Independent Risk Factors for Mortality in Patients with Chronic Obstructive Pulmonary Disease Who Undergo Comprehensive Cardiac Evaluations

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Key Words
Chronic obstructive pulmonary disease · Hemoglobin levels · Left heart failure · Mortality

Abstract

Background: Cardiovascular disease is the most common cause of death in chronic obstructive pulmonary disease (COPD). However, the impact of cardiovascular comorbidities on the prognosis of COPD is not well known. Objectives: This study was performed to investigate the effects of cardiovascular comorbidities on the prognosis of COPD. Methods: We enlisted 229 patients with COPD who underwent comprehensive cardiac evaluations including coronary angiography and echocardiography at Ajou University Hospital between January 2000 and December 2012. Survival analyses were performed in this retrospective cohort. Results: Kaplan-Meier analyses showed that COPD patients without left heart failure (mean survival = 12.5 ± 0.7 years) survived longer than COPD patients with left heart failure (mean survival = 6.7 ± 1.4 years; p = 0.003), and the survival period of nonanemic COPD patients (mean survival = 13.8 ± 0.8 years) was longer than that of anemic COPD patients (mean survival = 8.3 ± 0.8 years; p < 0.001). The survival period in COPD with coronary artery disease (CAD; mean survival = 11.37 ± 0.64 years) was not different from that in COPD without CAD (mean survival = 11.98 ± 0.98 years; p = 0.703). According to a multivariate Cox regression model, a lower hemoglobin level, a lower left ventricular ejection fraction, and the forced expiratory volume in 1 s (FEV1) were independently associated with higher mortality in the total COPD group (p < 0.05). Conclusions: Hemoglobin levels and left ventricular ejection fraction along with a lower FEV1 were identified as independent risk factors for mortality in COPD patients who underwent comprehensive cardiac evaluations, suggesting that multidisciplinary approaches are required in the care of COPD.

Y.-H. Ahn and K.S. Lee contributed equally to this paper. This work has not been published elsewhere previously.
Introduction

Chronic obstructive pulmonary disease (COPD) is an increasing cause of morbidity and mortality worldwide [1]. COPD is now recognized not as a single disease but as a syndrome with multiple phenotypes accompanied by many comorbidities [2, 3]. Cardiovascular comorbidities are the main causes of mortality in COPD, and atherosclerosis may occur in COPD regardless of the smoking history [4–6]. Among many cardiovascular comorbidities, heart failure and coronary artery disease (CAD), which often coexist with COPD, present clinicians with diagnostic and therapeutic challenges [7–8].

However, the prognostic impact of each cardiovascular comorbidity is difficult to evaluate because cardiological evaluations, including echocardiography and data coronary angiography, are extremely difficult to obtain in COPD [9, 10]. To date, few reports have evaluated the subset of patients with COPD who underwent cardiac tests, including coronary angiography and echocardiography, although some studies have investigated the clinical course of COPD with CAD [11–12].

Thus, this study was performed to investigate the effect of cardiovascular comorbidities on the prognosis in patients with COPD who underwent comprehensive cardiological evaluations including diagnostic coronary angiography and echocardiography.

Methods

Subjects

We retrospectively reviewed 5,207 patients who, on an outpatient basis, visited the pulmonary clinic and the cardiology clinic at Ajou University Hospital (a university-affiliated, 1,000-bed tertiary referral center in Suwon, Republic of Korea) at the same time, namely between January 2000 and December 2012. Of those, we enlisted 229 patients with COPD who had undergone comprehensive cardiac evaluations including coronary angiography and echocardiography performed by cardiologists. As shown in figure 1, 27 patients with tuberculous-destroyed lung, 5 with severe bronchiectasis, 2 with pneumoconiosis, 29 with physician-diagnosed asthma, and 8 with lung cancer were excluded from our study.

All clinical and laboratory parameters were obtained within 1 month before cardiac evaluations. The clinical history, comorbidities, demographics, medication history, laboratory findings, and radiological findings were acquired from medical records. The survival period was calculated from the time of the cardiac evaluations. The mean observation period was 6.48 ± 4.09 years. Survival status was obtained from a telephone interview, medical records, or Statistics Korea (kostat.go.kr).

Diagnosis

The diagnosis of COPD was determined by clinician’s judgment with the following two criteria: the postbronchodilator ratio of the forced expiratory volume in 1 s (FEV₁) to forced vital capacity (FVC) <70% and no or minimal abnormality on chest radiography [1]. The interpretation of the coronary angiography was made by 2 cardiologists who were blinded to the clinical characteristics of the patients. CAD was defined as ≥50% stenosis of at least 1 major coronary artery. Left heart failure was defined as ≤40% ejection fraction according to echocardiographic findings reported by cardiologists [13].
Anemia was determined by the reference values of hemoglobin: <12 g/dl for women and <13 g/dl for men [14].

Data on the duration and amount of cigarette smoking were obtained, and an ex-smoker was defined as a subject who had not smoked for at least 1 year. Body mass index (BMI) was calculated as the body weight divided by the height squared (kg/m²).

Acute exacerbation was defined according to the GOLD document [1]. If the patient’s condition worsened, and a course of oral corticosteroid and antibiotics was indicated based on the clinician’s judgment, exacerbation was defined as moderate. If hospitalization was required, at the discretion of the clinician, exacerbation was considered severe. We included only moderate and severe exacerbations in the exacerbation group of COPD, as in other studies [15, 16]. Spirometry (Elite-DX or CPFS; Medgraphics, Saint Paul, Minn., USA) was performed according to the American Thoracic Society guidelines [17].

**Statistical Analysis**

The SPSS software (version 22; SPSS Inc., Chicago, Ill., USA) and ‘R’ (version 3.1.1) were used for all analyses. The χ² test or Fisher’s exact test were applied for categorical data, and Student’s t test was used for continuous data. We determined the 95% confidence interval (CI) of each variable from the mean and standard deviation. A p value <0.05 was deemed to indicate statistical significance.

We first carried out univariate Cox regression analyses to identify significant predictors influencing overall survival. For the multivariate analysis by the Cox regression model, we selected variables by the significance of the hazard ratio (HR) in the univariate Cox regression model, and putative factors deemed to influence the prognosis of COPD.

We generated a forest plot representing the HRs and their corresponding CIs using ‘R’ with the survival library, ggplot2 library,
and metafor library to extract a set of meaningful variables in the univariate survival analysis (fig. 2) [18].

**Ethics Statement**

The present study was approved by the Institutional Review Board of Ajou University Hospital (approval No. MED-MDB-13-076).

**Results**

**Baseline Characteristics of the Patients with COPD**

The mean age of the participants was 69.2 ± 9.2 years, and 88.2% of the cohort were males. In the cohort, CAD was diagnosed in 59.4%, atrial fibrillation was detected in 10.5%, and anemia was found in 31.9% of the patients (table 1). In 136 patients with COPD and CAD, 73.5% (100 patients) were treated with percutaneous coronary intervention, 11.8% (16 patients) underwent coronary artery bypass graft, and 92.6% (126 patients) received standard medical treatment, including aspirin, statin, and clopidogrel for treating CAD.

Among the 78 patients with COPD who died during the observation period, mortality was most frequently due to heart failure (16 patients, 20.5%). Other causes were respiratory failure due to acute exacerbation of COPD (11 patients, 14.1%), pneumonia (11 patients, 14.1%), lung cancer (6 patients, 7.7%), cancers other than lung cancer (9 patients, 11.5%), septic shock (3 patients, 3.8%), cerebrovascular disease (11 patients, 14.1%), renal failure (3 patients, 3.8%), pulmonary embolism (2 patients, 2.6%), and 6 unknown causes (7.7%). Only all-cause mortality was used for the survival analysis because the cause of death was not known in some cases.

**Survival Analyses by the Kaplan-Meier Method**

Kaplan-Meier analyses showed that COPD without left heart failure (mean survival = 12.48 ± 0.69 years) survived longer than COPD with left heart failure (mean survival = 6.68 ± 1.40 years; p = 0.003), and the survival period of non-anemic COPD (mean survival = 13.82 ± 0.76 years) was longer than anemic COPD (mean survival = 8.29 ± 0.77 years; p < 0.001; fig. 3). The survival period of COPD without atrial fibrillation (mean survival = 12.50 ± 0.67 years) showed no significant difference compared to the survival period of COPD with atrial fibrillation (mean survival = 12.37 ± 1.31 years; p = 0.70; fig. 3). However, the survival period of COPD with coronary artery disease (mean survival = 11.37 ± 0.64 years) was not different from that of COPD without coronary artery disease (mean survival = 11.98 ± 0.98 years; p = 0.703), although COPD with triple-vessel coronary artery disease

<table>
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<th>Table 1. Baseline characteristics of the 229 COPD patients</th>
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<tr>
<td>Age, years</td>
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<td>Male, n</td>
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<tr>
<td>Smoking (yes/not anymore/never), %</td>
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<td>Smoking, pack-years</td>
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<td>BMI</td>
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<td>Pulmonary function (% predicted) after bronchodilator challenge</td>
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<td>FVC</td>
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<td>FEV₁</td>
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<td>FEV₁/FVC</td>
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<td>CAD</td>
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<td>Atrial fibrillation</td>
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<td>Arrhythmia</td>
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<td>Ejection fraction, %</td>
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<td>Anemia</td>
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<td>Complete blood count</td>
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<td>White blood cells, ×10³/μl</td>
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<td>Hemoglobin, g/dl</td>
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<td>Platelets, ×10³/μl</td>
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<td>Total cholesterol, mg/dl</td>
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<td>HDL cholesterol, mg/dl</td>
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<tr>
<td>Comorbidities</td>
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<td>Diabetes mellitus</td>
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<td>Hypertension</td>
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<td>Left heart failure</td>
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<td>Cerebral stroke</td>
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<td>Acute exacerbation per year</td>
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<td>Frequent exacerbator</td>
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Variables are expressed as means ± SD or n (%), unless otherwise indicated. HDL = High-density lipoprotein.

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<th>Table 2. Prognostic factors for the mortality in the total COPD group by multivariate Cox regression analysis</th>
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<td>HR (95% CI)</td>
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<td>Age, years</td>
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<td>Male</td>
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<td>Smoking</td>
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<td>BMI</td>
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<td>Hemoglobin, g/dl</td>
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<td>CAD</td>
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<td>Left ventricular ejection fraction</td>
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<td>FEV₁</td>
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Harrell’s C statistics 0.728 (SE = 0.037)

SE = Standard error.
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(mean survival = 8.61 ± 0.82 years) survived longer than COPD without triple-vessel disease (mean survival = 12.91 ± 0.70 years; p = 0.049).

**Survival Analysis by the Cox Regression Method**

According to the univariate Cox model, age, smoking, BMI, left heart failure, arrhythmia, anemia, serum hemoglobin level, FVC, FEV\textsubscript{1}, FEV\textsubscript{1}/FVC, serum protein level, and serum albumin level were significant parameters of all-cause mortality (fig. 2).

Multivariate Cox regression analysis showed that a lower hemoglobin level (HR 0.81 per 1 g/dl, 95% CI = 0.72–0.92, p = 0.001), a lower left ventricular ejection fraction (HR 0.98 per 1% increase of ejection fraction, 95% CI = 0.96–0.99, p = 0.002), and FEV\textsubscript{1} (HR 0.98 per 1% increase of FEV\textsubscript{1}, 95% CI = 0.97–1.00, p = 0.012) were independent risk factors for higher mortality in total COPD patients (table 2).

**Discussion**

The aim of this study was to investigate the influence of cardiovascular comorbidities on the prognosis of COPD patients. Our study demonstrated that a lower serum hemoglobin level and left heart failure along with a lower FEV\textsubscript{1} were independent risk factors for mortality in COPD patients.

According to the ‘Towards a Revolution in COPD Health’ (TORCH) trial [5], 27% of the deaths that occurred during the observation period were due to cardiovascular causes. However, they did not specify which cardiovascular mortalities contributed what percentage to the mortality in their cohort [5]. One study reported that mortality for COPD patients with CAD reached 21% at the 3-year follow-up in a study of 4,528 patients [19].

However, the true impact of cardiac comorbidities on the survival in COPD was not well explained because the
occult CAD in COPD, which should be confirmed by coronary angiography, was not identified in most studies [19, 20]. Occult CAD has been reported to be found in 59% of the COPD patients [9].

We are of the opinion that our study evaluated the influence of cardiac comorbidities on the prognosis of COPD patients better than other studies because comprehensive cardiac evaluations including echocardiography and an electrocardiogram were analyzed, and occult CAD confirmed by angiography was not included in the group of COPD without CAD.

We discuss here some noteworthy findings. First, our study found that left heart failure, among all cardiac comorbidities, was the prognostic factor independently associated with the highest mortality of COPD patients in multivariate Cox regression analysis, in addition to the well-known risk factor FEV₁. However, the presence of CAD was not a risk factor for mortality, though COPD patients with triple-vessel CAD had a shorter survival period than COPD patients without triple-vessel CAD.

There are few data yet suggesting that a decreased left heart function, marked by a lower ejection fraction, is independently associated with mortality in COPD. One recent report suggested that in a cohort of patients hospitalized for acute exacerbation of COPD, previously recorded congestive heart failure is an independent predictor of mortality within the first year after admission [21]. It was currently reported that left ventricular ejection fraction was not associated with percent emphysema and airflow obstruction, although COPD and a reduced FEV₁ are markers for cardiovascular mortality [19, 22, 23].

A possible explanation for why CAD was not associated with survival in our study is that the COPD patients with CAD in our cohort were treated with the best possible care at the cardiology clinic. Out of the COPD patients with CAD, 73.5% were treated with percutaneous coronary intervention, 11.8% underwent coronary artery bypass graft, and 92.6% received standard medical treatment including aspirin and a statin. Thus, our survival data cannot be generalized until they are validated in future studies because our cohort does not represent the general COPD population and this cohort consisted of COPD patients who visited the cardiology clinic. Furthermore, additional studies with bigger cohorts and/or a randomized controlled design are required as to the effect of CAD with multi-vessel involvement on the long-term prognosis of COPD patients because our data showed that COPD patients with triple-vessel involvement of CAD survived shorter, although this finding was not proven in the multivariate analysis. Another limitation of our study is that we did not determine laboratory markers of heart failure to support the link between heart failure and mortality in COPD.

Second, our data showed that a low serum hemoglobin level was a strong independent factor associated with survival in our COPD cohort.

Anemia was detected in 31.9% of our cohort, which is higher than the 12.6% of the men and 8.2% of the women in a severe COPD cohort receiving long-term oxygen therapy reported by Chambellan et al. [24] and the 17% in the report by Cote et al. [25]. However, the prevalence in our cohort is a little lower than that in a recent report where 43.9% were found to be anemic on admission due to COPD with acute exacerbation [26].

In the cohort of 207 patients reported by Celli et al. [27] to evaluate the BODE prognostic index with 1-year mortality, hematocrit was significantly higher in the patients who survived compared with those who died. Previous reports showed that the correction of anemia can decrease dyspnea, the work of breathing, and minute ventilation in COPD [26, 28].

Our findings are further supported by the evidence that hematocrit was an independent predictor of survival, the hospital admission rate, and the duration of hospitalization in COPD patients with long-term oxygen therapy [24]. It has recently been reported that even in stable COPD outpatients, anemia is independently associated with survival [29].

Anemia can be manifested as one of the comorbidities developing due to chronic inflammation in COPD and low-grade systemic inflammation, which can lead to atherosclerosis and increases the risk of major comorbidities in COPD independent of smoking [30–32]. Further studies are needed about the effects of anemia correction on the long-term outcomes in COPD.

Our study has several limitations. First, because the cohort of the present study was enrolled at a single center where cardiac evaluations are performed, selection bias could be present. Second, clinical assessments by the COPD Assessment Test, Medical Research Council Dyspnea Scale, and St. George Respiratory Questionnaire were not obtained at the point of initial enrollment because of the study’s retrospective design. Third, our study did not precisely measure the true prevalence of CAD in COPD because only COPD patients who visited the cardiology clinic for evaluating cardiac disorders were enrolled.

In conclusion, our study identified a lower left ventricular ejection fraction and lower hemoglobin levels along with a lower FEV₁ as independent risk factors for mortality in COPD patients, suggesting that multidisciplinary approaches are required in the care of COPD patients.
Acknowledgment

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Financial Disclosure and Conflicts of Interest

All authors have no financial relationship with a commercial entity that has an interest in the subject of this paper.

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