The Critical Role of Innate Immunity in Kidney Transplantation

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Introduction

The role of innate immunity in kidney transplantation has been overlooked for years, but now it is generally accepted that it represents the first step in rejection mechanisms and guides the development of adaptive immune response. Its role was elucidated by Janeway [1] in 1989, who postulated that non-self-recognition is initiated by a number of germ-line encoded receptors named pattern recognition receptors (PRRs). According to this model, PRRs recognize a repertoire of bacterial or viral molecules, called pathogen-associated molecular patterns (PAMPs), such as lipopolysaccharide and peptidoglycan. PAMPs recognition by PRRs leads to the production of several pro-inflammatory mediators that are essential in the first phase of inflammation and guides the subsequent development of adaptive immune response. However, this model could not explain the innate immunity activation in autoimmune diseases and allotransplantation, assuming that it is performed under sterile conditions. It was to the merit of Dr. Polly Matzinger in the nineties to clarify how innate immunity can also be activated by non-infectious stimuli. She expanded Janeway’s hypothesis postulating that PRRs recognize as danger signals also self molecules that are released from dying or necrotic cells.
the so-called damage/danger-associated molecular patterns (DAMPs) [2]. According to this model, every injury to the graft leads to the production of DAMPs that potentially engage PRRs. Recently, Matzinger [3] noticed that Dr. Walter Land was the first to foresee the danger model before the publication of her theory [4], when he found out that a single shot of superoxide dismutase, a free radical scavenger, could reduce the long-term rate of rejection episodes in kidney transplant patients [5]. The aim of this review is to systematically describe all the steps of innate immunity response in kidney transplant rejection, from antigen recognition to T-cells activation. Finally, future perspectives based on experimental models will be discussed.

Overview of Innate-Adaptive Immunity Interplay

The communication between innate and adaptive immunity mainly relies on antigen presentation. The role of antigen-presenting cells (APCs) may be played by B cells, γδ T-cells, macrophages and perhaps natural killer (NK) cell [6]. However, in most cases, the cells presenting the antigen to immune competent lymphocytes are dendritic cells (DCs), which provide stronger activation signals than other cells. Before presenting the antigen, DCs need to change from immature cells specialized for antigen capture into mature cells that activate T lymphocytes. This phenotypic transition relies on the presence of an inflammatory micro-environment and represents an essential prerequisite for T cells activation. The quiescent DCs, indeed, release chemokines and cytokines that favor TGF-beta, which regulate the differentiation of Th subsets [10].

Who Recognized the Danger? The Role of PRRs

Therefore, the initiation of allo-response in renal transplantation needs DAMPs recognition by PRRs of the innate immunity. This enables APCs maturation and subsequent activation of the adaptive immune response, as discussed previously. But how can the danger be perceived? Innate immunity can rely on a wide array of cellular and soluble receptors to carry out this task.

Cellular Receptors

The most studied and better characterized cellular receptors are toll-like receptors (TLRs), NOD-like receptors (NLRs) and RIG-I-like receptors, while soluble mediators include complement and pentraxins. TLRs are integral membrane glycoproteins located either on the external surface of the cell membrane or on the endosomes and are expressed on DCs, macrophages, B cells, NK cells, endothelial cells and many other cells [11]. To date, human functional TLRs are divided into 2 subgroups, depending on their localization: TLR1, TLR2, TLR4, TLR5, TLR6 and TLR11 are expressed on cell surfaces and recognize mainly microbial membrane components, while TLR3, TLR7, TLR8 and TLR9 are expressed only in intracellular vesicles and recognize microbial nucleic acids. Different intracellular pathways of signal transduction have been described for these 2 groups of receptors. TLRs expressed on cells surface, upon antigen binding, dimerize and transduce the inflammatory signal through the intracellular toll/IL-1 receptor (TIR) domain. TIR dimerization on the cytoplas-
mic side of plasma membrane recruits adapter proteins, such as myeloid differentiation primary response gene 88 (MyD88) and MyD88-adapter, in turn activate intracellular kinases responsible for signal transduction, including IL-1 receptor associated kinases (IRAK) [12], IκB kinases, and protein kinase C [13]. This results in the translocation of transcription factors into the nucleus, such as AP-1 and nuclear factor kappa-light-chain-enhancer of activated B cells (NF-κB) [14], where they activate the transcription of pro-inflammatory genes, including cytokines, chemokines, adhesion and costimulatory molecules (fig. 2).

On the other hand, TLRs located on the intracellular vesicles, when engaged by viral moieties, initiate a signaling cascade that leads to the transcription of type I interferons through the translocation of the interferon response factors (IRFs) into the nucleus, such as IRF3 (fig. 2).

NLRs are intracellular sensors that collaborate with TLRs in recognizing PAMPs and DAMPs in order to activate NF-κB and MAP kinases [15]. These receptors are expressed in the epithelial tubular cells and seemed to be crucially involved in the inflammatory response triggered by IRI in a murine model [16]. NOD leucine-rich repeat and pyrin domain containing protein (NLRPs), including NLRP3, are a distinct subfamily of NLRs that, following activation by PAMPs or DAMPs, oligomerize and recruit both the adaptor protein ASC and the inactive form of protease caspase-1 to form a multimolecular complex termed inflammasome that has proteolytic properties.
and is essential to activate by cleavage some proinflammatory cytokines, such as IL-1β and IL-18 (fig. 2) [17].

Finally, RIG-I-like receptors are intracellular receptors that intercept and recognize the intracellular viral replication through the direct interaction with the dsRNA produced by viral RNA to form the genome [18].

For readers who are particularly interested to know more on this subject, some excellent reviews have been recently published about the role of PRRs in general [19] and, more specifically, in kidney diseases [20].

**Soluble Receptors**

Besides the cellular arm, the innate immunity also comprises humoral components, namely complement and pentraxins.

The complement system includes more than 30 proteins both in plasma and on cell surfaces and presents 3 distinct activation cascades, the classical, alternative and mannose-binding lectin pathway [21]. Although the complement system plays important protective roles against infection and tumors, it can be harmful to the
DAMPs include all the substances that are released from damaged tissues and that can be recognized by the specific receptors of innate immunity (PRRs). A large number of DAMPs released by damaged or necrotic cells has been identified so far and several functional experiments in animal models have confirmed their essential role in the development of rejection. DAMPs can be broadly classified into those that are actively expressed or secreted by damaged cells and those that are passively released by dying cells. DCs, lymphocytes, inflammasomes and IgM antibodies can recognize them. Moreover, damaged extracellular proteins, such as fragments of hyaluronan, heparin sulphate, fibronectin and biglycan, can act as efficient DAMPs [29]. One of the most studied proteins is the high-mobility group box 1 (HMGB1) protein, whose role is to regulate chromosomal stability. It is shed from dying or damaged cells in the extracellular matrix, where it has chemotactic effects for virtually all immune cells and activates APCs through engagement with TLR2, TLR4 and the receptor for advanced glycation end (RAGE) products [30]. Heat shock proteins (HSPs) are a family of intracellular chaperones involved in folding and unfolding of newly synthesized polypeptides. In stress conditions, such as hypoxia, their production and secretion are enhanced and leads to APCs’ activation through TLRs engagement [31]. HSP70 expression is already increased in kidneys removed from deceased donors; this precedes a more dramatic upregulation when reperfusion later occurs [32]. Leakage of ATP (extracellular ATP) and uric acid out of damaged cells can activate the inflammasome, leading to the production of IL-1β and IL-18. In particular, extracellular ATP induces P2X8-dependent pores formation on cell membranes by the assembly of pannexin-1 hemichannels. This allows the entry of extracellular ATP itself and other extracellular DAMPs into the cells, where they can engage NLRP3 in order to activate the inflammasome [29]. Coagulation proteases, besides generating fibrin, are involved in the inflammation process through engagement of the so-called protease-activated receptors on endothelial cells, DCs and T cells. These receptors are activated upon cleavage by the coagulation proteases and increase the expression of adhesion molecules and promote the allogeneic-primed T-cell recruitment [33].

**Innate Immunity Activation in Kidney Allotransplantation**

A number of factors may activate innate immunity in allotransplantation. In the brain-deceased donor, the intracranial hypertension triggers a massive release of cytokines and growth factors with consequent activation of cell-adhesion molecules and leukocyte infiltration in the kidney [34]. Another consequence of brain death is local upregulation and the activation of complement that can contribute to create an inflammatory environment [35]. The manipulation of the kidney during removal may also produce mechanical injury. However, the most dangerous and best studied mechanism of graft damage is represented by IRI. Ischemia leads to poor ATP generation and intracellular acidosis through the activation of the glycolytic pathway. This deranges the activity of sodium/potassium ATPase pumps and disrupts the osmotic equilibrium. As a result, cells swell due to the osmotic stress and start to express adhesion molecules, such as P-selectin, E-selectin and ICAM-1, that will promote leukocytes infiltration of the graft in the reperfusion phase. The re-
perfusion phase leads to the production of a huge quantity of reactive oxygen species (ROS). In the first minutes after reperfusion, ROS production is thought to originate from endothelial cells that have been damaged by the previous phase of ischemia. The mitochondrial electron transport chain is the system mostly involved in ROS production. Leakage of electrons from damaged mitochondria generates superoxide anions, hydrogen peroxide, hydroxyl radicals, hypochlorous acid and singlet oxygen. Afterwards, a second burst of ROS production is due to neutrophils migration to the graft, where they contribute to tissue damage and promote the subsequent recruitment of alloreactive T cells [36].

Oxidative and genotoxic stress determine cellular damage that often result in regulated forms of necrosis, which may involve mediators such as serine/threonine protein kinase RIP1-RIP3 (necroptosis) or glutathione peroxidase 4 (ferroptosis) [37, 38]. These types of necrosis may potentially lead to massive release of intracellular DAMPs.

It is worthy to consider that inflammation does not only drive tissue injury but also favors its repair. TLR2 activation by DAMPs engagement determines clonal expansion and activation of renal progenitor cells located along the thick limbs of the renal tubule, a process that leads to hastened regeneration. In addition, following TLR4 activation, the release of IL-22 by macrophages and DCs activates a specific receptor on these tubular cells that favors the reparative process. Selective blockade of TLR signalling in the recovery phase of IRI leads to a consistent delay in epithelial regeneration [39, 40]. Failure to resolve acute inflammation following injury can lead to the development of chronic inflammation that contributes to the pathogenesis of graft dysfunction [41]. DAMPs themselves may indeed affect renal fibrosis, an aberrant process, which tends to re-establish tissue homeostasis through deposition of extracellular matrix. The DAMPs-mediated activation of fibroblasts, pericytes and mesangial cells leads to fibrosis via the induction of molecular pathways that result in TGF-β signalling and epithelial-mesenchymal transition of these cells [42].

Timely regulation of inflammation and recovery, both active processes, is subjected to a wide array of factors, in which innate immunity mediators play a consistent role. Recently, increasing attention has been paid to the influence of macrophage phenotype in the different phases of ischemia-reperfusion injury. Classically activated M1 macrophages are considered proinflammatory cells, which enter the site of injury along neutrophils following the release of chemokines (such as CX3CL1, released by renal endothelial cells). Neutrophils apoptosis and their subsequent phagocytosis switch macrophages towards an anti-inflammatory phenotype (M2, alternatively activated) that contributes to inflammation resolution and promotes healing [43]. A consistent number of mediators are implied in this phenotypic switch: genetic or pharmacological inhibition of macrophage colony stimulating factor 1 (CSF-1), which is released by damaged renal epithelial cells, resulted in a reduction of M2 polarization and impaired recovery [44]. Of note, CSF-1 also acts on renal tubular cells themselves via a specific receptor, which is upregulated upon injury. This signalling results in decreased apoptosis, increased proliferation and lessened interstitial fibrosis [45]. Similarly, genetic depletion of IRAK-M, a macrophage-specific inhibitor of TLR and IL-1β signalling induced after their activation, resulted in interstitial accumulation of M1 macrophages with subsequent interstitial fibrosis and tubular atrophy [46].

If IRI represents the major early event that triggers innate immunity, it should be pointed out that every injury to the graft – including infection, drug toxicity, tissue damage – may theoretically lead to the production of PAMPs or DAMPs with consequent activation of the innate immunity. Of particular importance, pathogen- or allograft-derived antigens can activate innate immunity response and create a pro-inflammatory milieu. Some T cells specific for microbial peptides presented by self-major histocompatibility complex (MHC) molecules can cross-react with allogeneic MHC, while bacterial superantigens can directly activate large populations of T cells. Therefore, infections experienced before transplantation can give rise to memory alloreactive T cells that may be more resistant to immunosuppression than naïve T cells [47]. Infections occurring late after transplantation may, in theory, elicit pro-inflammatory signals that activate tolerant T cells by enabling their escape from immunosuppression and/or peripheral mechanisms of tolerance, thereby precipitating rejection [48]. Activation of innate immunity by trivial triggers could explain the occurrence of some cases of unexpected late deterioration of renal function in patients with stable transplants. Depending on the balance between effector and regulatory T cells, these events may result in innocent renal function fluctuations or progression to irreversible renal failure. Recent emphasis has been given to the complex interplay between gut microbiota and innate and adaptive immunity. For instance, in an animal model of IRI-induced AKI, germ-free mice had worse structural and functional outcomes compared to mice that were colonized with wild-type gut flora. Authors suggested that a more pro-

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nounced T helper 1 phenotype in germ-free mice could have promoted a worse injury [49].

It is known that fecal microbiota undergoes dramatic changes after kidney transplantation, resulting in the overgrowth of some bacterial species and overall reduction in microbial diversity. The resulting changes in gut microbiota have been associated with the development of post-transplant diarrhea and Enterococcus-related infectious complications. Moreover, patients with acute rejection have substantial different gut microbial composition [50]. Whether microbiota has an active role in acute rejection mechanisms is a fascinating hypothesis that deserves further validation. Indeed, changes in gut microbiota may represent only the consequence of immunosuppression and antibiotic prophylaxis given to transplant patients [51]. However, its role cannot be undervalued since drug metabolism can be affected by changes in gut flora, as Lee et al. [52] recently demonstrated that Faecalibacterium prausnitzii abundance in the first week after transplantation had an impact on tacrolimus dose requirements.

**Antigen Presentation and Activation of Adaptive Immunity**

After antigen uptake, phenotypic transition and migration to lymphoid tissues, DCs can finally present the antigen to immunocompetent cells. In kidney transplantation, antigen presentation to T cells has 3 different modalities: (i) direct presentation: the donor’s DCs present directly the antigen to T cells; (ii) indirect presentation: recipient’s DCs take up membrane fragments of donor’s DCs membranes for presentation through indirect pathway [53]. Once T lymphocyte has been activated, there is a large influx of Ca\(^{2+}\) ions into the cytoplasm leading to the activation of calcineurin, a phosphatase that dephosphorylates a family of proteins called nuclear-factor of activated T cells (NFAT). After dephosphorylation, NFAT can enter the nucleus and stimulate the synthesis of IL-2, a potent pro-proliferative cytokine that expands the T clone itself and strengthens the adaptive response against the allo-antigen presented. The contact with APCs also activates the mitogen-activated protein kinase (CD25), together with IL-15, activates a kinase, called Ja

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Innate Immunity in Kidney Transplantation

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controlled study on healthy subjects. The drug completely blocked TLR2 receptor on monocytes, was well tolerated and apparently produced no major adverse reactions [64]. A clinical trial evaluating OPN-305 on renal transplant recipients to prevent delayed graft function is currently ongoing (NCT01794663). Some negative regulators of the TLRs may also constitute a potential therapeutic target in the future. For instance, IRAK-M activates a negative feedback that alters IRAK1- and IRAK4-dependent signal transduction [14]. IRF-4, unlike other IRFs, suppresses TLRs signaling by binding to MyD88 and its lack aggravates IRI in kidneys [65]. Toll-IL-1R8 (TIR8), a negative regulator of TLR/IL-1R, dampens IRI and Tir8(−−) mice have increased TNF-alpha and IL-6 secretion from DCs and worse renal graft outcome [66].

Regarding DAMPs, several strategies have been based on HMGB-1 inhibition using molecules that compete with its receptors, such as TLR4 and RAGE. The discovery of a TLR4 cysteine residue critical for HMGB1 binding may favor selective inhibition of this DAMP [67]. In murine models, mice treated with anti-HMGB1 antibodies at the time of transplantation have less tubulointerstitial infiltration by neutrophils and macrophages and reduced apoptosis of tubular epithelial cells [68]. As an alternative, encouraging results have been obtained with direct HMGB1-targeting, leading to the identification of

Fig. 3. T cells that are activated by an efficient antigen presentation by DCs increase their cytoplasmic calcium concentration through the opening of calcium channels. The increase in cytoplasmic Ca²⁺ activates calcineurin, a phosphatase that dephosphorylates NFAT. This allows the entry of NFAT into the nucleus, in which it activates the transcription of IL-2, a potent pro-proliferative cytokine. The pro-proliferative signal of IL-2 is further mediated by the JAK/STAT pathway and by the PI3-K pathway, with the latter that activates mTOR, an essential kinase that regulates cell growth and proliferation. JAK3 = Janus kinase 3; PDK1 = phosphoinositide-dependent kinase-1; Rheb = Ras homolog enriched in brain; STAT = signal transducer and activator of transcription; TSC1/2 = tuberous sclerosis 1/2.
compounds that directly bind HMGB1, ranging from small natural or synthetic molecules, such as glycyrrhizin and gabexate mesilate, to HMGB1-specific antibodies, peptides, proteins as well as bent DNA-based duplexes [69].

Beyond the inhibition of danger recognition (i.e. the PRRs-DAMPs interplay), T-cell activation by DCs may be altered in order to induce tolerance. This may be accomplished by blocking costimulation, the signal that rescues T cells from apoptosis and leads to clonal expansion. In this field, one of the best studied molecules is CTLA-4, which prevents CD80-CD86 engagement to CD28 due to its higher affinity to the ligand. Several strategies proved to be efficient in preventing kidney transplant rejection in mouse and non-human primates models, from the use of recombinant CTLA4-Ig to the employment of monoclonal antibodies directed against CD40, CD28 and CD86 [70].

Further attempts to prevent innate immunity activation by IRI may be based on the inhibition of caspase-1, inflammasome, complement and NF-κB. In 2006, Gilmore and Herscovitch [71] counted 785 inhibitors of NF-κB including anti-oxidant products, proteasomes and proteasome-inhibitors, I-κB phosphorylation and degradation inhibitors, and other specific ones. Some of these inhibitors have been used in oncology and inflammatory diseases, but compounds designed as specific NF-κB inhibitors are not yet clinically available for kidney transplantation. Other possible targets may be represented by micro-RNAs, a class of small endogenous non-coding RNAs that regulate gene expression through the translational control of mRNAs. They have recently emerged as key regulators of TLR-signalling pathways, and new technologies for the identification and manipulation of mRNA expression in vivo are now available [72]. Of course, the knowledge of innate immunity pathways remains incompletely understood and every new discovery in the field seems to challenge the old beliefs. For example, it has been recently demonstrated that innate sensing of alloge neic non-self can occur without the presence of DAMPs through monocytes-derived DCs [73]. Moreover, it should be highlighted that the clinical scenario is really different from clean facilities and sterile laboratories: humans are exposed to an assortment of pathogens and other environment perturbations that nullify most of the attempts to selectively block a specific signalling pathway. Therefore, the redundancy of the system may justify why the success observed in targeting innate immunity pathways in animal models has not been confirmed in humans yet.

Authors’ Contributions

D.C. and M.A.P. contributed equally to the writing of all parts of the manuscript, C.P. conceived the idea of the manuscript, contributed to the writing of the manuscript and critically reviewed the final draft.

Disclosure Statement

C.P. has been consultant of Novartis, Italy until December 2011. In the last 2 years, he received honoraria for invited lectures from Novartis, Cilag-Janssen, Astellas, University of Calgary (Canada), North Shore Hospital of New York (USA), University of Zurich (CH).

Statement of Ethics

This study did not require informed consent nor review/approval by the appropriate ethics committee.

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Innate Immunity in Kidney Transplantation

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