Clinical Trials during the SARS-CoV-2 Pandemic

David G. Warnock

Professor of Medicine (Emeritus), University of Alabama at Birmingham, Birmingham, AL, USA

In this issue of *Nephron*, Perico, Benigni, and Remuzzi offer a concise overview of the interactions of the SARS-CoV-2 virus, the angiotensin-converting enzyme (ACE) pathway(s), and the cell surface glycoprotein ACE2, and describe the mechanism of cellular SARS-CoV-2 uptake via the endocytosis-lysosomal pathway [1].

There is growing interest in using 4-aminoquinolines to prevent and/or treat COVID-19, which is the clinical syndrome associated with the SARS-CoV-2 virus. This class of drugs has an ancient history starting with the recorded use of quinine in 1640 and in cutaneous lupus in 1894, and development of a large number of congeners (including chloroquinoline and hydroxyquinoline) for malarial prophylaxis during World War II [2]. The use of 4-aminochloroquinolines for prophylaxis of malaria does not appear to involve the endosomal-lysosomal pathway, while the lipophilicity and $pK > 7.4$ are central to the antiviral and antibacterial effects of the 4-aminochloroquinolines [2].

The lay press is filled with reports of antidotal treatment approaches meant to decrease the severity of COVID-19, many of which include 4-aminochloroquinolines in an uncontrolled fashion. Clinicaltrials.gov lists >100 entries for SARS-CoV-2 (<https://clinicaltrials.gov/ct2/results?cond=SARS-CoV+Infection&draw=2&rank=17#rowId16>), but most trials are not yet recruiting (Table 1).

The University of Minnesota has organized a randomized placebo-controlled clinical trial (RCT) within a remarkably rapid timeframe, with initial posting at ClinicalTrials.gov on March 16, 2020, and active recruitment beginning on March 17, 2020 [3]. This is a single-center RCT with nation-wide recruitment. The primary outcome measures of this trial include the incidence of active COVID-19-related disease at 14 days post-enrollment, and a COVID-19 Disease Severity Scale self-reported by participants at 14 days post-enrollment: no COVID-19-related disease (score of 1); COVID-19-related disease with no hospitalization (score of 2); or COVID-19-related disease with hospitalization or death (score of 3). The goal is to enroll and randomize 1,500 subjects into each of the active-drug and placebo arms, followed by a 6-day treatment course with hydroxychloroquine. Secondary outcome measures include 14-day incidence of hospitalization, 14-day incidence of confirmed SARS-CoV-2 infection, the number of participants in each arm who discontinue or withdraw from the protocol, and 90-day incidence of death related to COVID-19-related disease. The subjects included in this RCT will be healthcare workers or household contact who have been exposed to a COVID-19-related disease case within 3 days but have not yet developed symptoms (e.g., fever, cough, or shortness of breath).

Table 1. Abbreviated summary of clinical trials evaluating the effects of hydroxychloroquine and other agents on COVID-19 (updated March 26, 2020)

Country	Trial registry	Design	Setting, severity	Active arm(s)	PLC arm	Outcomes	Reference
Canada (Nova Scotia Health Authority)	NCT #: 04321993	Nonrandom, parallel assignment; open label	Hospitalized patients (1,000 subjects)	Lopinavir/ritonavir OHChlor Baricitinib Sarilumab		Clinical status scale while hospitalized, and at 15, 29, and 180 days	Goodall et al. NCT #: 04321993 (not yet recruiting)
China	NCT #: 04252885	Open label, random	Hospital, mild to moderate COVID-19	Lopinavir/ritonavir (21) Arbidol (16)	7	No sig diff: SARS-CoV-2 clearance, antipyretic, clinical status	Li et al. doi: https://doi.org/10.1101/2020.03.19.20038984
France	EU clin trials; 2020-000890-25	Open label, randomized, nonrandom	Hospital, non-ICU	OHChlor (20)	16	Reduced viral load, patients transferred to ICU excluded from treatment-arm analysis	Gautret et al. https://doi.org/10.1016/j.ijantimicag.2020.105949
Italy (Bergamo)		Observational case-control study	Hospital, before and after ICU admission with ARDS	Sarilumab		Reduced need for mechanical ventilation, ICU days, 30-day mortality	Retrospective case-matched controls for both treatment arms (anti-IL6 mAb)
US (Columbia University)	NCT #: 04318444	Randomized, double blind	Household contact of confirmed cases	OHChlor (800)	800	14 days: symptomatic COVID-19, or virus-positive COVID-19	NCT #: 04318444 (not yet recruiting)
US (University of Minnesota)	NCT #: 04308668	Randomized, double blind	Asymptomatic: healthcare workers, or COVID-19-positive household member	OHChlor (800)	800	14 days: SARS-CoV-2 positive, COVID-19 severity scale, 90-day mortality	NCT #: 04308668 (active nationwide recruitment)
US (NIAID)	NCT #: 04280705	Randomized, double-blind, adaptive trial design	Hospitalized with COVID-19 symptoms and positive SARS-CoV-2 viral test	Remdesivir (200)	200	15 days: COVID-19 severity scale	NCT #: 04280705; multiple sites, actively recruiting

ARDS, acute respiratory distress syndrome; COVID-19, disease related to SARS-CoV-2 infection; ICU, intensive care unit; mAb, monoclonal antibody; OHChlor, hydroxychloroquine; PLC, placebo; random, randomized significant; SARS-CoV-2, current Corona virus; sig diff, significant difference.

The trial includes a chartered Data Safety Monitoring Board with defined stopping rules for clinical futility or statistically significant improvement in the primary outcome measures comparing the active-drug group to the placebo group (personal communication, March 22, 2020: covid19faq COVID-1-Post-Exposure Prophylaxis FAQ Account). If there is demonstrable, significant clinical benefit of hydroxychloroquine, then the active drug could be offered to subjects who were originally randomized to the placebo group in an open-label extension study. Interim analysis at 14 days of the primary outcome measure is certainly feasible once the 3,000 subjects are enrolled and treated. Those results can then guide subsequent inquiries, which would then include 5-day treat-

ment as an active comparator arm, rather than a placebo arm. For example, a 6-day prophylaxis period may not be sufficient for a healthcare worker who continues to be exposed to SARS-CoV-2.

It is important for the lay public to understand that any well-designed clinical trial may well pose as many new hypotheses to be tested as were tested in the original trial design. Furthermore, the stage will be set for trials with novel retroviral therapies, or soluble ACE2 acting as a decoy receptor to reduce the cellular uptake of SARS-CoV-2 [4]. Careful and robust trial designs, exemplified by the University of Minnesota effort [3], are essential, even in the midst of an overwhelming pandemic.

Disclosure Statement

Dr. Warnock has not relevant conflict of interests.

Funding Sources

Support from the UAB/UCSD O'Brien Center (DK079337) is acknowledged.

References

- 1 Perico L, Benigni A, Remuzzi G. Should Covid-19 concern nephrologists? Why and to what extent? The emerging impasse of angiotensin blockade. *Nephron*. 2020. <https://doi.org/10.1159/000507305>.
- 2 Ben-Zvi I, Kivity S, Langevitz P, Shoenfeld Y. Hydroxychloroquine: from malaria to autoimmunity. *Clin Rev Allergy Immunol*. 2012; 42(2):145–53.
- 3 Boulware D. Post-exposure prophylaxis for sars-coronavirus-2 (nct04308668): Clinical-Trials.gov 2020, 2020, 4 Batlle D, Wysocki J, Satchell K: soluble angiotensin-converting enzyme 2: a potential approach for coronavirus infection therapy? *Clin Sci*. 2020;134:543–5.
- 4 Batlle D, Wysocki J, Satchell K. Soluble angiotensin-converting enzyme 2: a potential approach for coronavirus infection therapy?. *Clinical Science*. 2020;134(5):543–5.