Clinicopathological Factors Related to the Prognosis of Metastatic Breast Cancer Patients after Development of Brain Metastasis

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Keywords

Brain metastases · Breast cancer · Prognostic factor · Survival

Summary

Background: The prognosis of breast cancer patients with brain metastasis (BM) is extremely poor, and the survival after development of BM is very short. We aimed to investigate clinicopathological factors related to significant effects on the prognosis after BM development. **Patients and Methods:** This is a retrospective study of 75 early breast cancer patients who received the standard of care and subsequently developed BM. **Results:** Breast cancer subtype was one of the significant predictors for prognosis after BM diagnosis. Luminal HER2 patients had the most favorable prognosis after BM diagnosis ($p = 0.011$). Favorable performance status (PS) at BM diagnosis ($p < 0.001$) and a single metastatic brain tumor ($p = 0.032$) were significantly associated with good prognosis after BM diagnosis. Metastatic time courses of the patients was found not to be significantly associated with survival after BM diagnosis. Univariate and multivariate analysis indicated that luminal HER2 cancer, favorable PS at BM diagnosis, and a single metastatic brain tumor were the independent prognostic factors for survival after BM development, making a decisive influence on local or systemic control. **Conclusion:** Appropriate treatments for tumor subtypes and to improve the general condition of patients would result in improved outcomes for the patients with BM.

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Introduction

Breast cancer is a heterogeneous tumor displaying diverse characteristics including genetic, clinicopathological, and prognostic features [1–3]. The wide spectrum of these characteristics accounts for variations in response to treatments and metastatic patterns of breast cancer. The brain is one of the most common metastatic sites, with clinical and autopsy incidences of 10–16% and approximately 30% of all metastatic breast cancer [4–6]. Previous studies have identified several risk factors related to the incidence of brain metastasis (BM), such as young age, nodal status, tumor size, tumor grade, hormone receptor (HR) status – particularly negative estrogen receptor (ER), positive human epidermal growth factor receptor-2 (HER2), and triple negative (TN) phenotype [7–9]. BM exerts serious impacts on patients' daily lives, and causes severe healthcare problems. Although local treatments for intracranial lesions, including surgery, stereotactic radiosurgery, or whole brain radiation therapy, may improve quality of life for the patients, some cannot receive such local treatments due to progression of intracranial lesions. Furthermore, systemic treatments have been generally unsatisfactory, as most cytotoxic agents cannot cross the blood-brain barrier (BBB). Thus, BM results in an extremely poor prognosis for the patients.

Several clinicopathological factors have been identified to be significantly related to the prognosis after development of BM [10–13]. Especially the previously published prognostic scores might be useful tools in predicting survival of breast cancer patients with BM, which have been available for selecting appropriate treatments of BM [14–16]. They contain not only traditional prognostic fac-

tors on clinical features such as age, clinical stage, metastatic tumor control, performance status (PS) at the time of diagnosis of BM, and number of metastatic brain tumors, but also tumor biology including HR and HER2 status and intrinsic subtypes. However, although BM may generally occur late in the course of metastatic breast cancer, there has been little discussion about the association between time courses of metastatic cancer and survival after BM development. Identification of the time courses related to prognosis could lead to further improvement in the outcome of breast cancer patients with BM.

We analyzed the traditional clinicopathological factors as well as metastatic time courses of patients with BM, which might have an impact on survival after BM development. This retrospective study employed a database at a single institution where early breast cancer patients had received the standard of care and subsequently developed BM. Accordingly, this is very reliable for examining the association between the time courses of metastatic cancer and prognosis after BM development.

Patients and Methods

Patient Characteristics

We retrospectively reviewed the clinical records of 75 early breast cancer patients with curative resection who were histologically diagnosed as primary invasive ductal carcinoma. All patients received the standard of care and subsequently developed BM from breast cancer, as evaluated via computed tomography or magnetic resonance imaging, between February 1997 and December 2012 at Osaka National Hospital.

Subtype Classification

The presence of ER and progesterone receptors (PR) was detected by immunohistochemical (IHC) staining. Samples were considered negative

for ER and PR if < 10% of tumor cells showed expression by IHC staining. HER2 status was analyzed by IHC staining and scored as 0, 1+, 2+, and 3+ according to the strength of the staining. HER2 status was considered negative for staining between 0 and 1+, and positive for 3+. Fluorescence in situ hybridization analysis for HER2 amplification was performed for IHC 2+ tumors. The latest gene expression studies using DNA microarrays have revealed the prognostic implications of breast cancer intrinsic subtypes [17, 18]. In this study, breast cancer subtypes were classified as follows: luminal A-like type: HR+, HER2-, and low histological grade (HG) (grade 1 + 2); luminal B-like type: HR+, HER2-, and high HG (grade 3); luminal HER2: HR+ and HER2+; non-luminal HER2: HR- and HER2+; and TN: HR- and HER2-.

Survival Times and Metastatic Time Courses

Survival time from BM diagnosis to the time of death or last follow-up was analyzed according to the clinical factors of the patients at the initial diagnosis of primary breast cancer and at the diagnosis of BM, and time parameters in the metastatic courses, such as time from the initial diagnosis of primary breast cancer to the first distant metastasis, time from the first distant metastasis to BM diagnosis, and time from the initial diagnosis of primary breast cancer to BM diagnosis.

Statistical Analyses

Survival parameters were calculated using the Kaplan-Meier method, and survival curves were compared using the log-rank test. Univariate and multivariate analyses were performed using the Cox regression model to investigate the independent effect of the clinical factors influencing survival after BM diagnosis. A 95% confidence interval (CI) was calculated for all hazard ratios

Table 1. Baseline characteristics at the initial diagnosis of primary breast cancer (n = 75)

Characteristics	Status	n (%)
Median age (range), years		50 (27–79)
Menopausal status	pre	32 (43)
	post	43 (57)
TNM stage (AJCC 7th edition)	I + II	1 + 50 (68)
	III	24 (32)
Tumor size	T ≤ 2 cm	11 (15)
	T > 2 cm	64 (85)
Nodal status	negative	24 (32)
	positive	51 (68)
Lymphovascular invasion	absent	17 (23)
	present	51 (68)
	unknown	7 (9)
Histological grade	grade 1 + 2	5 + 26 (41)
	grade 3	44 (59)
Subtypes	luminal A	13 (17)
	luminal B	11 (15)
	luminal HER2	14 (19)
	non-luminal HER2	18 (24)
	triple negative	19 (25)
Surgery	mastectomy	41 (55)
	conservation	34 (45)
Neo-/adjuvant treatments	chemotherapy	54 (72)
	anthracyclines	35 (47)
	taxanes	23 (31)
	trastuzumab	7 (9)
	endocrine therapy	32 (43)

(HR) in the Cox regression analysis. We performed statistical analyses by using the Statistical Software package SPSS, v.17.0 (IBM Corp., Armonk, NY, USA).

Results

We analyzed a total of 75 early breast cancer patients who subsequently developed BM in the above-stated period. The baseline characteristics at the initial diagnosis of primary breast cancer are illustrated in table 1.

Median Survival Times and Metastatic Time Courses

The median survival times and median times according to the metastatic time courses of the patients are shown in table 2. The median survival time after BM diagnosis to the time of death or last follow-up was 6.7 months (range 0.4–50.6 months), and the median survival time after the initial diagnosis of breast cancer was 4.6 years (range 1.3–16.5 years). The median time from the initial diagnosis of breast cancer to the first distant metastasis and to BM diagnosis were 2.0 years (range 0.2–9.1 years) and 3.8 years (range 0.2–14.1 years), respectively. 17 patients developed BM as the first distant metastasis. Excluding these patients, the median time from the first distant metastasis to BM diagnosis was 1.4 years (range

Table 2. Median times according to time parameters

Time parameters	Median (range)
Survival time after BM diagnosis, months	6.7 (0.4–50.6)
Survival time after initial diagnosis, years	4.6 (1.3–16.5)
Time from initial diagnosis to 1st distant metastasis, years	2.0 (0.2–9.1)
Time from 1st distant metastasis to BM diagnosis, years ^a	1.4 (0.1–8.2)
Time from initial diagnosis to BM diagnosis, years	3.8 (0.2–14.1)

BM = Brain metastasis.

^aPatients who developed 1st distant metastasis at a site other than the brain (n = 58) were analyzed.**Table 3.** Median survival times (MST) after diagnosis of brain metastases (BM) according to clinical factors at the initial diagnosis of primary breast cancer

Clinical factors	Status	n (%)	MST, months	p value
Age	< 50 years	34 (45)	9.0	0.460
	≥ 50 years	41 (55)	4.3	
TNM stage (AJCC 7th edition)	I + II	51 (68)	6.8	0.638
	III	24 (32)	4.3	
Tumor size	T ≤ 2 cm	11 (15)	5.0	0.342
	T > 2 cm	64 (85)	6.8	
Nodal status	negative	24 (32)	4.8	0.701
	positive	51 (68)	6.8	
Lymphovascular invasion	absent	17 (23)	5.1	0.831
	present	51 (68)	6.8	
	unknown	7 (9)	–	
Histological grade	grade 1 + 2	31 (41)	4.9	0.883
	grade 3	44 (59)	8.2	
Subtypes	luminal A	13 (17)	3.6	0.011
	luminal B	11 (15)	6.0	
	luminal HER2	14 (19)	14.5	
	non-luminal HER2	18 (24)	8.8	
	triple negative	19 (25)	3.1	

Table 4. Median survival times (MST) after diagnosis of brain metastases (BM) according to clinical factors at BM diagnosis

Clinical factors	Status	n (%)	MST, months	p value
Age	< 50 years	23 (31)	8.0	0.747
	≥ 50 years	52 (69)	6.5	
1st metastatic site	brain	17 (23)	10.5	0.928
	others	58 (77)	5.0	
ECOG PS	0–1	49 (65)	11.5	< 0.001
	≥ 2	26 (35)	1.8	
Number of BM	single	14 (19)	16.5	0.032
	multiple	61 (81)	5.1	
Time from initial diagnosis to 1st distant metastasis	< 2 years	38 (51)	10.2	0.837
	≥ 2 years	37 (49)	5.1	
Time from 1st distant metastasis to BM diagnosis ^a	< 1.5 years	30 (52)	7.4	0.974
	≥ 1.5 years	28 (48)	3.4	
Time from initial diagnosis to BM diagnosis	< 4 years	39 (52)	10.5	0.919
	≥ 4 years	36 (48)	4.4	

ECOG = Eastern Cooperative Oncology Group; PS = performance status.

^aPatients who developed 1st distant metastasis at a site other than the brain (n = 58) were analyzed.

0.1–8.2 years). The median survival times after BM diagnosis, according to clinical factors at the initial diagnosis of breast cancer are shown in table 3. Of these factors, only breast cancer subtype was significantly related to survival after BM diagnosis. Luminal HER2 patients had the most favorable prognosis after BM diagno-

sis among subtypes (HR 0.346, 95% CI 0.165–0.724; p = 0.005) (fig. 1). No patient host factors at the initial breast cancer diagnosis other than tumor subtypes were significantly associated with prognosis after BM development. Table 4 shows the median survival times after BM diagnosis according to clinical factors at BM diag-

Fig. 1. Overall survival time after diagnosis of brain metastases according to breast cancer subtypes.

Fig. 2. Overall survival time after diagnosis of brain metastases (BM) according to performance status (PS) at the time of BM diagnosis.

Fig. 3. Overall survival time after diagnosis of brain metastases according to the number of metastatic brain tumors.

nosis and time parameters. Favorable patient PS (score 0–1) (HR 0.506, 95% CI 0.384–0.666; $p < 0.001$) (fig. 2) and single metastatic brain tumor (HR 0.693, 95% CI 0.491–0.978; $p = 0.036$) (fig. 3) were significant predictors for a better prognosis after BM development. These were decisive factors in determining not only local treatments of intracranial lesions but also systemic treatments for the patients with BM. However, age at BM diagnosis, BM as the first metastatic site, and the metastatic time courses were not significantly associated with survival after BM diagnosis.

Univariate and Multivariate Analyses

The results of the univariate and multivariate analyses are shown in table 5. Multivariate analysis revealed that luminal HER2 type (HR 0.221, 95% CI 0.103–0.474; $p < 0.001$), favorable PS at BM diagnosis (HR 0.386, 95% CI 0.281–0.531; $p < 0.001$), and single metastatic brain tumor (HR 0.601, 95% CI 0.421–0.856; $p = 0.005$) were found to be independent prognostic factors for survival after BM development.

Discussion

The prognosis of metastatic breast cancer patients with BM is thought to be significantly poorer than that of those without BM [9], and the median survival time after BM development was reported to range from about 6 to 9 months [10–13]. Several studies have suggested that breast cancer subtype was one of the significant factors related to survival after BM development [10, 11]. Both HER2-positive and TN tumors have a high incidence of BM [7–9], but the former has a significantly good prognosis while the latter has a poor prognosis after BM diagnosis [9–11]. In our analysis, HER2-positive and especially luminal HER2 patients had the most favorable prognosis after BM development, relative to other subtypes. In the univariate and multivariate analysis, this type was found to be a significant independent factor for better survival after BM development. These results are in close agreement with previously published data. However, it has remained unclear why HER2-positive patients should have the most favorable outcome after BM development. HER2-positive tumors have malignant characteristics accountable for aggressive tumor growth, high risk of recurrence, and poor prognosis [19, 20], and tend to frequently develop visceral metastasis including BM [7–9]. Anti-HER2 treatments have improved survival of HER2-positive patients in the adjuvant as well as the metastatic setting [21, 22]. Discussions have thus far been conducted regarding the relationship between anti-HER2 therapy and both the incidence of BM and prognosis after BM diagnosis. Trastuzumab, a standard treatment agent for HER2-positive patients, is known to have little activity for reducing intracranial lesions due to poor BBB permeability. Previous studies have indicated that treatment with trastuzumab was not associated with incidence of BM [23, 24], and survival after BM development was significantly longer in patients with trastuzumab than those without trastuzumab [11, 25, 26]. Trastuzumab combined with chemotherapy may favorably affect systemic metastatic lesions,

Table 5. Univariate and multivariate analyses for prognostic factors after diagnosis of brain metastases (BM)

Variable	Univariate analysis		Multivariate analysis	
	HR (95% CI)	p value	HR (95% CI)	p value
Luminal HER2 vs. others	0.346 (0.165–0.724)	0.005	0.221 (0.103–0.474)	< 0.001
ECOG PS 0–1 vs. ≥ 2	0.506 (0.384–0.666)	< 0.001	0.386 (0.281–0.531)	< 0.001
Number of BM Single vs. multiple	0.693 (0.491–0.978)	0.036	0.601 (0.421–0.856)	0.005

HR = Hazard ratio; CI = confidence interval; ECOG = Eastern Cooperative Oncology Group; PS = performance status.

probably leading to better systemic control and improved outcome of HER2-positive patients after BM development. In our study, about 80% of luminal HER2 patients continually received endocrine therapy as well as trastuzumab after BM development (data not shown), and this subtype would have more additional therapeutic options. However, it is likely that other potential factors of HER2-positive tumors may be related to favorable outcome after BM development, which is a topic for future discussion.

BM is generally considered to occur late in the course of metastatic breast cancer and was reported to occur mostly as a consequence of systemic metastasis, especially lung or liver [13, 23]. The time interval from the initial diagnosis of breast cancer to BM diagnosis was likely to be short in luminal B and TN types [10], while the time interval was showed to not be an independent prognosis factor for survival [27]. The relationship between the metastatic time courses and survival after BM diagnosis is still not well understood. Our single-institution study is very reliable for investigating the association of time parameters in the metastatic time courses with survival after BM development. However, our results showed that the time parameters had no significant correlation with survival. Other clinical host factors than the metastatic time courses might influence the prognosis of breast cancer patients with BM.

It is commonly believed that patients with BM perish as a result of not only cerebral disorder but progression of systemic disease. Thus, systemic treatments controlling the extracranial disease may be required for longer survival [12, 13]. Patients with poor PS at BM diagnosis do not seem to tolerate systemic chemotherapy; accordingly, PS is a decisive factor in determining systemic treatment of the patients. Favorable PS and systematic therapy after BM development have been reported to be independent prognostic factors for overall survival after BM development [11–13]. In our study, favorable PS and a single metastatic brain tumor had a sig-

nificant influence on better survival after BM diagnosis. Even when the systemic disease is under control, lack of local control of the intracranial lesion may result in poor outcomes with progressive central neurological disorders. Therefore, local treatments of intracranial lesions are essential for longer survival after BM development. Better general condition exerting a decisive influence on local or systemic control for the patients with BM was regarded as a significant factor related to favorable prognosis after BM diagnosis. Further identification of these predictors could support the selection of candidates for effective strategies, leading to better outcomes for breast cancer patients with BM.

In conclusion, luminal HER2 cancer, favorable PS at BM diagnosis, and a single metastatic brain tumor were found to be significantly related to survival after BM development and could have a decisive influence on local or systemic control. More appropriate intensive local and systemic therapies would lead to better outcomes of patients after BM development. It is hoped that such approaches may ultimately change the dismal courses of breast cancer patients with BM.

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Disclosure Statement

The authors declare no conflicts of interest.

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