How to Bring Surgery to the Next Level: Interpretable Skills Assessment in Robotic-Assisted Surgery

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Abstract

Introduction: A surgeon's technical skills are an important factor in delivering optimal patient care. Most existing methods to estimate technical skills remain subjective and resource intensive. Robotic-assisted surgery (RAS) provides a unique opportunity to develop objective metrics using key elements of intraoperative surgeon behavior which can be captured unobtrusively, such as instrument positions and button presses. Recent studies have shown that objective metrics based on these data (referred to as objective performance indicators [OPIs]) correlate to select clinical outcomes during robotic-assisted radical prostatectomy. However, the current OPIs remain difficult to interpret directly and, therefore, to use within structured feedback to improve surgical efficiencies. **Methods:** We analyzed kinematic and event data from da Vinci surgical systems (Intuitive Surgical, Inc., Sunnyvale, CA, USA) to calculate values that can summarize the use of robotic instruments, referred to as OPIs. These indicators were mapped to broader technical skill categories of established training protocols. A data-driven approach was then applied to further sub-select OPIs that distinguish skill for each technical skill category within each training task. This subset of OPIs was used to build a set of logistic regression classifiers that predict the probability of expertise in that skill to identify targeted improvement and practice. The final, proposed feedback using OPIs was based on the coefficients of

the logistic regression model to highlight specific actions that can be taken to improve. **Results:** We determine that for the majority of skills, only a small subset of OPIs (2–10) are required to achieve the highest model accuracies (80–95%) for estimating technical skills within clinical-like tasks on a porcine model. The majority of the skill models have similar accuracy as models predicting overall expertise for a task (80–98%). Skill models can divide a prediction into interpretable categories for simpler, targeted feedback. **Conclusion:** We define and validate a methodology to create interpretable metrics for key technical skills during clinical-like tasks when performing RAS. Using this framework for evaluating technical skills, we believe that surgical trainees can better understand both what can be improved and how to improve.

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Introduction

There is increasing evidence that surgeon technical skills influence postoperative patient outcomes [1–7]. Methods to estimate technical skills and provide feedback throughout surgeons' learning curves can lead to more efficient training [1, 8–10]. In turn, this could lead to improved patient outcomes. However, the challenge lies not only in developing the proper methods to measure technical skills accurately, but also in delivering feedback that is understandable and, thereby, actionable to improve performance.

Expert-evaluated methods can provide great insight into a subset of surgeries but scale poorly due to the time-

Fig. 1. An overview of the workflow to provide data-driven, structured feedback to surgical trainees.

consuming process of human rating [11]. In-person expert evaluation remains subjective in nature and can result in varying feedback from different experts. Furthermore, evaluation of surgeon performance from only a small subset of cases can be confounded by case-to-case fluctuations of patient disease factors, the composition of the OR team [12], or days off between cases [13] (to name just a few). For these reasons, expert-evaluated methods may have limited insight into which intraoperative factors influence postoperative outcomes. Surrogate measures of surgical skill and performance, such as surgeon experience or level of training, while correlated to patient outcomes [5, 7] and case-by-case evaluations of skill [3], do not provide the necessary information for deliberate practice and improvement.

In comparison, computer-aided methods of analyzing surgical movements and tool use could scale well across many surgeries since these techniques are more amenable to automation. Moreover, computer-aided analysis of kinematic and event data shows promise in providing objective evaluation of surgical skill both during training exercises [14–18] and during surgery [19, 20], even achieving correlation to postoperative patient outcomes [1, 3, 4]. Prior evaluations of surgical skill have focused on producing a categorical label, such as expert or novice, or reproducing a subjective score, like GEARS, over entire procedures or tasks. While computer-aided methods still require manual annotation of procedural steps or tasks, recent advances to recognize clinically relevant surgical activities using machine learning [21–26] suggest that annotation of tasks within surgeries and consequent analyses of kinematics could be entirely computer automated [27]. Even though computer-aided methods have the potential to significantly reduce the time needed to evaluate surgical performance, the metrics produced by this assessment can be difficult to interpret directly unless appropriately designed.

In this study, we propose a new framework (Fig. 1) to evaluate technical skills learned during training activities on a porcine model through interpretable metrics. We set

out to explore the feasibility of breaking tasks into technical skills, while still capturing objective information that distinguishes expertise. We hypothesized that models distinguishing expertise built on a subset of objective performance indicators (OPIs; grouped by technical skill) would perform as well as models built on all OPIs and that such models can provide more interpretable feedback to trainees.

Methodology

Participants

Each participant performed clinical-like tasks that were designed to practice technical skills in using the robotic platform in a porcine model described in Figure 2A. Participants were split into 3 categories of users of the da Vinci surgical system: (1) *trainee*, (2) *expert surgeon*, and (3) *training specialist* (Fig. 2B). Trainees were surgeons that do not have robotic surgery experience but have varying years of non-robotic experience across several specialties (Fig. 2C). Expert surgeons performed >1,000 da Vinci robotic procedures. Training specialists were non-surgeon, expert users that are experienced in the assessed training exercises with ~300–600 h of practice on or use of robotic platforms. Training specialists specialize in basic robotic technical skills targeted in these introductory exercises. They train hundreds of new robotic surgeons in this introductory course.

Data Collection

We recorded synchronized video, kinematic (instrument positions and joint angles), and event (button presses, etc.) data from the da Vinci surgical systems. Each participant contributed a single recording. The start and stop times of each task were labeled to associate the kinematic and event data to the correct tasks. There were 7–9 tasks from expert surgeons and training specialists and 93–122 from the trainee group (Fig. 2B).

Objective Performance Indicators

OPIs were computed to summarize the complex time series data into meaningful information. We calculated 43 OPIs (see online suppl. Table for a complete list; for all online suppl. material, see www.karger.com/doi/10.1159/000512437) that estimate technical efficiencies when using the robotic platform (for examples, see Fig. 2D). We developed OPIs with interpretability in mind, and those too difficult to explain were not included. Similar metrics have been explored to characterize technical skills in inanimate

Fig. 2. Data, participants, and methodology. **A** Table of each task in the study, along with a description and skills practiced from the training protocol. **B** Number of recordings for each clinical-like training task grouped by experience level. The number of recordings containing each task per group is labeled on each bar. **C** Trainee backgrounds in this study described as years of non-robotic experience in practice and divided by specialty. **D** Two example OPIs calculated for this study. Economy of motion represents total distance traveled along a linear path. Wrist articulation is measured through tracking 3 joint angle movements. A full list and descriptions can be found in the online supplementary material.

models, virtual reality, and surgical settings (please see [28, 29] for reviews). For preliminary analysis of OPIs among participants, we compared training specialists and expert surgeons through cluster analysis, Wilcoxon rank sum test for significance, and visualization of task duration distributions. For cluster analysis, all OPIs with non-zero variation are first converted to a z-score, followed by calculating hierarchical clusters based on Euclidean distances.

Technical Skills Mapping

To provide targeted feedback, we defined a set of broader technical skill categories that can be evaluated separately within the same tasks (Fig. 2A, “Skills Practiced”) based on established training protocols. Each OPI is mapped into a technical skill category prior to the data-driven selection based on whether or not the instrument or event is used in each category during the task. For example, while camera control activation may be important in overall expertise, it is not directly related to the trainee’s skill in using energy.

Experimental Design

To estimate technical skills, we compared OPIs of trainee surgeons to an experienced group (expert surgeons and training specialists). For each task, we used these groups to classify technical skill based on OPI values. In order to evaluate our hypothesis, we created 2 sets of models and evaluated their performances: (1) task

perience in practice and divided by specialty. **D** Two example OPIs calculated for this study. Economy of motion represents total distance traveled along a linear path. Wrist articulation is measured through tracking 3 joint angle movements. A full list and descriptions can be found in the online supplementary material.

models that include all 43 OPIs and (2) models specific to each skill evaluated in a task using OPIs from the technical skills mapping. Given the large number of trainee participants (Fig. 2B), we held back 5 randomly selected trainees per task from this process to demonstrate the model prediction to feedback process, leaving 88–117 for feature selection. Both model sets were used to predict the probability of expertise for 5 trainee recordings that were held back from model building.

Cross-Validation and Data Resampling

We used a nested 5-fold cross-validation approach described in Figure 3A to obtain a more robust measure of classifier accuracy. First, the data is split pseudo-randomly into training and test sets (80/20%). By using a stratified sampling approach, we maintain the original class distributions. The training data per fold is then used to perform the inner 5-fold cross-validation of recursive feature elimination (RFE), requiring an additional train-test split. This approach is done for 2 sets of models: (1) an overall task model using all 43 OPIs and (2) a skill-specific model using mapped OPIs. We combined upsampling and downsampling approaches to balance sample sizes for each training fold of inner and outer cross-validation loops. We upsampled the *experienced* group by 3-fold using the Synthetic Minority Over-Sampling Technique (SMOTE) [30]. The trainee data was downsampled in 2-steps. First, we used the Neighborhood Cleaning Rule [30, 31] to reduce

Fig. 3. A The nested cross-validation method for feature selection and model validation described in the Methodology. **B** A dot plot of economy of motion values for all 4 instruments in the Uterine Horn task before (left) and after (right) the resampling approach described in the Methodology. Experienced group contains both expert surgeons and non-surgeon training specialists.

noisy data of the trainee group by removing points far from other trainee samples. We then applied random undersampling of remaining samples to balance the groups to an approximate 50/50 ratio [30]. When the class sizes are small, these combination methods tend to perform better than their random counterparts [32]. An example of OPI data before and after this process is shown in Figure 3B. This is done for both cross-validation loops to avoid performing feature selection only on synthetic samples.

OPI Selection

Within each training task and skill combination, RFE with logistic regression as a base estimator was used to determine the features (OPIs) to build a model. To avoid including OPIs with spurious relationships to expertise, we used 2 methods to rank OPIs: (1) the Wilcoxon rank sum test using a Bonferroni-adjusted p value cutoff at $p < 0.05$ [33] and (2) RFE with logistic regression as a base-estimator [34]. The OPIs selected in each method are combined. Often, these ensemble methods for feature selection are used to provide better feature sets [35].

Wilcoxon rank sum tests were not performed on resampled data in the inner cross-validation loop alongside RFE to avoid biasing p values. RFE selects features by recursively considering smaller and smaller sets of features until a minimum number is reached, in this case 1, ranking each OPI by importance [34, 36]. At each RFE step, the model is evaluated on the test data, which is not resampled, to determine the minimal and optimal number of OPIs. The final feature set is the union of OPIs selected by RFE and Wilcoxon.

Skill Classification Model

After OPI selection, the training data is used to build a logistic regression classifier to predict experience level. The model is then tested on the test data, which was not subject to resampling. This is repeated for the other 4 folds to obtain a cross-validated estimate of each model's performance. We measured performance using 2 metrics: a balanced accuracy score (average of recall between both groups) to account for the large differences in sample sizes [37] and Matthews correlation coefficient (MCC). MCC is a balanced quality measure of classification, ranging from -1 (poor prediction) to 1 (perfect prediction) [38, 39]. The coefficients of each logistic regression model are converted to odds ratios to determine how the

model uses OPIs to classify surgeon skill. Based on the directionality and magnitude of odds ratio values, feedback can target improvement among the reported OPIs.

Results

Analysis of Participant Groups

We analyzed OPIs of training specialists and expert surgeons to determine if there were significant differences in these groups of highly skilled users. For each task, we found no significantly different OPIs ($p < 0.05$) after multiple testing corrections. Hierarchical clustering of the training specialists' and experienced surgeons' data shows that the 2 groups do not form distinct groups based on their OPIs (Fig. 4A). A commonly used metric for distinguishing surgical skill is task completion time, which has a similar distribution across tasks for training specialists and experienced surgeons but that does differ from the trainee group (Fig. 4B). Expert surgeon and non-surgeon training specialists were combined into a single, high-skilled group deemed as the experienced group to classify technical skills.

Data-Driven OPI Selection

Each skill-task combination of mapped OPIs started with 4–20 OPIs and RFE reduced it to 1–18 OPIs. RFE for task models displayed high balanced accuracies (cross-validated [CV] score; Fig. 4C) that typically plateau early. Wilcoxon testing produced overlapping feature sets and added 0–11 OPIs to the final models.

Classification Using Broad Technical Skill Models

The balanced accuracy and MCC of each task-specific model is reported in Figure 5A, along with the same met-

Fig. 4. Analysis of OPIs across experience levels and feature selection. **A** Dendrograms of hierarchical clustering results for expert surgeons (blue) and non-surgeon training specialists (pink) along the y-axis. Heatmaps display z-score normalized values for OPIs and task durations with non-zero variance along the x-axis. **B** Distributions of task durations split by experience level. **C** Cross-validated (CV) scores (balanced accuracy, y-axis) of varying numbers of OPIs per skill (x-axis) using RFE.

Fig. 5. Task- and skill-based model performance. **A** Table of average cross-validation performance metrics, balanced accuracy, and Matthews correlation coefficient (MCC) for each of the skill-task and overall task logistic regression models. **B** Example of predicted probabilities for 5 trainees by overall task models and 2 skill-mapped models for Rectal Artery/Vein and Running Suture. **C** Odds ratio for Rectal Artery/Vein task model and skill-mapped models for energy and camera use.

rics for each skill-based model per task. Each task model accuracy ranged from 80.24 to 98.27% and MCCs from 0.52 to 0.78. Skill model accuracies ranged from 76.32 to 95.44% and MCCs from 0.45 to 0.87. The skill models produce performance metrics mostly no lower than 10% of each task model's balanced accuracy (14/18), with no one task standing out. Thus, splitting each scoring model into skills maintains similar accuracy to more commonly used scoring models that would consider all OPIs together. While the MCC was well above 0 across models, they were less consistent by the same criteria (8/18).

Interpreting Predictions and Providing Feedback

For 5 trainee predictions (Fig. 5B, C), the Rectal Artery/Vein task shows low probabilities, scoring correctly as beginners, with the highest for Trainee 4. For running suture, there is 1 trainee with a high probability of expertise. Two corresponding skill models for each task present a wider range of probabilities that are more consistent with task scores, like Trainee 2 or 5 for running suture, or differ, like Trainee 3 or 5 for Rectal Artery/Vein (Fig. 5B). The overall Rectal Artery/Vein task model includes only 1 OPI for energy and camera use (Fig. 5C, Rectal Artery/Vein). The inclusion of task duration may have improved skill models overall, as this is a commonly used metric for classifying surgical skill, but not specific to a single technical skill. Skill models included frequency of energy use and median camera speeds, while task models did not. Overall task feedback could include primarily improving the task completion time, increasing the frequency of camera control, and practicing increasing the speed of the dominant arm. Energy skill feedback would include practicing reducing unnecessary energy activation (reduce total events), while applying energy more frequently in shorter time periods (increase frequency). Camera skill feedback would include not only increasing the frequency of adjusting the camera to improve the field of view, but also doing so at faster speeds, which may relate to inefficiencies in camera adjustments.

Discussion

Early evaluation of robotic skill can be broken down into specialty-agnostic skill categories, with experienced non-surgeon experts displaying similar technical proficiencies as expert surgeons in a structured training setting. This evaluation is built on the assumption that experienced surgeons and non-surgeon, expert users are highly skilled in these activities, while new robotic-assisted surgeons are not. While this may often be true, it can lead to noisy data, as some new surgeons may become adept at certain technical skills quickly. Perhaps, a better model would be based on evaluation of performance by

expert surgeons, but even that has its caveats, such as challenges with inter-rater reliability [40–42].

The probabilities computed from the proposed models can be presented as a score either raw, ranked, or re-weighted to give the trainee a sense for where they can improve. Skill models may give more insight into where each trainee needs to focus, rather than a single score that may be driven by different OPIs. Feedback from an overall task model would generally indicate task-specific expertise, recommending practice in tasks like Rectal Artery/Vein, which may not be useful to all specialties. From a task model alone, we might suggest a trainee increase the rate of camera control activation but, without the other camera OPIs, we miss out on recommendations on how to control the camera to improve. We propose that broad skill categories give interpretable guidance by first breaking each task into technical skills that are common across specialties, but this has yet to be validated. Instead of informing the surgeon of their poor performance of Rectal Artery/Vein, they are told where their inefficiencies lie in camera, energy, dissection, and arm retraction skills. Examining further the feedback for each skill, the model can be used to suggest improvement with more specific context, such as inanimate training or virtual reality simulation that specifically target these smaller, technical proficiencies.

Additionally, the scores and feedback can be paired with videos of a trainee's task performance alongside a video of a peer or experienced surgeon for comparison(s). This allows a trainee to review their own performance, taking care to reflect on specific skills within the video and how the other surgeons perform the same task. Our framework does not encompass all technical skills, such as force sensitivity [43], or aspects of clinical judgment [44], which surgeons seem to be able to derive from video review.

The objective evaluation of surgical technical skill using computer-aided methods is an area of growing interest in the broader surgical education community to address challenges with subjective rating scales [43, 45]. Our work explores a quantitative method for providing more interpretable guidance for surgical trainees early in their learning curves by utilizing a logistic regression classifier built on skill-mapped OPIs. This presents a potential advantage over earlier work because we may be able to integrate better into the surgeon training pathways by targeting broader technical skills within clinical-like tasks (as opposed to dry-lab activities [45]) and specific deliberate practice recommendations. The utility and advantage of the work in surgeon training remains to be evaluated in future studies; however, we believe our framework can be applied to clinical settings to enable continued feedback throughout a surgeon's learning curve.

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Statement of Ethics

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. All applicable international, national, and/or institutional guidelines for the care and use of animals were followed. Informed consent was obtained from all individual participants included in the study.

Conflict of Interest Statement

Kristen C. Brown, Kiran D. Bhattacharyya, Aneeq Zia, Sue Kulason, and Anthony Jarc are employees of Intuitive Surgical, Inc.

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There were no funding sources.

Author Contributions

K.C.B. contributed to the design, analysis, and interpretation of data; drafted and revised the work; approved the final version; and agrees to be accountable for all aspects of the work. K.D.B. contributed to the design, analysis, and interpretation of data; drafted and revised the work; approved the final version; and agrees to be accountable for all aspects of the work. A.Z. contributed to the design and interpretation of data; drafted and revised the work; approved the final version; and agrees to be accountable for all aspects of the work. S.K. contributed to the design and analysis of data; drafted the work; approved the final version; and agrees to be accountable for all aspects of the work. A.J. contributed to the conception, design, acquisition, and interpretation of data; drafted and revised the work; approved the final version; and agrees to be accountable for all aspects of the work.

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