Effects of Standardized Home Training in Patients with Cognitive Impairment following Geriatric Rehabilitation: A Randomized Controlled Pilot Study

Klaus Hauer^a Phoebe Ullrich^a Ilona Dutzi^a Rainer Beurskens^a Sylvia Kern^b
Jürgen Bauer^a Michael Schwenk^a

^aDepartment of Geriatric Research, AGAPLESION Bethanien Hospital, University of Heidelberg, Heidelberg, and

^bAlzheimer Gesellschaft Baden Württemberg, Stuttgart, Germany

Keywords

Dementia · Cognitive impairment · Home-based exercise · Geriatric rehabilitation · Balance · Strength · Physical activity

Abstract

Background: Post-ward geriatric rehabilitation programs have hardly been developed and validated, which leaves a substantial gap in rehabilitative care in older adults and hinders full exploitation of maintained, but often unrecognized rehabilitation potentials. Geriatric rehabilitation patients with cognitive impairment represent a highly vulnerable population which is often affected by a lack of an ongoing support at the intersection between ward-based and post-ward rehabilitation. **Objective:** To determine the effect of a standardized home-based training program in geriatric patients with cognitive impairment following ward-based rehabilitation. **Methods:** A randomized controlled, single-blinded intervention trial (RCT) with wait list control design was used. Geriatric patients ($n = 34$; age: 81.9 ± 5.7 years) with cognitive impairment (MMSE: 18.8 ± 4.7), identified by predefined in- and exclusion criteria, were consecutively recruited from a geriatric rehab ward. Patients in the interven-

tion group (IG, $n = 17$) performed a 6-week strength and functional home training. The control group (CG, $n = 17$) started an identical training 6 weeks later with an initial usual care period during the intervention for the IG. Functional performance (Short Physical Performance Battery; SPPB), clinically relevant functional deficits (Performance Oriented Assessment; POMA), and physical activity (Assessment of Physical Activity For Older Persons questionnaire; APAFOP) represented primary outcome measurements complemented by additional secondary outcome parameters. **Results:** The IG significantly increased functional performances in SPPB (total score: $p = 0.012$; chair rise: $p = 0.007$, balance: $p = 0.066$), reduced gait and balance deficits in POMA (total score: $p = 0.006$; balance: $p = 0.034$; gait: $p = 0.019$), and increased physical activity (APAFOP; $p = 0.05$) compared to the CG. Effect sizes showed medium to large effects for significant parameters ($\eta^2 = 0.14$ – 0.45). Training benefits and adherence were more pronounced following the immediate onset of post-ward training compared to a delayed start ($\eta^2 = 0.06$ – 0.23). **Conclusion:** Results of this pilot study show that a feasible and easy to handle, home-based reha-

Klaus Hauer and Phoebe Ullrich share first authorship.

bilitation program increased functional performance and physical activity in a vulnerable, multimorbid patient group with cognitive impairment, in particular when the post-ward training onset was not postponed.

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Introduction

Although cognitive impairment (CI) is prevalent in 30–80% of patients admitted to inpatient rehabilitation, only a limited number of rehabilitation programs have been developed and evaluated for this vulnerable patient group. This is surprising since this group shows an increased number of risk factors affecting their health status compared to cognitively intact patients. Those are: higher multimorbidity [1], loss of independence [2], and increased need of care and institutionalization [3]. CI is associated with an increased risk for a negative rehabilitation outcome, a smaller functional recovery during inpatient rehabilitation and a lower functional status at discharge [4]. Further, if transitional care interventions following inpatient rehabilitation are provided, persons with the highest risk (e.g., with CI, comorbidities) are often excluded [5].

Besides their insufficiently exploited rehabilitation potential, previous research indicated that rehabilitation programs are generally suitable to improve functional performance in cognitively impaired persons (cf. [6] for a review). Therefore, effective rehabilitation programs at the transition from geriatric inpatient rehabilitation to the home environments are needed for these patients [5]. Effective post-ward rehabilitation programs may not only increase the ability to perform activities of daily living (ADL; as the most important predictor of societal costs of care of community-dwelling patients) and improve functional performances and mobility, but moreover they may allow proper preservation of autonomy (a most appraised goal of geriatric rehabilitation). Particularly, patients with CI may have limited access to medical services including post-ward rehabilitation (e.g., transport to participate in rehabilitation programs is considered a typical barrier) [7].

Previous findings indicate that home-based programs are feasible in community-dwelling older individuals, which can be considered high-functioning cohorts of older adults compared to geriatric patients with CI [8–13], showing adherence rates of 72–91% in the training groups. However, beneficial effects of the presented programs are inconsistent with some studies indicating per-

formance improvements [8], while others showed decelerated deterioration of physical function and mobility [9] or did not find any training-related effects [11–13]. Further, the respective studies showed methodological inconsistencies, e.g. using questionnaire-based assessment methods to register physical activity and mobility [10–12], did not provide sufficient information on motor performance measures [10] or did not register key motor features (i.e., gait, balance, strength) as primary endpoints [10, 11].

Publications on home-based exercise programs focusing on patients with CI following discharge from a geriatric hospital are scarce. This is surprising since our research group as well as others were able to show that supervised ward-based [14] and post-ward programs [15] are effective in improving strength, motor function, and physical activity in this specific population. However, solutions to increase sustainability of rehabilitation programs will have to be low-cost as rapidly increasing budgets for medical care in older persons already endanger adequate and effective health care. To date, interventions at the transition from geriatric inpatient rehabilitation to the home environments have been evaluated in heterogeneous patient populations [16–20]. Most programs included cognitively intact patients [16, 17], while only few implemented subgroups of cognitively impaired patients [18–20] and found conflicting results. Some studies reported beneficial effects of home-based training on measures of motor performance [16, 18, 20], while others did not [17]. Further, behavioral aspects such as physical activity, which is crucial for the sustainability of rehabilitation programs and a prominent indicator of durable behavioral change, have hardly been investigated in recent studies. Only 2 studies registered physical activity levels using questionnaires in community-dwelling older adults [8, 13], but none found intervention-related improvements in physical activity levels of older adults living at home. Another not yet thoroughly examined topic is the timing of post-ward rehabilitation in patients with CI. Timely outpatient follow-up is a key component of transitional care models that have been successful in reducing readmission rates [21]. Due to legal reconciliation, reconstruction works at the patients' homes to establish a disability-friendly environment or specific transitional measures (i.e., short-term care), the transition from the hospital back into their home environments is often delayed, and rehabilitative actions are postponed. However, research on rehabilitation onset in the group of geriatric patients with CI is lacking, and it remains unknown whether a timely onset of post-ward rehabilitation im-

proves functional outcomes in this vulnerable group. In elderly patients after cardiac surgery, early rehabilitation during inpatient rehabilitation increased gait autonomy at the end of the rehabilitation program (more than 90% of patients submitted to early rehabilitation showed significant increases in walking distance) [22]. Further, early cardiac rehabilitation (i.e., within 28 days of admission) significantly improved functional status (i.e., gait capacity and fitness level) compared to a delayed rehabilitation start (i.e., 29–365 days following admission) in patients with acute coronary syndrome [23]. That is, for every 1-day delay, patients were 1% less likely to improve their motor performance. Similarly, a systematic review by Haykowski et al. [24] yielded evidence that each week that cardiac rehabilitation is delayed required an additional month of training to achieve the same level of benefit.

Therefore, the aim of this study was to develop and validate an easy-to-implement and low-cost post-ward rehabilitation program, which is feasible for frail, multimorbid patients suffering from CI at the transition from geriatric inpatient rehabilitation to their home environments. We hypothesized that the training program is (a) suitable and feasible to be implemented at patients' homes, (b) improves key motor performance (i.e., gait performance, balance performance, and strength), (c) increases physical activity within the observation period, and (d) an early onset of training is better suited to improve training adherence and effectiveness following hospital discharge compared to a later onset of training.

Methods

Study Design

We present a randomized, controlled pilot study with wait list control group design. Patients in the intervention group (IG) took part in a standardized 6-week home training. Patients assigned to the control group (CG) received usual care and were not contacted during the first observational period (period: T1–T2). After the first training period, CG started to exercise according to the wait list control design (period: T2–T3) to document the effect of delayed onset of training. The study conforms to the Helsinki Declaration and was approved by the ethic committee of the Medical Department of the University of Heidelberg.

Participants

Patients admitted to rehabilitation at a geriatric hospital (AGAPLESION Bethanien Hospital, Heidelberg, Germany) between 10/2011 and 7/2012 were consecutively screened for predefined inclusion criteria: (1) Mini-Mental State Examination (MMSE) score <24, (2) age >65 years, (3) ability to stand or walk 5 m without support, (4) no severe somatic or psychiatric disease, (5) no premature termination of rehabilitation period, (6) resi-

dence <35 kilometers to the study center, (7) no simultaneous participation in other studies, (8) written informed consent. A person not involved in study organization randomly assigned patients to the study groups.

Intervention

The home training program was specifically developed for the target sample to be feasible and effective in multimorbid, frail older adults. The main contents of the training program were postural control and strength, each addressed by 3 exercises. The training intervention was derived from an exercise program developed by our research group, which was feasible and effective to increase strength and functional performances in patients with CI [15] and has additionally been used on a website providing interactive motor training and functional assessment for persons with CI (<http://bewegung-bei-demenz.de/>). We did not include specific gait training as we felt that an unsupported gait task might represent a high fall risk as compared to supported balance and transfer tasks.

During the first training session, the program was explained and supervised by a professional trainer experienced in communication strategies for cognitively impaired persons. Dementia-specific strategies included emotional aspects such as reassurance of training progress, positive feedback, and personal care/empathy, as described in patient-centered communication techniques developed for individuals with dementia [25]. Further, simple structures of motor tasks and haptic support during motor actions were provided, and the use of verbal cues instead of complex verbal instructions was fostered by the trainer [26]. To foster participation and to provide assistance, exercises were illustrated on a large poster (60 × 120 cm; Fig. 1) at patients' homes, and a comprehensive manual of key elements for each exercise (including safety instructions, e.g., using a chair or a nearby wall for support during balance tasks) was developed and provided to the participants. Postural balance tasks included standing in progressively challenging positions (side by side stance, semi-tandem stance, tandem stance). Strength exercises targeted basic ADL-related key motor functions, including functional strength (such as ankle lifts, chair rises, and stair rises). Participants were instructed to perform 2 series of 7–10 repetitions for each exercise. Balance positions were maintained for 30 s. Progression of training was facilitated by increasing task difficulty (e.g., narrowing standing positions, reduction of postural stabilization by reducing handgrip) and by increasing the workload (number of repetitions in strength-related tasks, single vs. double stand, reducing arm support). Participants were instructed to exercise at least once per day, but encouraged to exercise as often as possible. Training intensity was adjusted to individuals' performance levels, and participants were instructed to stop exercising or reduce difficulty when feeling exhausted or overtaxed. Subjects were contacted weekly to document training adherence, to increase compliance and to adjust individual training levels/tasks based on a manual delivered discussed at beginning of intervention. Proxies or carers were informed about the program and instructed to support participants. No other care or therapy except for the intervention program was offered to the participants in both groups. During the first observation period (T1–T2), CG did not receive any additional support or contact except for baseline and postinterventive assessment.

2 × 3 = That's the Key

Balance

1

Set your feet close to each other.

Let go of the backrest and stand still for 30 s.

2

Set the tip of one of your feet at the side of the other.

Let go of the backrest and stand still for 30 s.

3

Set one of your feet straight ahead of the other one.

Let go of the backrest and stand still for 30 s.

Strength

1

Set your feet next to each other and keep hold of the backrest.

Stand on tiptoe and back 10 times.

2

Sit down on a chair with your arms crossed.

Stand up as fast as possible and sit down again 7 times.

3

Set one of your feet on the first step and the other in front of the step.

Mount the step and lower yourself 10 times.

Measurements

Standardized measurements were performed before randomization at the end of ward-based rehabilitation (T1), at the end of the 6-week training period (T2, RCT design), and after a 6-week follow-up period (T3; training for the initial CG). Assessors were trained in documenting motor performances in patients with CI [15] and were blinded to randomization.

Clinical characteristics, i.e. functional status (Barthel index), social status (living independently/with spouse, support by proxies/public care service, proxies living in same facility, or 24-h care service), psychological status (Geriatric Depression Scale, GDS) [27], and cognitive status (MMSE) [28] were documented at baseline. Functional performances were assessed by well-established, validated tests: the performance-based Short Physical Performance Battery (SPPB), including subtests of chair rise, balance and gait performance [29] and Tinetti's Performance Oriented Motor Assessment (POMA) [30], including subtest on gait and balance. In addition, results of the SPPB gait test and chair rise test were documented as absolute duration (seconds) not transcribed into to SPPB scoring to assess qualitative performance.

Physical activity was documented by the Assessment of Physical activity for Older Persons (APAFOP), a questionnaire developed and validated for persons with CI [31]. APAFOP captured total physical activity by multiplication of metabolic equivalent levels of activities and times spend in the respective activity over a period of 24 h using specific memory techniques to increase the accuracy of reports. Adherence to the training intervention was documented as number of training sessions within the training period, and training-related adverse events were prospectively documented by standardized weekly telephone calls [32].

Technical measures focused on Sit-to-Stand (STS) performances and were assessed using accelerometer-based body fixed sensors (DynaPort® Hybrid, McRoberts, The Hague, the Netherlands) which have been validated in geriatric patients [33]. For STS, patients performed the chair rise test representing an established functional assessment in older adults [29]. We analyzed parameters with a high impact on successful STS performance; that is total duration and duration of hip extension/flexion as well as maximum angular velocity (during hip extension/flexion) of both the stand-to-sit as well as sit-to-stand movements. Because of technical reasons (i.e., availability of the assessment unit) as well as floor effects within the study group, only a subsample of patients was included in the accelerometer-based assessment.

Statistical Analysis

An a priori power analysis using a repeated measure analysis of variance (ANOVA) design including 2 groups and 2 repeated measurements yielded a total sample size of $n = 28$ ($\alpha = 0.05$; critical $F = 4.23$; power = 0.8). The effect size is based on an RCT comparing 12 weeks of group-based resistance/functional training and low-intensity placebo activity in 122 older adults with CI [17]. Results showed an average improvement of 26% in functional performance (POMA score; effect size: $\eta^2 = 0.22$). Estimating a dropout of ~20%, the sample size was estimated to be 34 participants.

Fig. 1. Exercise poster for exercises used in the study. Displayed are balance exercises (i.e., side by side, semi-tandem, and tandem stance) on the right and strength exercises (i.e., calf raise, sit to stand transfer, and stair rise) on the left side. rep., repeats.

Table 1. Baseline comparison of study groups

Parameter	IG ($n = 17$)	CG ($n = 17$)	p value
Age, years	81.4±6.6	83.3±5.7	0.379
Weight, kg	67.0±13.7	68.5±15.7	0.772
Gender, female	65%	71%	–
Ward rehabilitation, days	22.9±6.5	21.5±8.9	0.615
MMSE score	19.5±4.6	18.2±4.4	0.401
GDS score	4.0±3.4	5.6±2.3	0.390
ADL-Barthel score	71.2±17.7	67.1±17.1	0.496
POMA score	16.4±5.8	17.7±6.2	0.464
SPPB score	4.5±2.7	4.5±2.8	0.999
APAFOP score	26.0±1.2	25.7±1.1	0.342

p values represent comparison between study groups for mean $\pm$ SD at baseline. MMSE, Mini-Mental State Examination; GDS, Geriatric Depression Scale; ADL, activities of daily living; SPPB, Short Physical Performance Battery; POMA, Performance Oriented Motor Assessment; APAFOP, Assessment of Physical Activity in Older Persons.

Exploratory data analysis determined the variability and distribution of outcome variables. Unpaired t test and χ^2 test were used for baseline comparison and comparisons of training/contact frequency. Primary study endpoints were the total scores of SPPB, POMA, and APAFOP. Secondary endpoints were subscores of the SPPB (chair rise, balance, gait) including duration of walking and chair rise during subtests of SPPB, and results of technical STS assessment including movement durations (total, flexion, extension), and maximum angular velocities (flexion/extension). Between-group differences were analyzed by ANOVAs with repeated measures for intervention effects (T1–T2) within the RCT design. To examine the effects of an early versus delayed training onset, ANOVAs with repeated measures for intervention effects (IG: T1–T2; CG: T2–T3) within the wait list design were used. Time $\times$ Group effects were given for between-group analysis of intervention effects. Time effects were given to document time-related effects only. Effect sizes were documented as partial η^2 , a measure sensitive to various kinds of linear and nonlinear relationships. Values ranging from 0.01 to 0.06 indicate low; values from 0.06 to 0.25 medium, and values above 0.25 large effect sizes [34]. All analyses were calculated using Statistical Package for Social Sciences (SPSS) version 23.0 (IBM Corp., New York, NY, USA), and significance levels were set at $\alpha = 5\%$.

Results

Among 561 patients screened for participation, 34 (age range: 69–94 years) matching our predefined inclusion criteria were included in the study. Primary causes for exclusion were cognitive status ($n = 292$), readmission to the hospital ($n = 57$), and institutionalization ($n = 56$). Six

Fig. 2. Flowchart of patient recruitment. A total of 561 patients were screened for inclusion; 527 were excluded according to predefined exclusion criteria; 34 were randomized into the study groups (intervention group [IG]: $n = 17$, control group [CG]: $n = 17$).

patients dropped out after randomization within the first training period (IG: $n = 3$; CG: $n = 3$). Figure 2 shows the recruitment procedure according to the CONSORT statement [35]. IGs did not differ at baseline, indicating a successful randomization (Table 1). Compliance to training was high and no adverse training-related events occurred.

Effects of Home-Based Training

The training intervention significantly increased performance in primary study endpoints for motor performance as well as for physical activity (Table 2). Results of the SPPB indicated overall, significant improvement in key motor performances (increase in SPPB total score by 40%). In subtests specifically addressed in the training program (i.e., chair rise and balance), performance significantly increased in IG compared to CG. In the subtest not specifically addressed during training (i.e., walking), performance improved in both groups with a clinically relevant increase in the IG resulting in significant Time but not Time $\times$ Group effects. SPPB results expressed as absolute time-based performance and not scoring results further confirmed results based on scoring. Similarly, results of the POMA indicated a significant overall reduction of gait and balance deficits (increase in total POMA score by 24%) as well as a reduction in both subperfor-

mances of gait and balance in IG compared to CG. Effect sizes ranging from 0.23 to 0.45 for the significant results of the SPPB and from 0.16 to 0.25 for the POMA indicated medium to large effects of the intervention (Table 2), documenting not only statistically significant but clinically relevant improvements [36]. When training results were combined for both training groups (immediate training in IG and delayed training in CG) to increase sample size and statistical power, the above-documented results were confirmed and ANOVAs additionally indicated significant performance improvements in sub-scores of SPPB (+18%, $p = 0.001$, $\eta^2 = 0.33$ for SPPB – balance; +23%, $p = 0.043$, $\eta^2 = 0.15$ for SPPB – gait).

Sensor-Based Outcome Measures

For a subsample of participants, additional sensor-based assessments were performed during STS (Table 3). Despite the very small number of participants, interventional effects led to significant improvements during stand-to-sit transfers (total duration, duration of extension/flexion, and maximal angular velocity during extension: $p = 0.006$ – 0.044 ; $\eta^2 = 0.35$ – 0.55) and during sit-to-stand transfers (extension duration: $p = 0.018$, $\eta^2 = 0.44$) based on very large effect sizes achieved.

Table 2. Effects of intervention

	IG (<i>n</i> = 14)		CG (<i>n</i> = 14)		Time effects		Time × Group effects	
	T1	T2	T1	T2	<i>p</i> value	ES	<i>p</i> value	ES
<i>Primary outcomes</i>								
SPPB – total, score	5.0±2.7	7.0±2.7	4.4±2.9	4.7±2.4	0.001	0.35	0.010	0.23
POMA – total, score	18.6±7.5	23.0±4.4	18.4±7.0	18.6±5.2	0.003	0.29	0.006	0.25
APAFOP, score	26.2±1.1	27.2±2.0	25.7±1.2	25.7±1.2	0.037	0.16	0.050	0.14
<i>Secondary outcomes</i>								
SPPB – chair rise, score	0.6±0.8	1.5±1.4	0.7±1.3	0.7±1.1	0.007	0.25	0.007	0.25
SPPB – balance, score	2.9±0.9	3.6±0.7	2.6±0.7	2.7±0.7	0.024	0.18	0.066	0.12
SPPB – gait, score	1.4±1.3	1.9±1.3	1.1±1.4	1.3±1.1	0.040	0.15	0.395	0.03
SPPB – gait speed, m/s ^a	0.5±0.2	0.6±0.2	0.4±0.2	0.4±0.2	0.069	0.19	0.153	0.12
SPPB – chair rise, s ^b	20.9±5.7	16.0±4.0	19.1±9.8	24.4±12.0	0.912	<0.01	0.009	0.45
POMA – balance, scores	11.0±3.4	13.3±1.7	11.5±3.4	11.7±1.8	0.012	0.22	0.034	0.16
POMA – gait, scores	7.6±4.5	9.7±2.8	6.9±3.9	6.9±3.9	0.019	0.19	0.019	0.19

Presented is a between-group comparison for effects of intervention for “Time × Group” and “Time” effects. *p* values are given for ANOVAs with repeated measures, effect sizes are given as partial eta². IG, intervention group; CG, control group; POMA, Performance Oriented Motor Assessment; SPPB, Short Physical Performance Battery; APAFOP, Assessment of Physical Activity in Older Persons; ES, effect size. Figures in bold indicate significant *p* values and ES with moderate to large effect (>0.06). ^a IG (*n* = 9), CG (*n* = 9). ^b IG (*n* = 9), CG (*n* = 5).

Table 3. Effects of intervention (sensor-based measures)

	IG (<i>n</i> = 8)		CG (<i>n</i> = 5)		Time effects		Time × Group effects	
	T1	T2	T1	T2	<i>p</i> value	ES	<i>p</i> value	ES
<i>Sit-to-stand</i>								
Duration, s	1.8±0.4	1.4±0.2	2.0±0.6	2.5±0.9	0.706	0.02	0.064	0.30
Flex. duration, s	0.9±0.2	0.8±0.2	1.0±0.4	1.1±0.4	0.745	0.02	0.451	0.06
Ext. duration, s	0.9±0.3	0.6±0.1	0.9±0.2	1.4±0.6	0.334	0.13	0.018	0.44
Max. ang. vel. flex., °/s	86.2±35.9	106.0±54.5	74.0±21.1	60.4±10.0	0.893	<0.01	0.239	0.14
Max. ang. vel. ext., °/s	42.2±22.7	37.7±17.5	46.9±26.5	41.4±15.4	0.364	0.12	0.919	0.001
<i>Stand-to-sit</i>								
Duration, s	2.2±0.5	1.5±0.3	2.0±0.8	2.4±0.7	0.990	0.00	0.014	0.47
Flex. duration, s	1.1±0.3	0.7±0.2	1.0±0.4	1.3±0.3	0.887	<0.01	0.015	0.46
Ext. duration, s	1.0±0.2	0.8±0.2	0.9±0.4	1.1±0.4	0.837	0.01	0.044	0.35
Max. ang. vel. flex., °/s	41.1±13.8	46.5±27.1	36.8±17.7	31.5±12.4	0.998	0.00	0.369	0.08
Max. ang. vel. ext., °/s	75.3±22.6	107.7±50.1	81.8±39.0	52.5±10.3	0.677	0.03	0.006	0.55

Presented are results of STS performance during the chair stand test for ANOVAs with repeated measures for between-group comparison (Time × Group effect) according to the RCT design and Time effects for motor effects of intervention. Effect size is given as partial eta². IG, intervention group; CG, control group; flex., hip flexion phase; ext., hip extension phase; max. ang. vel., maximum angular velocity; ES, effect sizes. Figures in bold indicate significant *p* values and ES with moderate to large effect (>0.06).

Table 4. Immediate versus delayed onset of training

	Immediate (<i>n</i> = 14)		Delayed (<i>n</i> = 13)		Time effects		Time × Group effects	
	T1	T2	T2	T3	<i>p</i> value	ES	<i>p</i> value	ES
<i>Primary outcomes</i>								
SPPB total, score	5.0±2.7	7.0±2.7	4.4±2.1	5.2±2.3	<0.001	0.47	0.068	0.13
POMA total, score	18.6±7.5	23.0±4.4	18.2±5.1	18.6±4.9	0.004	0.29	0.016	0.21
APAFOP, score	26.2±1.1	27.2±2.0	25.6±1.2	26.0±1.3	0.011	0.23	0.258	0.05
<i>Secondary outcomes</i>								
SPPB – chair rise, score	0.6±0.7	1.5±1.3	0.7±1.3	0.9±1.3	0.002	0.33	0.053	0.14
SPPB – balance, score	2.9±0.9	3.6±0.6	2.6±0.7	3.0±0.7	0.002	0.33	0.384	0.03
SPPB – gait, score	1.4±1.3	1.9±1.3	1.1±0.9	1.3±0.9	0.043	0.15	0.440	0.02
SPPB – gait speed, m/s ^a	0.5±0.2	0.6±0.2	0.3±0.2	0.4±0.2	0.037	0.23	0.311	0.06
SPPB – chair rise, s ^b	20.9±5.7	16.0±4.0	25.1±12.1	17.4±6.5	0.001	0.60	0.351	0.07
POMA – balance, score	11.0±3.4	13.3±1.7	11.6±1.9	11.8±2.0	0.004	0.28	0.011	0.23
POMA – gait, score	7.6±4.5	9.7±2.8	6.5±3.7	6.8±3.6	0.023	0.19	0.082	0.12

Presented is a between-group comparison for effects of training onset for “Time × Group” and time-related effects. *p* values are given for analysis of variance, repeated measures, effect sizes are given as partial η^2 . POMA, Performance Oriented Motor Assessment; SPPB, Short Physical Performance Battery; APAFOP, Assessment of Physical Activity in Older Persons; ES, effect sizes. Figures in bold indicate significant *p* values and ES with moderate to large effect (>0.06). ^a Immediate (*n* = 9), delayed (*n* = 10). ^b Immediate (*n* = 9), delayed (*n* = 6).

Immediate versus Delayed Onset of Training

Adherence rates significantly differed between an immediate and delayed onset of training ($p = 0.025$, $\eta^2 = 0.19$). Patients in the immediate group completed 40.9 ± 25.9 training sessions (i.e., average of 0.97 training sessions per day) while patients in the delayed group conducted 20.8 ± 16.5 training sessions on average (i.e., 0.49 training sessions per day on average). Regarding functional performance, significant improvements or at least a trend to improved functional performance in the immediate training group compared to the delayed training group could be observed ($p = 0.011$ – 0.082 ; $\eta^2 = 0.12$ – 0.23 ; Table 4). This finding is supported by medium effect sizes found in 7 out of 11 parameters ($\eta^2 = 0.06$ – 0.23) that were present despite the rather small sample size. Further, each registered parameter showed a significant effect of Time ($p = 0.001$ – 0.043 , $\eta^2 = 0.15$ – 0.60), indicating that both training groups increased motor performance and physical activity following the home-based training.

Discussion

This study investigated the effects of a home-based post-ward training program on functional performance and physical activity in patients with CI. Our main results

can be summarized as follows: (1) the program was feasible to be implemented in cognitively-impaired patients following hospital discharge, (2) the training intervention significantly improved key motor features and increased physical activity levels, and (3) training-related performance improvements benefit from an early onset of training after hospital discharge.

Feasibility and Safety of the Home-Based Training

Our results are in line with previous studies including home-based exercise in patients with Alzheimer disease [8] and with studies investigating supervised ward-based and post-ward [15] interventions in patients with CI. Findings indicate that a home-based training program is feasible and well-accepted in this vulnerable target population. Patients in our study conducted 0.97 training sessions per day on average and thus met the prospected training goal. Even those who trained less during the training period reached at least 60% of the intended training sessions while others conducted up to 300% (range: 26–129 session per day). In the present study, a motivational approach (i.e., assessors and supervisors used a dementia-specific communication approach) was used [15], which is based on established care models such as validation, personal assistance by home visits and weekly phone calls, easy to understand, visualized training instructions on a poster, individually tailored exercises al-

lowing proper progression of intensity, and the introduction of safety rules. Particularly, the weekly phone contact may have played a major role in adherence as it represented an ongoing and reliable personal contact with multiple options to interact and to strengthen adherence and personal commitment.

Results indicate that a high training adherence can be achieved despite relevant cognitive and advanced functional impairment. No training-induced adverse events occurred (i.e., no falls or injuries during exercises), indicating that even challenging exercises, as included in the presented program (e.g., balance tasks, STS training, stair rise), did not lead to an increased risk in patients with a high risk for falls. Thus, we consider the home-based training program safe and feasible to be implemented at patients' homes following ward-based rehabilitation.

Effects of Home-Based Training on Motor Performance

Our home-based intervention significantly increased key motor performances as indicated by results of the SPPB and decreased deficits in gait and balance as indicated by results of POMA. Training effects seem specific as performances specifically improved in exercises trained in our home-based program (i.e., balance, STS) compared to those on which the training did not specifically focus on (i.e., walking). These findings comply with the well-documented principle of training specificity [37]. The documented training effects are relevant for ADL competence as they were achieved in key motor performances such as postural control or transfer tasks, representing major competences in everyday life. In addition, deficits in such key motor performances (especially STS transfers) represent high-impact risk factors for falls in a multimorbid population with CI with a particular risk for falls [38].

The program led to high training effects as indicated by large effect sizes in this comparatively small study sample. Findings are in line with studies on supervised group-based physical exercise in cognitively impaired persons [15, 39]. The high impact of our home-based training using simple and low-cost interventions provides evidence that the potential of patients included in ward-based rehabilitation may currently not be fully exploited. In addition, study results confirm that training in persons with low basic performance levels is highly rewarding when an individually tailored program with adequate progression of training intensity and difficulty is applied [8, 20].

Training effects were assessed by different assessment strategies but almost all indicated improvements in func-

tional performance. We used well-established clinical assessment methods (POMA, SPPB) [29, 30], developed for persons with motor impairment and validated in subsamples of persons with CI, as well as advanced methods based on body-fixed sensors [33]. The latter allow reliable insight into relevant functional performances not ascertainable by established clinical measures. In our study, body-fixed sensors were used to evaluate their potential to document training effects on STS performances with high sensitivity to change as a major issue in intervention studies. Using technically driven measures, we were able to detect significant effects in a number of parameters, although we only used a small sample with advanced functional deficits. The extraordinary large effect sizes found for the sensor-based assessments during STS ($\eta^2 = 0.35\text{--}0.55$) highlight their potential to sensitively document interventional effects, providing detailed insight into biomechanics of the STS transfer (i.e., flexion/extension ranges, angular velocities), a motor performance measure with high relevance for future specification and optimization of training interventions. As shown in Table 4, duration of a major phase of the transfer task (movement of trunk to create a sufficient momentum for upper-forward motions) as well as related ankle velocities were positively affected by the training intervention. Further, such sensor-based biomechanical measures have been shown to be of greater clinical relevance compared to the manually recorded STS measures in older adults (i.e., instrumented STS times were associated with participants' health status, while manually recorded STS times were not) [40].

Effects of Home-Based Training on Physical Activity

Previous research on home-based training in patients with CI lacks objective measures of physical performance and focused on functional decline instead [8]. However, it is crucial to increase the sustainability of performance benefits when formal rehabilitation programs end. The key to such efforts is behavioral modifications to increase physical activity, leading to higher sustainability of training effects as achieved during ward-based rehabilitation. In our study, we documented physical activity to evaluate the effect of the intervention in a highly sedentary study sample, severely affected by motor and cognitive impairments often associated with specific symptoms (i.e., apathy, spatiotemporal disorientation or risk of falling limiting outdoor activities, or decreased adherence to interventional programs) [38]. In contrast to previous studies [8, 13], we were able to show that physical activity can be increased in the vulnerable population of CI patients us-

ing home-based exercise programs, as documented by a comprehensive questionnaire developed and successfully validated in patients with CI [31].

Effects of Immediate versus Delayed Home-Based Training

The design of this pilot study allowed the investigation of the effect of a delayed onset of the post-ward training, a factor which, to the best of our knowledge, has not been investigated with regard to post-ward rehabilitation programs in patients with CI yet. The transition from the hospital to the home environment is often delayed. Reasons are manifold and range from reconstruction works at the patients' homes to install disability-friendly environments to short-term care in nursing homes. A recent systematic review on post-ward adherence to rehabilitation programs further indicated that an early onset of care (i.e., early medical consultations and appointments with medical staff as well as timely self-monitoring of activity following hospital discharge) improved uptake of and adherence to rehabilitation programs in cardiac patients [41] and yielded more pronounced training benefits compared to a delayed onset of training [23, 24]. In line with these findings, results of our study showed that adherence rates significantly decreased when post-ward training is delayed by 6 weeks. Patients in the delayed training group only conducted half of the sessions compared to the group with immediate onset. This deficit in participation was also mirrored in the functional performances of patients. Although the sample size in our study was rather small and both groups increased performance following training, effect sizes indicate that the majority of functional outcomes further improved following an immediate onset of training. That is, performance improvements in SPPB and POMA were more pronounced following an immediate start of the training. Thus, post-ward rehabilitation programs should be implemented as soon as possible following hospital discharge to fully exploit the rehabilitation potentials of patients with CI.

Limitations

The major limitation of the current study is its small sample size, reducing the statistical power to identify significant effects. However, significant improvements and trends as achieved in this study, associated with the large effect sizes found, indicate a high effectiveness of the training program in this vulnerable, multimorbid, and cognitively impaired patient group. Further, based on the study design (wait list control design), no follow-up data were available for the training groups. Thus, retention of training results could not be obtained from our data set.

Conclusions

Results of this pilot study document high effectiveness of a post-ward, home-based training program specifically tailored for patients with CI. Thus, the implementation of home-based interventions involving training of key motor performances seems feasible and valuable in this vulnerable patient group, particularly when implemented shortly after hospital discharge. Further, innovative, sensor-based assessment tools are suitable to extend established clinical measurements, allow new insights into the biomechanics of key motor performances and may be used to further specify and optimize training interventions in cognitively impaired patients.

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Statement of Ethics

The study conforms to the latest version of the Helsinki Declaration and was approved by the Ethics Committee of the Medical Department at the University of Heidelberg.

Disclosure Statement

The authors declare that there is no conflict of interest associated with this work.

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Author Contributions

K.H.: study concept, design, and management, supervision of data collection, statistical design and analysis, interpretation of data, and preparation of manuscript. P.U.: acquisition of participants, study management, data analysis, interpretation of data, and preparation of manuscript. R.B.: preparation of manuscript, data analysis. All authors contributed to interpretation of data, drafting the article, and final approval of the version to be published.

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