

# The Gut-Brain Axis

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The concept of the gut-brain axis (GBA) has existed for more than 3 decades [1]. Gastrointestinal motor and sensory components send messages to the central nervous system (CNS), and the return response to the intestine is the definition of the GBA [2]. Nutrition affects microbiota colonization and gut metabolites, which can influence brain development and function through neural, immunological, and endocrine pathways [3]. The brain is the central component of the GBA and includes connections between the cerebral cortex, the limbic system, the hypothalamic-pituitary axis, and the brain system. The limbic system receives input from other brain regions including the hippocampus, which is responsible for a range of behaviors [4]. The peripheral components of the GBA communicate with the CNS through the enteric, autonomic, and sympathetic nervous systems [5, 6]. The enteric nervous system, which resides within the intestinal wall, communicates with the brain via the vagus nerve, dorsal root, and nodose ganglia [5]. The hypothalamic-pituitary axis, the autonomic nervous system, and the sympathetic nervous system are integrated peripheral components of the GBA [7]. The afferent vagus nerve is a major retrograde signaling system from the gut to the brain [8]. The efferent vagus nerve-based cholinergic anti-inflammatory pathway regulates the balance between

tumor necrosis factor- $\alpha$  and other cytokines secreted by macrophages in response to stress signals in the gut [9]. This inflammation can result in the loss of intestinal epithelial barrier function, which allows bacterial invasion. Bacterial invasion leads to an increase in intestinal permeability and activation of immune and somatic cells through pathogen-associated molecular patterns including lipopolysaccharides (LPS, endotoxin), which are recognition receptors that trigger inflammation in the gut [6]. Signals sent through the systemic and intestinal immune system via the GBA cause alterations in brain function and disease. During a state of stress, hormone and neuropeptide secretion in the gut ultimately invokes cortisol release from the adrenal gland via signals through the hypothalamus. The GBA influences intestinal immune cells via norepinephrine and neuropeptide messengers, such as vasoactive intestinal peptide, and these modulate the function of dendritic cells and T cells located throughout the wall of the intestine and in secondary lymphoid tissues, such as Peyer's patches.

Regarding the gut microbiome, the ability of the immune system to modulate brain development has been recognized [3], and researchers have proposed a critical window for intestinal microbes to influence developmental programming of long-lasting brain function. Interest-

ingly, the gut microbiota influences blood-brain barrier (BBB) permeability, and studies have suggested that gut microbiota-BBB communication is initiated during gestation [10] and propagated throughout life [11]. The BBB is critical for proper neuronal function and protects the brain from pathogens. Therefore, dysbiosis leads to a high risk for development of neuropsychiatric disorders, such as white matter injury in preterm infants, ischemic stroke, multiple sclerosis (MS), Alzheimer's disease (AD), and Parkinson's disease (PD) [12].

Several studies involving germ-free animals or animals treated with broad-spectrum antibiotics show that the microbiota can impact CNS physiology and neurochemistry [13]. Germ-free mice that are devoid of associated microflora exhibit neurological deficits in learning, memory, recognition, and emotional behaviors [14, 15]. Indeed, although based on animal studies or correlation analysis of patient populations, the intestinal flora is implicated in various types of stress, such as anxiety, depression, and irritable bowel syndrome. The intestinal microbiota influences brain chemistry such as neurotransmitters and behavior independently of the autonomic nervous system, gastrointestinal-specific neurotransmitters, or inflammation. The GBA may contribute to psychiatric disorders in patients with bowel disorders [16].

Increasing evidence suggests that dysbiosis is associated with metabolic diseases, such as hypertension and type 2 diabetes. Both diseases are also risk factors for developing stroke. We also reported a significant association between ischemic stroke and both bacteria count and organic acid concentration that were associated with the levels of metabolic and inflammatory biomarkers [17]. Thus, the findings suggest that gut dysbiosis in patients with ischemic stroke is associated with host metabolism and inflammation. Microbial metabolites have been well documented as activators of immune cells.

As mentioned above, the permeable BBB could serve as a gateway for signal transmission, suggesting a role for immune cells, such as macrophages, CD8<sup>+</sup> T cells, regulatory T cells, and other CD4<sup>+</sup> T helper (Th) cell subsets, in the CNS. Resident immune cells are actively involved in innate and/or adaptive immune responses [18–20]. The GBA is involved in promoting different subsets of CD4<sup>+</sup> T cells through antigen stimulation and activation of immune signaling pathways. Several microbiomes promote development of Th1 cells through the polysaccharide A-dependent pathway and regulatory T-cell differentiation [21, 22]. In addition, experimental autoimmune encephalomyelitis, a model used for the pathological study of MS, has many similar

pathological conditions as MS, including CD4<sup>+</sup> Th cells that play an important role. Although Th1 cells play a pathogenic role in MS, Th2 cells exhibit protective function [23]. Thus, the GBA could be involved in the immune system in the CNS.

Moreover, special emphasis has been placed on the GBA not only in neuroautoimmune disorders but also in ischemic stroke. The GBA is implicated in ischemic brain injury after stroke via the regulation of intestinal T cells [24, 25]. In addition, stroke causes specific changes in gut microbiota [25, 26]. Stroke itself also promotes the translocation and dissemination of bacteria from the host gut microbiota as a mechanism leading to poststroke infection [27]. Recently, gram-negative bacteria-derived LPS has been implicated in the neuropathology of human diseased brains. LPS is a potent inflammatory stimulus for the innate immune response via toll-like receptor 4 activation. Indeed, a higher plasma LPS level is associated with worse short-term outcomes in patients with acute ischemic stroke [28]. Kurita et al. [29] reported that oral administration of a nonabsorbable antibiotic modulates the gut microbiota and improves stroke outcomes in murine models of type 2 diabetes. These findings suggest that targeting metabolic endotoxemia may be a novel potential therapeutic strategy to improve stroke outcomes.

PD and AD are chronic and irreversible neurodegenerative diseases and can be said to be typical diseases of movement disorders and dementia, respectively. The pathogenesis of AD is associated with a peripheral infectious origin that can cause neuroinflammation in the CNS [30, 31]. Interestingly, A $\beta$  precursor protein (APP)-mutant germ-free mice have decreased cerebral A $\beta$  amyloid pathology compared with mutant APP mice in the control condition. Anti-A $\beta$  effects were blocked by reconstruction of the microbiota of these germ-free APP mice with microbiota from conventional mice [32]. Moreover, long-term and broad-spectrum antibiotic treatment also reduces A $\beta$  deposition and improves the phenotype of mice [33].

Recent studies have implicated peripheral influences in the onset and progression of disease in the brain in PD [34]. Thus, PD should be considered a systemic disorder. In addition, evidence from a study of a PD model in which mice overexpress  $\alpha$ -synuclein, a major component of Lewy bodies, suggests a role for the GBA in the pathogenesis of the disease [35].  $\alpha$ -Synuclein transgenic mice housed in a germ-free environment or treated with antibiotics show suppressed deterioration of PD pathology compared with mice housed in regular conditions, simi-

lar to APP mice [36]. Moreover, the symptom-free state in these germ-free mice was preserved with either colonization via feces from conventional mice or oral administration of bacterial metabolites. These findings indicate that the GBA may be a new therapeutic target for treating not only AD but also PD.

This special issue consists of 5 review articles. We focus on several CNS disorders, such as mood disorders, stroke, AD, and PD. We hope that this special issue will stimulate and encourage neurologists and psychiatrists to understand the relationship between the GBA and CNS disorders.

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