Radiotherapy of the Lymphatic Pathways in Early Breast Cancer

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\textbf{Introduction}

Radiotherapy (RT) of the regional lymph node (LN) areas in early breast cancer has become an issue of controversy over the past few decades as the awareness of late side effects has increased. Although irradiation of the complete lymphatic pathways was part of the treatment in almost all studies investigating post-mastectomy RT (PMRT) \cite{1}, it was only used in a minority of patients after breast-conserving surgery (BCS) \cite{2}. For regional nodal irradiation (RNI), older studies generally included RT of the supraclavicular (SCNs), axillary (ALNs) as well as internal mammary (IMNs) nodes. More recently, the use of IMN RT has substantially declined as it was suspected to enhance cardiac toxicity and morbidity \cite{3}. Similarly, dedicated RT to the axilla is now considered obsolete for pathologically node-negative patients, assessed by either ALN dissection (ALND) or sentinel node (SN) biopsy (SNB), and even for selected SN-positive patients.

The aim of the present paper is to provide an overview on current literature and to highlight different aspects concerning indications for RNI. The recent recommendations of the German Society for Radiation Oncology (DEGRO) \cite{4} based on lately published randomized trials are discussed.

\textbf{RNI: Definition of a Plurivalent Term}

The term RNI comprises a spectrum of different target volumes. The SCNs are mostly included in RNI; however, the target volume is not strictly defined. Some investigators include the medial SN only \cite{5}, while others extend the lateral field border to the neck of the humerus \cite{6}. The term ‘infraclavicular nodes’ (also widely used to describe 1 part of SCN RT) is identical to level III of the ALNs. Even though these nodes are predominantly not an explicit part of the treatment plan, they are mostly included in order to avoid a gap between SCNs and the tangential whole-breast irradiation (WBI) fields. Even when the ALNs are explicitly not part of the target volume for WBI, a significant portion of level I is inadvertently included \cite{7}. The same holds true for the IMNs where substantial doses of the tangential fields may be deposited. Before the era of CT-based 3 dimensional (3-D) contouring of the defined LN regions, unintended irradiation of these areas may have contributed to the reduction of locoregional recurrences, however to a non-

\textbf{Keywords}

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\textbf{Summary}

International guidelines reveal substantial differences regarding indications for regional nodal irradiation (RNI). Recently, several randomized studies provided new insights and these are discussed here. Patients with 1–3 positive nodes seem to profit from RNI compared to whole-breast (WBI) or chest-wall irradiation (CWI) alone, both with regard to locoregional control and disease-free survival. Irradiation of the regional lymphatics including axillary, supraclavicular and internal mammary nodes provided a small but significant survival benefit in recent randomized trials and 1 meta-analysis. Lymph node irradiation yields comparable tumor control in comparison to axillary lymph node dissection while reducing the rate of lymph edema. Data concerning the impact of 1–2 macroscopically affected sentinel nodes or microscopic metastases on prognosis are equivocal. Recent data suggest that the current restrictive use of RNI should be scrutinized, as the hazard-benefit relation appears to shift towards an improvement of outcome.

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quantifiable extent. Thus, recommendations for irradiation of the different LN areas are not evidence based in a strict sense, as RT of the SCNs as an isolated mode of nodal irradiation has not been investigated in randomized studies (an exception is described below).

**General Indications for RNI**

Until recently, no randomized studies explicitly investigated the quantitative benefit of RNI in addition to postoperative RT of the breast or chest wall. Nonetheless, if 4 positive ALNs are present, the indication for RNI has been undisputed, although some controversy remained for patients with 1–3 positive nodes (pN1) or tumors of > 5 cm [4, 8–10]. Indirect evidence for the benefit of RNI for patients with 1–3 positive ALNs was derived from subgroup analyses of the Danish Breast Cancer Group study and the British Columbia trial. Both randomized studies yielded an improvement of survival when PMRT, including the complete lymphatic pathways, was used. Comparison of outcomes according to the number of metastatic nodes revealed that patients with 1–3 and those with ≥ 4 positive nodes had a similar absolute overall survival (OS) advantage of roughly 10% [11, 12]. As no comparison was made to chest-wall irradiation (CWl) alone, uncertainty remained as to how to quantify the contribution of RNI.

**Benefit of RNI for Patients with 1–3 Positive Nodes**

The first randomized study providing evidence for the benefit of RNI especially in patients with 1–3 LN after BCS was the NCIC-CTG MA.20 trial [6]. The study comprised 1,832 women with mostly 1–3 positive ALNs (85%) and a minority of women (10%) with negative nodes but possessing high-risk factors. Patients were randomized after BCS and ALND to either WBI or WBI and additional RNI. The 10-year locoregional recurrence-free survival was 95.2% with and 92.2% without RNI (p = 0.009). 10-year disease-free survival (DFS) was significantly improved in the RNI group: 82% vs. only 77% patients with WBI alone (p = 0.01). This difference is higher than the absolute benefit in terms of local control, and therefore hypothetically attributable to the significant positive impact on distant metastases-free survival with an absolute 3.9% reduction at 10 years in the RNI arm (p = 0.03). There was no significant difference in OS (82.8 vs 81.8%). The rate of lymph edema (any grade) was 4.5% without compared to 8.4% with RNI (p = 0.001), which is in accordance to recent literature [13]. The authors concluded that RNI reduces the risk of locoregional and distant recurrence but does not improve OS [6].

**Benefit of RNI for pN0 and pN1(-2)**

The EORTC 22922-10925 trial [5] included 4,004 women diagnosed as stage I–III with mostly pT1–2 tumors (95%), and involved ALNs (55.6%) and/or a medially located primary tumor (44.5%). The majority of node-positive women had 1–3 involved ALNs. Patients were randomized after BCS (76.1%) or mastectomy (23%) to receive RT to the breast or chest wall with or without inclusion of the IMN and medial SCN (MSCN). Dose specifications for WBI/CWI were not required, and presumably, relevant parts of the axilla were included in these fields. Nearly all LN-positive (99.0%) and 66.3% of the LN-negative patients received adjuvant systemic treatment. After adjustment for stratification factors, RT to the IMN and MSCN chain significantly improved outcome at 10 years: OS: 82.3 vs. 80.7%, p = 0.049; DFS: 72.1 vs. 69.1%, p = 0.044; metastases-free survival: 78.0 vs. 75.0%, p = 0.020. The treatment effect on OS was similar for pN1 and pN2 patients but, interestingly, most pronounced for node-negative patients (hazard ratio (HR) 0.79, 95% confidence interval (CI) 0.61–1.02). The highest benefit was observed in patients receiving chemo- as well as endocrine therapy (HR 0.72, 95% CI 0.55–0.94). While the local recurrence rate was similar (5.3 vs. 5.6%), regional LN recurrence was 2.7% with RNI vs. 4.2% without. In contrast to the findings in the Canadian study [6], the rates of any-grade lymph edema at 3 years were identical in both groups [14]. No increase in lethal complications has been observed so far. Therefore, the authors concluded that RT of the IMN and MSCN should be recommended for patients with involved ALNs and/or medially located primary tumor.

A meta-analysis of these data [15] revealed an even more distinct benefit of RNI on OS with an HR of 0.82 (p = 0.011). The largest gain was observed for distant metastases-free survival, possibly supporting the hypothesis of Hellmann [16] that RT is “stopping metastases at their source”.

**Benefit of IMN RT?**

The restricted use of IMN RT is triggered by the fear of enhanced cardiac toxicity [3, 17]. Indeed, an increase of cardiovascular-related mortality was observed in older studies [1]. Undisputedly, IMN RT increases the dose to the heart, even with sophisticated 3-D planning or intensity-modulated RT (IMRT) however, much less than formerly achievable [18, 19]. The rationale to include the IMNs was originally based on autopsy series in the 1960s showing a high percentage of metastatic IMN [18], which has more recently been confirmed in a large series of 1,679 Chinese women who underwent extended mastectomy including dissection of the IMNs. Patients with 4 or more positive ALNs and mediol tumor or any positive ALNs, T3 tumors and an age less than 35 years had an increased (more than 20%) risk for IMN metastases [20]. Veronesi et al. [21] performed several studies addressing local treatment of the IMN. In a randomized study of 737 patients, dissection of the IMNs did not improve survival in comparison to mastectomy alone. In a further clinical study of 68 patients receiving RT of the IMN for histologically proven metastases, RT was highly effective and yielded a 5-year OS of 95% [22].

Besides restriction of IMN RT because of the fear of cardiovascular-related mortality [17], another argument against IMN RT was the small number of patients diagnosed with clinically mani-
fest IMN recurrence which is assumed to be only about 1% [18]. A possible explanation is the lack of imaging of this area as part of the follow-up program. Moreover, parts of the IMN may be inadvertently included in tangential field arrangements. Another hypothesis is that micro-metastases in the IMNs may represent a source for metastatic spread without growing to a clinically detectable size before distant metastases were diagnosed [15].

Only one study selectively addressed the effect of IMN RT in comparison to PMRT and SCN RT. The French trial [23] included 1,334 patients with mostly T1–2 tumors (85%) and either positive ALNs (75%) or central/medial tumors irrespective of nodal status (25%). All patients were treated with PMRT to the chest wall, including SCN (plus axillary apex, in node-positive cases) and were randomized to receive additional IMN RT or not. Roughly 60% received chemotherapy, about 50% endocrine systemic treatment. Overall, 10-year OS was 62.57% with IMN RT and 59.3% (n.s.) without. Node-negative patients (25%) showed a trend towards a worse outcome with IMN RT (n.s.), whereas node-positive patients seemed to profit from IMN RT (n.s.). The authors acknowledged that the study may have been underpowered for proving a significant survival benefit for IMN RT. No increase in cardiac toxicity was observed in the IMN RT group. The authors stated that their data did not permit a definite conclusion; therefore, they could not reliably recommend for or against IMN RT [23].

Positive SN/No ALND – Implications for RT

A new challenge for the radiation oncologist emerged with a change of practice in axillary surgery [24]. Based on data of a randomized study of the American College of Surgeons Oncology Group [25], several guidelines [8, 9] even permit omission of ALND in selected patients with 1 or 2 pathologically positive nodes after BCS, provided they receive adjuvant WBI. The ACOSOG-Z0011 study was a randomized noninferiority trial, including women with stage I or stage IIA breast cancer with clinically negative axilla who underwent SNB that revealed 1–2 pathologically affected nodes. Overall, 891 patients were randomized to either ALND (n = 445) or no further local treatment (n = 446). All patients received adjuvant WBI. After a median follow-up of 6.3 years, no difference in OS and DFS was observed; 5-year locoregional recurrence-free survival rate was 96.7% after SNB alone and 95.7% in patients with ALND. The authors concluded that ALND may no longer be justified in patients with T1–2 tumors.

Unexpected insights about the quality of evidence generated by the Z0011 trial were provided by a recent publication on behalf of the Alliance for Clinical Trials in Oncology. Jagsi et al. [26] attempted to analyze the radiation field design of the Z0011 study population by requesting the treatment records from the involved radiation oncologists for a central review. Completed case report forms were obtained for 605/856 patients, and of those 540 (89%) had received WBI. Additional treatment to the supraclavicular region was recorded in 89 (15%) of these patients in this subgroup. Of note, detailed RT records were only available for 228 patients, of whom 185 (81.1%) received tangents alone. These findings demonstrate the total lack of standardization or quality control in the Z0011 study, therefore precluding ultimate conclusions with regard to local treatment of the axilla [27]. Further insights concerning the adequate approach for the clinically negative axilla, even including abandonment of SNB, is awaited from the ongoing IN-SEMA trial [28].

A compromise accounting for a potentially increased risk of locoregional recurrence of SN-positive patients was proposed by Haffty et al. [24], who suggested the use of ‘high tangents’, with the rationale of including the nodal area presumed to be at highest risk. This approach was based on several studies investigating the dose delivered to the ALNs by conventional tangential fields, and the exploration of techniques yielding an improved coverage of level I by minor field extensions in cranial direction [29–31]. This technique is also recommended in the new textbook on Radiation Oncology edited by Perez and Brady [18]. However, this approach is neither based on solid data, nor does it address a clearly defined, 3-D-rendered target volume as demanded in modern RT.

Is ALN RT the Alternative to ALND for SN-Positive Patients?

Recently, the EORTC 10981–22023 AMAROS study [32] investigated the effectiveness of ALN RT (including SCN) in comparison to ALND. Overall, 4,806 patients with clinically negative nodes received SNB. Patients with a negative SNB did not receive any axillary treatment (except WBI) and the 5-year rate of axillary recurrence was 0.8%. SNB was pathologically positive in 1,425 patients, who were randomized for either ALN RT or ALND. Of the latter, 60% showed macro-metastases (> 2 mm). In the ALND group, 67% had no further positive nodes; 1–3 affected nodes were found in 25% and > 4 affected nodes in 7.8%. Subgroup analyses were not presented. The 5-year axillary recurrence rate was not significantly different: 0.43% after ALND and 1.19% after ALN RT. The planned noninferiority test was underpowered because of the unexpectedly low number of events. No significant differences in OS (93.2% vs. 92.5%, p = 0.33) and DFS (86.9% vs. 82.6%, p = 0.17) were observed. Lymph edema (any grade, including minor circumferential differences) was found significantly more often after ALND: 5-year rate 28% compared to ALN RT 14% (p = 0.0001). Considering that the pattern of spread was equally distributed in both groups, it can be assumed that in the ALN RT group roughly a third had remnant axillary metastases after SNB. The low recurrence rate of 1.19% strongly indicates the comparable effectiveness of ALN RT as a less invasive procedure than ALND. Of note, patients who had undergone BCS received WBI, including parts of the axilla. The outcome of patients who received mastectomy and no irradiation may provide insights concerning the consequences of truly refraining from any local treatment of the axilla, but no such subgroup analysis has yet been presented. The authors concluded that ALN RT can be ‘considered standard’ in SN-positive patients [32].
Targeting, Technique and Dose for RNI

3-D treatment planning is mandatory, and several anatomically based instruction guidelines have been published to define individual contouring of the different LN regions [33–35].

Hypofractionation (HF) is currently not recommended for patients who receive RNI, as larger doses per fraction may increase the risk of long-term effects such as cardiac toxicity or plexopathy [36–38]. In the randomized studies investigating HF, 79% of the patients were node negative, only a minority of patients were treated with RNI (Canadian study: none, START A trial: 13%, START B trial: 7% [39, 40]). The same applies for HF after chemotherapy [41], as the majority of HF patients had not received such treatment [39, 40] and most patients considered for RNI are nowadays planned to receive chemotherapy.

Comments and Conclusions

Data from the MA-20 and EORTC studies suggest that all node-positive patients profit from comprehensive RNI including SCN and IMN. The respective contribution of RNI by site (SCN vs. IMN) on improved outcome cannot be distinguished; ALNs of level I and II were partly included in the control arms using WBI/CWI. No increase in cardiovascular toxicity due to RNI has been reported. However, the follow-up 10 years in the three studies may still be too short for a final assessment of cardiotoxicity. Further follow-up and subgroup analyses have to be awaited.

The precept that IMN RT should not be performed has to be scrutinized and medial tumor location should regain relevance among decision criteria for IMN RT as part of RNI. RNI seems to yield a benefit for selected patients with pN0 stage and specific risk constellations or medial/central tumor location, but current data are conflicting and standardized recommendations for RNI in node-negative women cannot be derived.

Data do not yet permit ultimate conclusions as to whether any local treatment of the axilla can be safely omitted in selected patients with 1–2 involved LNs or in case of micrometastases. If macroscopic SN metastases exist, ALN RT (as part of RNI) should be discussed as an alternative to ALND in light of the equivalent effectiveness and concurrent reduction of lymph edema.

References


Disclosure Statement

The authors declare no conflicts of interest.


