contraction due to enhanced cholinergic input |

Table 1. Structural and functional alterations of the bowel wall in diverticular disease

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found in the mucosa of patients with a recent history of acute diverticulitis [45]. Alterations of enteric neurotransmitters have also been implicated in the generation of symptoms in chronic recurrent stages of diverticular disease. The persistent abdominal pain has been attributed to an increase in pain-mediating neurotransmitters, e.g. galanin, neuropeptide K, and enteric nerve fiber remodeling most likely due to postinflammatory reactions – similar to irritable bowel syndrome [46, 47].

In summary, several of the histopathologic features described in gastrointestinal neuromuscular pathology underlying intestinal motility disorders [48] have also been found in diverticular disease. This suggests that altered intestinal innervation patterns may lead to intestinal motility disturbances which trigger the development of diverticula.

**Alterations in Intestinal Motility**

In accordance to the enteric neuromuscular pathology observed in diverticular disease, at least some of the patients with diverticular disease also exhibit disturbances of intestinal motility both under in vivo conditions and in in vitro studies. Organ bath experiments on isolated intestinal smooth muscle strips revealed abnormal spontaneous contractility patterns as well as altered responses after exposure to excitatory and inhibitory neurotransmitters or agonists. Most studies have found a reduced relaxation capacity of diverticular specimens due to an impaired nitrergic neurotransmitter system [10, 25, 41], except for one study reporting increased nitric oxide-mediated responses [49]. Conversely, responses to electrical field stimulation and cholinergic stimulation were generally enhanced in diverticular disease resulting in more pronounced contractions [10, 35]. Interestingly, no differences were found between left-sided and right-sided diverticular disease. Furthermore, in specimens from diverticular disease, endocannabinoids were significantly less potent in inhibiting contraction responses [50], whereas the tachykinergic contractile activity was substantially increased by cyclooxygenase [51].

More recent data derived from organ bath techniques confirmed a series of earlier in vivo studies showing an altered colonic motility pattern evidenced by manometric techniques. Patients with diverticular disease exhibited increased contractile activity and intraluminal pressure under resting conditions and – more consistently – after stimulation by meals or pharmacologic agents [52–57]. However, other studies could not confirm these colonic...
motor disturbances suggesting that not all patients with diverticular disease are equally affected [58, 59]. Recent studies using 24-h manometry could monitor the intestinal motility pattern over longer time periods and found increased motility indices, enhanced high-amplitude propagated contractions with painful sensations, as well as abnormal retrograde propagations [60, 61]. Barostat examinations revealed a heightened perception of distention in symptomatic diverticular disease. This visceral hypersensitivity was not only recorded in the diverticula-bearing sigmoid but also in the rectum [62].

Conclusion

In spite of the wide distribution and considerably high morbidity of diverticular disease, the pathogenesis is not yet completely clarified. Apparently, up to now a ‘causa prima’ is not identifiable, as multiple etiologic factors are claimed to trigger the development of diverticula. Besides traditional pathogenetic concepts including lifestyle factors (e.g. low-fiber diet, obesity, reduced physical activity), connective tissue weakness, and muscular thickening, novel aspects have been introduced complementing the current knowledge. Recent studies have given evidence that intestinal motility disturbances frequently in diverticular disease are paralleled by intestinal innervation disorders both at the structural and the functional level. Thus, it is conceivable that an impaired enteric neurotransmission may induce abnormal intestinal contraction patterns leading to high pressure zones and subsequent herniation of the mucosal/submucosal layer through preformed loci minoris resistitiae. Although this pathogenetic cascade is intriguing, it remains to be determined whether the enteric neuromuscular changes occur consistently before the formation of colonic diverticula or are secondary to inflammatory events during and after episodes of diverticulitis.

Disclosure Statement

The authors declare no conflict of interest.

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