Sacral Nerve Stimulation for Faecal Incontinence

Stephen H. Pillinger  Angela Gardiner  Graeme S. Duthie

University of Hull, Academic Surgical Unit, Castle Hill Hospital, Cottingham, UK

Key Words
Incontinence • Sacral nerve stimulation

Abstract
Faecal incontinence is a common problem. Conservative measures are effective in a significant proportion of patients. Failure of conservative management has until recently meant recourse to surgical intervention. Surgical treatment is often associated with disappointing results. Recently, sacral nerve stimulation (SNS) has been developed as a minimally invasive, effective technique for idiopathic and acquired faecal incontinence. The technique uses chronic low-level electrical stimulation of the sacral nerves, or neuromodulation, to produce a clinically beneficial effect on the distal colon and rectum, the pelvic floor and the anal sphincter complex. SNS is a 2-stage procedure: a diagnostic stage – temporary percutaneous nerve evaluation (PNE), and a therapeutic stage – permanent SNS. The predictive value of PNE is high, and the surgical trauma and morbidity of both procedures extremely low. The technique has been adapted from its original application in urinary dysfunction. It is almost impossible to produce level 1 evidence for this type of intervention; however, the results are superior to other interventions. Patient selection criteria are evolving, but there is a growing body of evidence that supports its use as first-line treatment for faecal incontinence in patients where conservative measures have failed.

Introduction
Faecal continence is maintained through complex coordinated activity between the anal sphincters and the rectum. Reflex and voluntary activity of the internal and external sphincters, the puborectalis sling, rectal compliance and sensitivity all make important contributions to continence. Interference with any of these mechanisms can result in incontinence, which in the UK affects 1.4% of the population over 40 years [1].

Conservative management of faecal incontinence is effective in greater than 50% of patients. The algorithm of management includes dietary advice, antidiarrhoeal and constipating medication, biofeedback therapy [2, 3], and more recently, rectal irrigation [4]. Until recently, surgical intervention was the next step for those patients with persistent severe incontinence.

Surgical treatment for incontinence focuses on the sphincters. With an external sphincter defect, usually due to an obstetric injury, overlap repair can produce reasonable results [5]. Up to 80% of patients report good symptom control in the short term [6, 7]. However, control deteriorates over time with only 50% having improved continence at 5 years, with no patient maintaining full continence [8].

Other surgical options are dynamic graciloplasty, artificial bowel sphincter implants and the antegrade continent enema. These are major operations with high morbidity and failure rates [9, 10]. The final option is a permanent stoma.
A functional deficit without a definable sphincter defect represents a significant therapeutic dilemma. Surgical correction in this situation has again led to a transient improvement in around 50% of patients [11]. These disappointing results are not surprising as it is an attempt to apply a mechanical solution to a dynamic problem. The finding that direct electrical stimulation of the peripheral nerve supply of the striated pelvic floor, urinary and anal sphincter muscles improves their function led to the use of sacral nerve stimulation (SNS) in faecal incontinence [12].

Evolution of the Procedure

Direct neural stimulation to produce a clinical effect was first hypothesized as long ago as 1906 [13]. Experimental work using SNS for functional disturbances of micturition began in the 1950s, with stimulation of the spinal cord, the detrusor muscle and the striated sphincter used to try and provoke controlled micturition [14–17]. The first clinical application of these principles was an SNS implant performed in 1981 by Tanagho and Schmidt [18]. In 1990, Brindley [19] reported his technique for anterior sacral nerve root stimulation in patients with urinary dysfunction secondary to chronic spinal injury. With these insensate patients, short-lived high-voltage stimulation could be employed to produce tetanic contraction of bladder and sphincter. Once the stimulus was removed, the ‘fast twitch’ sphincter muscle relaxed quickly and the ‘slow twitch’ detrusor relaxed slowly, thereby creating a pressure differential to facilitate micturition. Bowel function was also affected in these patients, with the induction of peristaltic, frequency-dependant, high-pressure colorectal contractions.

The Brindley technique causes pain in patients with an intact spinal cord. Therefore, chronic stimulation at low amplitude, or neuromodulation, was employed for urological dysfunction in these patients. This evolved to the current indication: SNS to control voiding difficulties and urinary incontinence when the urinary tract seems to be structurally intact, but functionally deficient [20, 21].

A small case series in 1995 reported the results of SNS in 3 patients [12] with faecal incontinence. Two recovered complete continence and the third had some soiling. The authors attributed the results to an increase in anal canal closing pressure, and possibly to a ‘permanent training effect’ on the external sphincter with transformation of fast twitch, fatiguable muscle fibres to slow twitch, fatigue-resistant fibres. However, it has since been shown that patients implanted with a permanent SNS have only a modest improvement in external anal sphincter function [22]. Secondly, the delay from spinal nerve stimulation to pelvic floor response is 10 times greater than would be expected through a direct efferent pathway [23], suggesting a more complex mode of action.

Methods

Patient Selection

The aetiology of incontinence in the larger series includes obstetric injury, idiopathic scleroderma, incontinence persisting after repair of complete external rectal prolapse, spinal trauma and following anal surgery. All patients, regardless of the aetiology of their incontinence, are thoroughly assessed prior to SNS. In the UK, the NHS National Institute for Clinical Excellence guidelines suggest the technique is performed under the auspices of a research trial and only in centres with facilities for full anorectal investigation. The patients have failed medical therapy and other non-invasive treatments such as biofeedback, and have an intact sphincter mechanism. A previous sphincter repair is not a contraindication.

Patients are assessed clinically, then complete a bowel diary for a 2- or 3-week period and a Short Form 36 Health Survey quality of life questionnaire [24]. The Cleveland Clinic Incontinence Score questionnaire [25] has been completed by the latest group of patients. Endoanal ultrasound and anorectal physiology testing are then performed on each patient. This assessment includes anal manometry, rectal capacity and sensitivity testing with balloon distension, and pudendal nerve terminal motor latency measurement. The bowel diary is kept during the temporary percutaneous nerve evaluation (PNE) period, and some centres repeat the physiology testing during this period.

Patient selection for permanent implantation is based on patient satisfaction and the degree of improvement in continence as determined from the bowel diaries and questionnaires. A permanent implant would not usually be considered without a 50% or greater improvement in the number of faecal incontinence episodes or the number of days affected by incontinence.

Percutaneous Nerve Evaluation

SNS is a two-stage procedure: PNE – the ‘trial-run’ diagnostic stage, and the permanent implant – the therapeutic stage. The technique for implantation of both temporary and permanent SNS devices has been described previously [26], and it is not surprising that it is fairly standardized. Modifications have been made by different centres, particularly with the equipment. Early in the UK experience [27], test stimulation employed a percutaneous wire electrode (Medtronic 004; Medtronic Interstim, Minneapolis, Minn., USA) that attached to a portable stimulator (Medtronic 3625). This smooth wire was easily dislodged. This problem was addressed by implanting a fixed electrode (Medtronic 3080) connected to the external stimulator using an extension cable. This extension cable was then removed prior to connecting the implanted pulse generator (Medtronic 3023) to the fixed electrode. This system with percutaneous connection to the fixed electrode had potential for infection, and indeed, a number of implants were removed due to infection (the precise number is unreported). A helical wire electrode (Medtronic
inserted into the S2, S3 and S4 foramina bilaterally. A portable stimulator (Medtronic 3625) is used in sequence to elicit the best response to the lowest stimulus. The best response is a ‘bellows’-type contraction of the perineum accompanied by plantar flexion of the ipsilateral great toe. Once the best foramen is identified, the temporary electrode is passed through the needle, the needle removed and the electrode fixed to the skin.

PNE can be performed under local or general anaesthesia. Our own preference is to perform the procedure under general anaesthesia without paralysis, as there is then no interference with assessing the response to stimulus; it is more comfortable for the patient and the test can be carried out meticulously. Most centres use a single dose of prophylactic intravenous antibiotic. Anterior-posterior and lateral pelvic X-rays are taken the following day, prior to stimulation. The test period is 1–3 weeks and is assessed as outlined above. The temporary electrode is removed at the end of the test period, in our case, by our clinical physiologist in the physiology laboratory. There is a certain elegance in the procedure, as there is the unique advantage of being able to test each patient with temporary PNE prior to a permanent implant. The patient is also aware of the ‘level of success’ he/she can expect.

Permanent Implantation

The patient is positioned as for the PNE procedure. Antibiotic prophylaxis is used again, and all equipment to be implanted is soaked in gentamicin. The nerve root of best response is again identified. This is almost always the root used for the PNE. The majority of permanent implants have been performed using an open technique, where the sacral foramina are identified through a small midline incision and the stimulation electrode is secured to the periosteum. However, the authors favour a percutaneous Seldinger-type technique, utilizing a tyned lead (Medtronic 3093). The position of the tyned lead is checked with an image intensifier before the tynes are deployed. A hard-copy film of the final position is taken in theatre. A small incision is still required in the ipsilateral buttock to position the permanent impulse generator (Medtronic 3023). An extension cable (Medtronic 3010) is tunneled subcutaneously to connect the tyned lead and the impulse generator.

The next day, external telemetry is used to switch on the impulse generator. The stimulation parameters are readily modified with telemetry and are set with the patient fully awake and responsive. The parameters are usually the same as the PNE levels. In our unit, the frequency is set at 14 Hz and the pulse width is 210 ms. Amplitude is the only real variable in most centres, and we follow the convention of setting it to the level of initial patient sensation.

Results

The most striking aspect of the results reported is that all patients who benefit from temporary stimulation appear to have a predictable benefit from a permanent implant. The availability of a relatively simple screening procedure with 100% positive predictive value has staggering implications for the treatment of patients. Given the low morbidity of PNE, it is almost the perfect screening tool.

There is a failure rate in PNE of 12–22% [27, 29], and those patients who have not responded to a permanent implant are those who had no response to screening [27, 30]. Failure to respond may be due to a number of factors such as lead displacement, genuine absence of response or neurological injury. SNS is not effective in patients with a high complete spinal cord transaction [31]. Indeed, neurological integrity would seem to be a pre-requisite as the technique has not been used successfully in patients with complete pudendal nerve lesions [28, 31]. A prolonged pudendal nerve terminal motor latency does not appear to preclude a symptomatic improvement.

A recent review of SNS for the treatment of faecal incontinence [32] summarized the excellent clinical results of SNS to this point. All series [12, 27, 29, 31, 33–37] have reported a reduction in episodes of faecal incontinence to a median of 6% or less, with the larger series reporting rates less than 2%. There are no long-term results as yet; however, the benefits appear to be maintained in the medium term [37], with the longest follow-up being 7 years [27]. Improvements in quality of life scores appear to reflect the improvement in continence scores [27, 37].

It is difficult with this type of intervention to produce level 1 prospective randomized controlled trial data, as blinding the patient and the reviewer is all but impossible. There has been one small but elegant study performed to try and control for placebo effect [38]. Although only involving 2 patients, this was a double-blind crossover study. Both patients had been implanted with a permanent SNS for 9 months. The trial period consisted of two 2-week periods, with the stimulator turned on for 2 weeks and off for 2 weeks. The patients’ continence was maintained with stimulation below the sensory threshold; therefore, the patients could not tell if the stimulator was on or off. This trial indicated that the clinical benefit from the SNS was unlikely to be due to a placebo effect.

Complications

PNE has a morbidity rate of less than 1%, ideal for a screening test. The complication rate in permanent implantation is difficult to assess, as the complications reported from earlier series, such as wound dehiscence, pain over the extension leads and infection associated
with a percutaneous extension during PNE, are no longer relevant due to changes in technique. There are 3 implants that have been removed due to infection and 2 due to chronic pain [29, 35]. There have been 4 lead displacements requiring repositioning [37, 29, 36]. We have had 1 displacement using the percutaneous technique with the tunneled lead. Lead displacement rates certainly appear no greater with the percutaneous technique [27].

**Discussion**

SNS is a relatively straightforward procedure. Electrodes are placed against the nerve roots of the sacral plexus to alter the physiological function of the lower urinary tract, distal colorectum and pelvic floor. The precise mechanism of action remains unknown; however, it is likely that the electrodes cause ‘neuromodulation’ of multiple nerves within the sacral plexus, including the voluntary somatic, afferent sensory and efferent autonomic motor nerves. The significance of the effect on each type of nerve and whether there is a central effect or not is also uncertain. By utilizing the existing anatomy and stimulating physiological function, it may well be that we at last have a treatment that provides a dynamic solution to a dynamic problem.

Therefore, it is possible to make general recommendations for SNS, but not possible to list hard and fast indications and contraindications. Patients with an intact sphincter and rectum, an intact sacral nerve plexus with an elicitable pudendal nerve terminal motor latency and no spinal cord lesion would appear to have a favourable prognosis. The absence of any of the above factors is associated with a poorer prognosis. However, the technique continues to evolve. In our unit, we now have 17 patients with sphincter defects who have had permanent implants. The success rate in these patients is 88% (unpublished data). At the behest of our Pain Service, we have also implanted several patients with chronic pelvic pain with encouraging results. Good results with small numbers of patients have been reported in 2 studies [39, 40] on the use of SNS in constipation.

SNS represents a considerable advance in the treatment of severe persistent faecal incontinence that is unresponsive to conservative treatment. Whilst there are no randomized controlled trials directly comparing SNS with other treatments in the literature, the data supporting its use are becoming more compelling. With durable success rates of up to 80%, it would appear superior to any other treatment for this debilitating and demoralizing condition. It may well become the treatment of choice in patients with faecal incontinence that have failed conservative management. Inevitably, as our understanding of the mechanism of action improves, the indications for SNS will broaden.

**References**


