

# ApoE Polymorphisms and the Risk of Different Subtypes of Stroke in the Chinese Population: A Comprehensive Meta-Analysis

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## Key Words

ApoE · Ischemic stroke · Intracerebral hemorrhage · Subarachnoid hemorrhage · Meta-analysis

## Abstract

**Background and Purpose:** Numerous studies have evaluated the association between apolipoprotein E (ApoE) gene polymorphisms and the risk of different subtypes of stroke. However, the results remain uncertain, and few sources of data specific to the Chinese ethnic population contribute to these outstanding questions. Therefore, we performed a meta-analysis to derive a more comprehensive estimate of the association between ApoE polymorphisms and stroke risk in the Chinese population. **Methods:** Case-control studies in Chinese and English publications were identified by searching the PubMed, EMBASE, Web of Science, China Nation Knowledge Infrastructure Platform, Wanfang, and VIP databases and by hand-searching relevant journals and the reference lists of the retrieved articles. ORs and 95% CIs were applied to assess the strength of the associations. Subgroup and sensitivity analyses were performed to explore between-study heterogeneity. **Results:** Evidence of a significant association was found between the ApoE  $\epsilon 4$  al-

lele and different subtypes of stroke (for ischemic stroke (IS): OR 2.19, 95% CI 1.90–2.52,  $p < 0.001$ ; for intracerebral hemorrhage (ICH): OR 2.08, 95% CI 1.57–2.75,  $p < 0.001$ ; and for subarachnoid hemorrhage (SAH): OR 2.03, 95% CI 1.28–3.23,  $p = 0.003$ ) among the Chinese population. In addition, a significant difference in the risk for different subtypes of stroke between  $\epsilon 4$  carriers and  $\epsilon 3\epsilon 3$  genotype carriers was found (for IS: OR 2.41, 95% CI 2.00–2.89,  $p < 0.001$ ; for ICH: OR 2.41, 95% CI 1.68–3.47,  $p < 0.001$ ; and for SAH: OR 2.04, 95% CI 1.21–3.45,  $p = 0.008$ ). **Conclusion:** The ApoE  $\epsilon 4$  allele may predict an increased risk for different subtypes of stroke, including IS, ICH and SAH, in the Chinese population, and the results of this genotypic analysis may help to identify populations at an increased risk for stroke. Further studies with larger sample sizes are needed to confirm our findings.

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## Introduction

Stroke remains one of the most devastating of all neurological diseases and is a common cause of death and gross physical impairment or disability worldwide [1, 2].

Stroke is generally regarded as a multifactorial disorder associated with genetic and environmental factors [3–6] that can be classified into 3 main pathological types: ischemic stroke (IS), intracerebral hemorrhage (ICH), and subarachnoid hemorrhage (SAH). Many candidate genes related to both IS and hemorrhagic stroke have been extensively investigated [7, 8].

Mounting evidence suggests that apolipoprotein E (ApoE) is a candidate gene that is associated with stroke [9]. The ApoE gene, located on chromosome 19q13.2, is polymorphic, consisting of 3 common alleles,  $\epsilon 2$ ,  $\epsilon 3$ , and  $\epsilon 4$ , and is able to generate 6 different genotypes ( $\epsilon 2/2$ ,  $\epsilon 2/3$ ,  $\epsilon 2/4$ ,  $\epsilon 3/3$ ,  $\epsilon 3/4$ , and  $\epsilon 4/4$ ). ApoE is a polymorphic glycoprotein involved in cholesterol transport and injury repair in the brain [10, 11]. Many studies assessing the effect of the ApoE genotype on plasma lipids have indicated that the presence of the  $\epsilon 4$  allele is associated with elevated total cholesterol levels but that the presence of the  $\epsilon 2$  allele is associated with decreased levels of cholesterol [12]; thus, the ApoE genotype affects the progression of atherosclerosis, which is the main pathology underlying the ischemia-related cerebrovascular disease [11, 13]. Although the exact mechanism responsible for the association between ApoE polymorphisms and ICH risk remains unclear, it appears that the  $\epsilon 4$  allele enhances amyloid deposition in blood vessels [14]. Thus, one might expect  $\epsilon 4$  carriers to exhibit an increased susceptibility for ICH, especially in a lobar location. Furthermore, the ApoE  $\epsilon 4$  allele was associated with an increased risk of developing hypertension [15], which may be another reason for the association of the  $\epsilon 4$  allele with an increased ICH risk.

In 2006, a meta-analysis [9] reported that carriers of the ApoE  $\epsilon 4$  allele exhibited a significantly increased risk of IS and that the presence of the  $\epsilon 2$  allele was associated with an increased ICH risk. Another meta-analysis published in 2014 by Zhang et al. [16] reported that carriers of the  $\epsilon 4$  allele exhibited an increased risk of ICH but that  $\epsilon 2$  allele carriers showed no significant additional risk of ICH. These 2 meta-analyses had inconsistent results, and few sources of data specific to the Chinese ethnic population contribute to these outstanding questions. Indeed, differences in characteristics such as ethnicity, stroke subtype and sources of controls (SOC) between studies have led to discrepancies in estimates of the effects of specific ApoE polymorphisms on stroke risk. Therefore, we conducted a comprehensive meta-analysis to quantify the overall genetic effects of certain ApoE polymorphisms on the risk of different subtypes of stroke in the Chinese population.

## Materials and Methods

### Literature Search

This meta-analysis followed the preferred reporting items for systematic reviews and meta-analyses guidelines [17]. We searched related studies from the electronic records of the PubMed, EMBASE, Web of Science, China Nation Knowledge Infrastructure Platform, Wanfang, and VIP databases published through June 2015. The initial keywords for our search were ‘apolipoprotein E’ OR ‘ApoE’ AND ‘polymorphism’ OR ‘variant’ OR ‘mutation’ OR ‘genotype’ AND ‘stroke’ OR ‘ischemic stroke’ OR ‘cerebral infarction’ OR ‘cerebral hemorrhage’ OR ‘intracerebral hemorrhage’ OR ‘hemorrhagic stroke’ OR ‘SAH’ OR ‘subarachnoid hemorrhage’ OR ‘cerebrovascular disease’ OR ‘cerebrovascular disorder’ OR ‘cerebral ischemia’. In addition, the reference lists of the articles identified by the online searches were checked manually to identify additional studies. The literature searches were limited to humans, and only studies published in English or Chinese were included.

### Inclusion Criteria

Studies were selected according to the following inclusion criteria: (1) studies of the association between ApoE gene polymorphisms and cerebrovascular disease in Chinese populations; (2) studies in which diagnosis of cerebrovascular disease was confirmed based on the results of neurological examination and neuroimaging (MRI or CT) according to domestic or international criteria; (3) case-control studies using a population-based or hospital-based design with sufficient original data for estimating an OR along with 95% CI; (4) studies reported as full-text articles; and (5) for duplicate publications, the more credible or recent study or the study with the larger sample size.

### Data Extraction

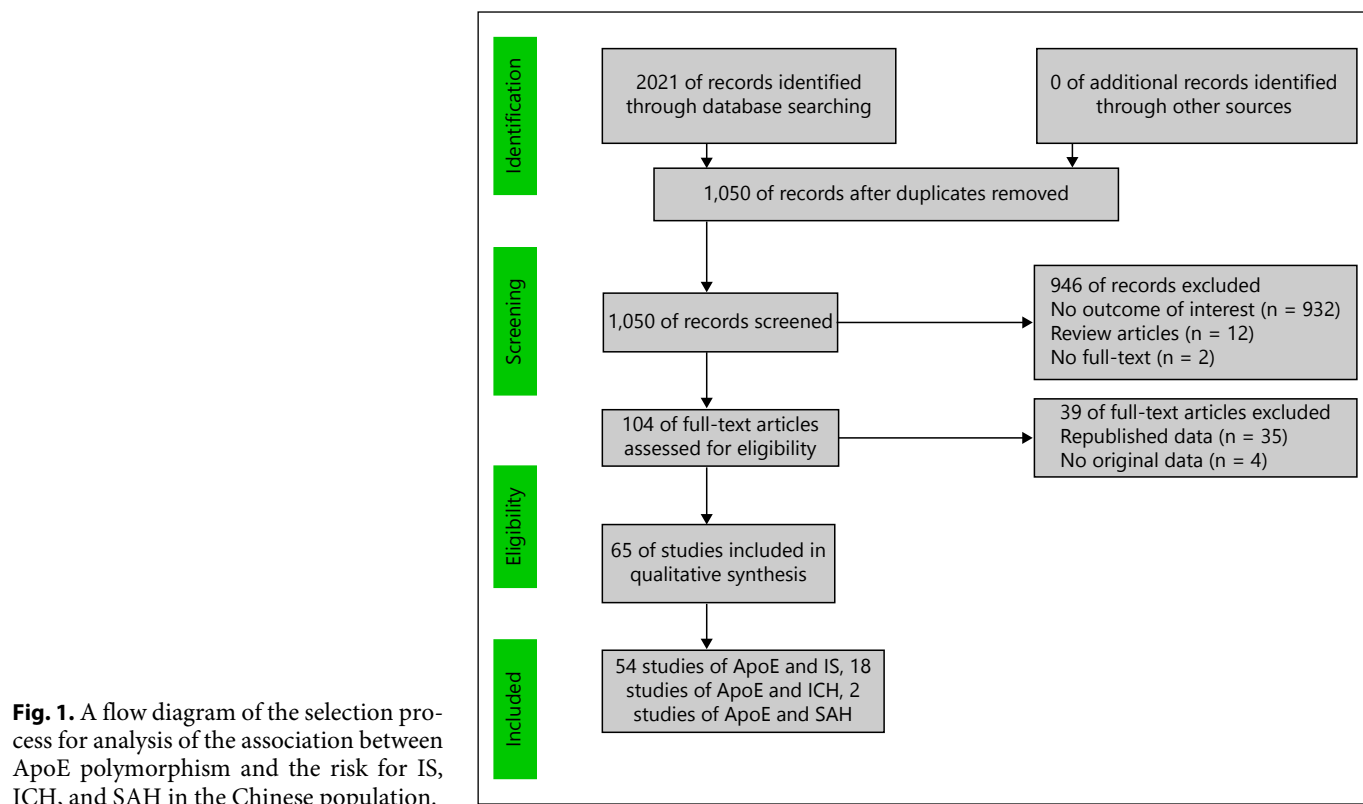
Two investigators independently extracted information from all eligible studies based on the inclusion criteria listed earlier. A consensus was arrived at after discussion of conflicting data or after consultation with a third investigator. From each study, the following information was abstracted: first author, publication year, geographical location of the study population, genotyping method, the number of cases and controls, SOC, the distribution of ApoE genotypes and alleles in both the case and control groups, and the results for Hardy-Weinberg equilibrium (HWE) in the control group using the  $\chi^2$  test (a p value <0.05 was considered statistically significant), and the Newcastle Ottawa Scale (NOS) score.

### Quality Score Assessment

The quality of each included study was independently assessed by the same 2 investigators using the NOS (supp1). The NOS scores ranged from 0 (worst) to 9 (best) based on the factors of selection, comparability, and either exposure (case-control studies) or outcome (cohort studies).

### Statistical Analysis

The pooled ORs with 95% CIs were used to measure the strengths of the associations of ApoE gene polymorphisms with different subtypes of stroke. Heterogeneity between studies was analyzed using Cochran’s Q test and the  $I^2$  statistic. Pooled ORs were calculated using a fixed-effects model (considering a Q test



**Fig. 1.** A flow diagram of the selection process for analysis of the association between ApoE polymorphism and the risk for IS, ICH, and SAH in the Chinese population.

p value ( $P_Q$ )  $>0.10$  and an  $I^2$  statistic  $<50\%$ ) or a random-effects model (REM, considering a  $P_Q <0.10$  and an  $I^2$  statistic  $>50\%$ ) due to the absence or presence of heterogeneity between the studies, respectively. For IS, subgroup analyses were conducted according to HWE status (yes or no), SOC (population-based or non-population-based) and IS subtype (arteriosclerotic cerebral infarction (ACI) or lacunar infarction (LI)). For ICH, subgroup analyses were conducted according to the HWE status (yes or no) and SOC (population-based or non-population-based). Non-population-based controls included hospital-based and mixed controls. As the ApoE  $\epsilon 3/3$  genotype, with a frequency of approximately 67%, is the most common genotype in the population, it is well accepted as the 'wild-type' genotype [18]. Therefore, individuals carrying the  $\epsilon 3/3$  genotype or the  $\epsilon 3$  allele were designated as the reference group in our study. For separate analyses,  $\epsilon 2$  carriers included patients harboring the  $\epsilon 2/2$  or  $\epsilon 2/3$  genotype, and  $\epsilon 4$  carriers included patients harboring the  $\epsilon 3/4$  or  $\epsilon 4/4$  genotype. Thus,  $\epsilon 2$  and  $\epsilon 4$  genotype carriers were independently compared with  $\epsilon 3/3$  genotype carriers. Moreover, the  $\epsilon 2$  and  $\epsilon 4$  allele carriers were independently compared with the  $\epsilon 3$  allele carriers. Sensitivity analyses were performed by limiting the meta-analysis to studies of high-quality (NOS score  $>7$ ), studies including population-based controls, studies with results meeting the HWE criteria or studies using polymerase chain reaction (PCR)-based genotyping methods. Potential publication bias was assessed using the Begg's funnel plot and Egger's regression test (significance threshold set at  $p < 0.05$ ). All meta-analyses were conducted using STATA 12.0.

## Results

### *ApoE and IS in the Chinese Population* Study Characteristics

A total of 54 studies [19–72] containing 6,190 cases and 6,248 controls from China were included in the final meta-analysis of the association of ApoE polymorphisms with IS risk. The selection process is represented in figure 1. The included studies and their main characteristics are presented in table 1. The participants in these studies were recruited from 24 provinces of China, including Anhui, Beijing, Guangdong, Guangxi, Hainan, Hebei, Heilongjiang, Henan, Hubei, Hunan, Hong Kong, Inner Mongolia, Jiangsu, Jilin, Liaoning, Shandong, Shanxi, Shanghai, Sichuan, Taiwan, Tianjin, Xinjiang, Yunnan and Zhejiang. Of the 54 included studies, 52 applied PCR-based methods to detect the ApoE gene polymorphism [19–30, 32–59, 61–72], 48 studies included population-based controls [19–23, 26–41, 43–46, 48–63, 65–71], and 17 studies contained results that did not follow HWE [19, 38, 39, 41, 45, 50, 52, 56, 57, 60, 61, 65–68, 70, 72]. Five of the eligible studies contained data on 2 subtypes of IS, and these data were treated independently [25, 27, 44, 52, 71]. Eight studies examined patients with

**Table 1.** Main characteristics of studies associated with ApoE polymorphism and IS stroke included in this meta-analysis

Year	Author	Geographical location	Source of controls	Sample size (case/control)	NOS score	$\epsilon_2/\epsilon_2$ (case/control)	$\epsilon_2/\epsilon_3$ (case/control)	$\epsilon_2/\epsilon_4$ (case/control)	$\epsilon_3/\epsilon_3$ (case/control)	$\epsilon_3/\epsilon_4$ (case/control)	$\epsilon_4/\epsilon_4$ (case/control)	$\epsilon_2$ (case/control)	$\epsilon_3$ (case/control)	$\epsilon_4$ (case/control)	HWE	Genotypic method	Subtype
1997	Guo et al. [19]	Liaoning	PB	47/100	8	1/1	4/14	1/7	28/66	13/12	0/0	7/23	73/158	14/19	0.004	PCR	ACI
1997	Yan et al. [20]	Hubei	PB	50/113	8	0/0	8/19	1/2	25/78	15/13	1/1	9/21	73/188	18/17	0.678	PCR	ACI
1997	Zhou et al. [21]	Hubei	PB	24/24	8	0/0	3/2	3/1	12/19	4/2	2/0	6/3	31/42	11/3	0.258	PCR	ND
1999	Cao et al. [22]	Heilongjiang	PB	55/85	8	0/0	2/11	0/0	47/68	6/6	0/0	2/11	102/153	6/6	0.789	PCR	ACI
1999	Liu and Li [23]	Jiangsu	PB	43/60	8	0/0	5/9	1/2	22/41	13/8	2/0	6/11	62/100	18/9	0.530	PCR	ACI
1999	Peng and Zhao [24]	Hunan	HB	90/90	7	0/1	13/16	1/1	55/63	19/8	2/1	14/19	142/150	24/11	0.685	PCR	ND
2000	Ding et al. [25]	Guangdong	HB	58/46	7	1/0	3/2	2/1	37/38	13/5	2/0	7/3	90/83	19/6	0.287	PCR	ACI, LI
2000	Wang et al. [26]	Guangdong	PB	50/50	8	0/0	6/7	1/2	36/34	7/7	0/0	7/9	85/82	8/9	0.457	PCR	ACI
2000	Yu et al. [27]	Shandong	PB	63/30	8	0/0	4/3	1/0	36/23	18/4	4/0	5/3	94/53	27/4	0.914	PCR	ACI, LI
2001	Li et al. [28]	Zhejiang	PB	63/66	8	0/0	9/10	0/2	37/49	14/5	3/0	9/12	97/113	20/7	0.280	PCR	ND
2001	Zhang et al. [29]	Beijing	PB	116/40	7	0/0	9/8	6/1	65/28	30/3	6/0	15/9	169/67	48/4	0.719	PCR	ND
2002	Shen et al. [30]	Jiangsu	PB	40/90	8	0/0	4/12	4/2	20/68	11/8	1/0	8/14	55/156	17/10	0.424	PCR	ACI
2002	Xia et al. [31]	Henan	PB	110/60	8	2/0	19/8	5/1	58/44	16/6	10/1	28/9	151/102	41/9	0.537	SIE/IB	ND
2002	Zhu and Cui [32]	Hubei	PB	49/108	8	0/1	7/14	1/3	23/72	16/17	2/1	8/19	69/175	21/22	0.847	PCR	ND
2003	Lu [33]	Tianjin	PB	115/120	8	1/2	8/15	3/2	85/92	16/9	2/0	13/21	194/208	23/11	0.351	PCR	ND
2003	Su et al. [34]	Shandong	PB	36/40	8	1/0	4/5	0/0	18/30	10/4	3/1	6/5	50/69	16/6	0.310	PCR	ND
2003	Wang et al. [35]	Zhejiang	PB	40/60	8	1/0	1/3	2/1	20/43	16/13	0/0	5/4	57/102	18/14	0.649	PCR	ND
2003	Zhou [36]	Guangdong	PB	41/30	8	0/0	3/3	4/0	13/21	21/6	0/0	7/3	50/51	25/6	0.817	PCR	ND
2004	Li et al. [37]	Jiangsu	PB	66/90	8	0/0	7/12	4/2	33/68	20/8	2/0	11/14	93/156	28/10	0.424	PCR	ND
2005	Xiao et al. [38]	Hunan	PB	379/351	8	0/6	44/41	3/3	274/252	55/45	3/4	47/56	647/590	64/56	0.027	PCR	ND
2004	Lin et al. [39]	Taiwan	PB	277/112	8	1/4	17/5	10/5	180/78	18/19	1/1	29/18	445/180	80/26	<0.001	PCR	ND
2004	Jin et al. [40]	Shanghai, Zhejiang	PB	226/201	8	2/2	14/17	3/2	152/156	52/22	3/2	21/23	370/351	61/28	0.197	PCR	ND
2004	He et al. [41]	Xinjiang	PB	56/104	8	1/4	3/9	4/5	35/77	7/6	6/3	9/22	80/169	23/17	<0.001	PCR	ND
2006	Gao et al. [42]	Beijing	HB	100/100	7	1/1	11/13	0/0	75/80	13/6	0/0	13/15	174/179	13/6	0.809	PCR	ND
2006	Li et al. [43]	Hebei	PB	51/69	8	1/0	2/4	3/2	26/53	19/10	0/0	7/6	73/120	22/12	0.161	PCR	ND
2006	Ma et al. [44]	Heilongjiang	PB	109/50	8	3/0	10/6	2/1	61/38	27/5	6/0	18/7	159/87	41/6	0.744	PCR	ACI, LI
2006	Zhang et al. [45]	Sichuan, Yunnan	PB	120/120	8	1/1	8/5	9/2	83/100	11/10	8/2	19/9	185/215	36/16	0.003	PCR	ND
2006	Wen et al. [46]	Hongkong	PB	67/134	8	4/2	7/24	2/3	41/89	11/15	2/1	17/31	100/217	17/20	0.925	PCR	LI

**Table 1.** (continued)

Year	Author	Geographical location	Source of controls	Sample size (case/control)	NOS score	$\epsilon 2/\epsilon 2$ (case/control)	$\epsilon 2/\epsilon 3$ (case/control)	$\epsilon 2/\epsilon 4$ (case/control)	$\epsilon 3/\epsilon 3$ (case/control)	$\epsilon 3/\epsilon 4$ (case/control)	$\epsilon 4/\epsilon 4$ (case/control)	$\epsilon 2$ (case/control)	$\epsilon 3$ (case/control)	$\epsilon 4$ (case/control)	HWE	Genotypic method	Subtype
2006	Zhou et al. [47]	Heilongjiang	PB + HB	72/68	7	2/2	11/9	2/0	52/46	5/11	0/0	17/13	120/112	7/11	0.155	PCR	ND
2006	Baum et al. [48]	Hongkong	PB	243/311	8	7/2	39/60	6/6	155/203	32/39	4/1	59/70	381/505	46/47	0.659	PCR	ND
2007	Deng et al. [49]	Hunan	PB	105/322	8	0/5	20/40	1/3	70/233	13/37	1/4	21/53	173/543	16/48	0.058	PCR	LI
2007	Lv et al. [50]	Liaoning	PB	38/98	8	0/1	2/13	10/7	12/65	14/12	0/0	12/22	40/155	24/19	0.003	PCR	ND
2007	Man et al. [51]	Shandong	PB	40/50	8	1/1	6/6	0/0	20/38	10/4	3/1	8/8	56/86	16/6	0.109	PCR	ND
2007	Lai et al. [52]	Taiwan	PB	257/112	8	1/4	17/5	10/5	162/78	67/19	0/1	29/18	408/180	77/26	<0.001	PCR	ACI, LI
2008	Sun et al. [53]	Inner Mongolia	PB	78/90	8	0/0	12/10	3/2	44/71	18/7	1/0	15/12	118/159	23/9	0.256	PCR	ND
2008	Xie et al. [54]	Yunnan	PB	92/50	8	0/1	9/10	4/2	49/36	28/1	2/0	13/14	135/83	36/3	0.066	PCR	ACI
2009	Nie [55]	Yunnan	PB	40/43	8	0/1	6/7	1/1	24/32	9/2	0/0	7/9	63/74	10/3	0.576	PCR	ND
2009	Wang et al. [56]	Northern area	PB	396/396	8	16/33	98/116	60/41	124/164	87/39	11/3	190/223	433/483	169/86	<0.001	PCR	ND
2009	Zhou et al. [57]	Hainan	PB	78/74	8	0/15	5/7	4/6	46/43	13/3	10/0	9/43	110/96	37/9	<0.001	PCR	ND
2010	Yang et al. [58]	Shanxi	PB	240/150	8	3/2	37/23	5/3	157/99	35/21	3/2	48/30	386/242	46/28	0.864	PCR	ND
2010	Yuan [59]	Yunan	PB	58/50	8	0/0	4/3	2/2	28/39	23/6	1/0	6/5	83/87	27/8	0.059	PCR	ND
2012	Li et al. [60]	Hunan	PB	240/240	8	4/0	38/48	5/0	149/157	39/34	5/1	51/48	375/396	54/36	0.032	Gene chip	ACI
2012	Liu et al. [61]	Anhui	PB	108/76	8	2/5	11/17	0/2	77/49	15/2	3/1	15/29	180/117	21/6	0.007	PCR	ND
2012	Lv et al. [62]	Guangdong	Guangxi	61/156	8	1/0	8/31	5/1	29/107	13/17	5/0	15/32	79/262	28/18	0.321	PCR	ND
2012	Wang et al. [63]	Hainan	PB	50/50	8	1/0	0/8	0/1	34/27	13/14	2/0	2/9	80/76	17/15	0.469	PCR	ND
2013	Gu et al. [64]	Guangxi	PB + HB	166/192	7	1/1	25/21	2/2	113/141	23/26	2/1	29/25	274/329	29/30	0.994	PCR	ND
2013	Jing [65]	Sichuan	PB	162/120	8	1/2	18/6	4/3	114/88	23/18	2/3	24/13	269/200	31/27	0.003	PCR	ND
2013	Liu [66]	Yunnan	PB	40/40	8	0/0	3/3	2/2	19/31	15/4	1/0	5/5	56/69	19/6	0.043	PCR	ND
2013	Wang et al. [67]	Hebei	PB	110/126	8	4/2	15/13	7/4	51/84	21/18	12/5	30/21	138/200	52/32	0.021	PCR	ND
2013	Zhao [68]	Jilin	PB	200/100	8	1/2	12/7	14/3	136/79	22/5	15/4	28/14	306/170	66/16	<0.001	PCR	ND
2014	Huang [69]	Yunnan	PB	54/56	8	0/0	3/2	1/1	18/43	30/10	2/0	4/3	69/98	35/11	0.488	PCR	ND
2014	Sun [70]	Liaoning	PB	50/50	8	3/4	5/6	2/2	26/32	12/3	2/3	13/16	69/73	18/11	<0.001	PCR	ND
2014	Zhang et al. [71]	Guangdong	PB	91/105	8	0/1	14/14	1/0	56/72	20/18	0/0	15/16	146/176	21/18	0.388	PCR	ACI, LI
2015	Yan et al. [72]	Henan	HB	580/580	6	11/61	41/54	33/49	351/354	82/33	62/29	96/225	825/795	239/140	<0.001	PCR	ND

PB = Population-based controls; HB = hospital-based controls; IEF/WB = isoelectric focusing/western blotting; ND = not described.

ACI [19, 20, 22, 23, 26, 30, 54, 60], and 2 studies examined LI patients [46, 49]. Of the 15 studies that subtyped the stroke, only one [52] used the Classification of Cerebrovascular Diseases III from the National Institute of Neurological Disorders and Stroke (NINDS) [73]; all other studies used the risk-factor based Trial of Org 10,172 in Acute Stroke Treatment (TOAST) classification [74]. The mean NOS score was 7.85; this result indicated that the quality of the included studies was relatively good according to this scale.

### Quantitative Synthesis

The forest plots are shown in figure 2a–d, and the main results are presented in table 2.

### Main Results of the Allele Comparisons

Compared with the  $\epsilon 3$  allele, the  $\epsilon 2$  allele was not associated with IS risk (OR 1.01, 95% CI 0.87–1.17,  $p = 0.938$ ), although moderate heterogeneity was detected between studies ( $I^2 = 57.5\%$ ,  $P_Q = 0.000$ ). Similarly, no significant associations were detected in our subgroup analyses based on the HWE status (yes or no), SOC (population-based or non-population-based) or IS subtype (ACI or LI). However, we found a significant association of the  $\epsilon 4$  allele with IS risk compared with the  $\epsilon 3$  allele (OR 2.19, 95% CI 1.90–2.52,  $p < 0.001$ ), although moderate heterogeneity was observed between studies ( $I^2 = 58.5\%$ ,  $P_Q = 0.000$ ). For the subgroup analyses based on SOC (population-based or non-population-based), HWE status (yes or no) and IS subtype (ACI or LI), significant associations were detected between the presence of the  $\epsilon 4$  allele and the risk of IS.

### Main Results of the Genotype Comparisons

The pooled OR for  $\epsilon 2$  carriers vs.  $\epsilon 3\epsilon 3$  genotype carriers was 0.98 (95% CI 0.88–1.09,  $p = 0.73$ ), and no heterogeneity was detected between studies ( $I^2 = 0.0\%$ ,  $P_Q = 0.49$ ). In addition, no significant associations were detected in the subgroup analyses based on the HWE status (yes or no), SOC (population-based or non-population-based) or IS subtype (ACI or LI).

In addition, a significant difference in IS risk was detected between  $\epsilon 4$  carriers and  $\epsilon 3\epsilon 3$  genotype carriers (OR 2.41, 95% CI 2.00–2.89,  $p < 0.001$ ) based on a REM ( $I^2 = 63.2\%$ ,  $P_Q = 0.000$ ). For the subgroup analyses based on SOC (population-based or non-population-based), HWE status (yes or no) or IS subtype (ACI or LI), significant associations were found between the presence of the  $\epsilon 4$  allele and the risk of IS.

### Sensitivity Analysis

Sensitivity analysis was performed after limiting the included studies to those assigned an NOS score  $>7$ . The corresponding pooled ORs of all genetic models were not substantially altered. Furthermore, after limiting the pool to studies containing population-based controls, studies with results meeting HWE and studies using PCR-based genotyping methods, the corresponding pooled ORs were not substantially altered. These data suggested that our results were sensitive and reliable.

### Publication Bias

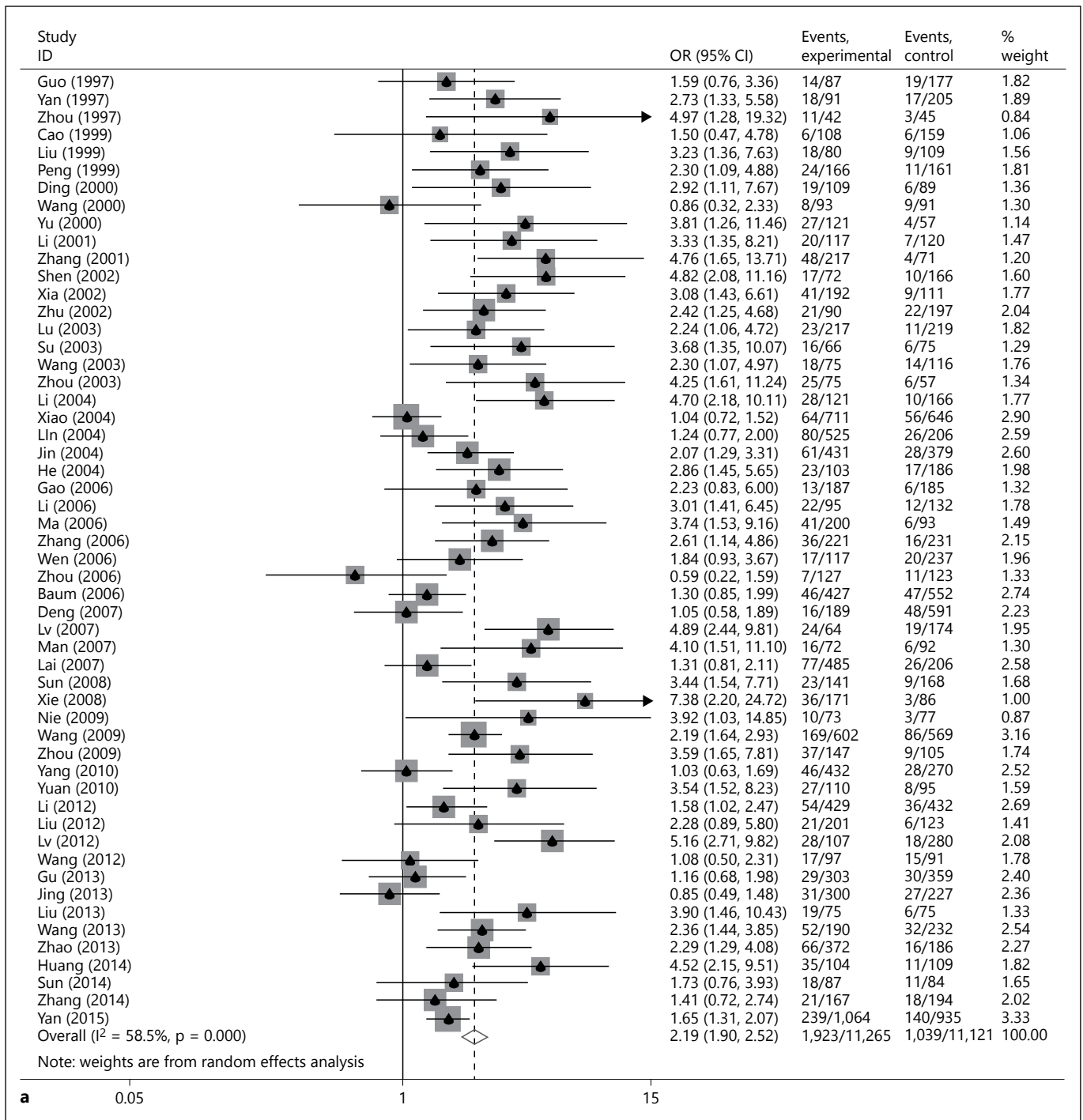
Begg's funnel plots and Egger's regression tests were performed to assess the potential publication bias. The funnel plot of the genetic comparisons ( $\epsilon 2$  vs.  $\epsilon 3$ ,  $\epsilon 2$  carrier vs.  $\epsilon 3\epsilon 3$  carrier) did not show any evident asymmetry (fig. 3b, d). Egger's regression test confirmed that no publication bias existed in these analyses ( $p = 0.14$ ,  $\epsilon 2$  vs.  $\epsilon 3$ ;  $p = 0.441$ ,  $\epsilon 2$  carrier vs.  $\epsilon 3\epsilon 3$  carrier). However, publication bias was detected in other genetic comparisons ( $\epsilon 4$  vs.  $\epsilon 3$ ,  $\epsilon 4$  carrier vs.  $\epsilon 3\epsilon 3$  carrier), as revealed by Begg's funnel plots (fig. 3a, c) and Egger's regression tests ( $\epsilon 4$  vs.  $\epsilon 3$ ,  $p = 0.000$ ;  $\epsilon 4$  carrier vs.  $\epsilon 3\epsilon 3$  carrier,  $p = 0.000$ ).

### *ApoE and ICH in the Chinese Population*

A total of 18 studies [24, 28, 33, 35, 36, 43, 53, 58, 59, 75–83] containing 2,018 cases and 2,143 controls from China were included in the final meta-analysis of the association between ApoE polymorphisms and ICH risk. The selection process is shown in figure 1, and the included studies and their main characteristics are presented in table 3. The participants in these studies were recruited from 15 provinces of China, including Anhui, Chongqing, Fujian, Guangdong, Hebei, Hunan, Inner Mongolia, Jiangsu, Liaoning, Shanxi, Shanghai, Taiwan, Tianjin, Yunnan and Zhejiang. ApoE gene polymorphisms were detected using PCR and restriction fragment length polymorphism methods in all included studies. Of the 18 included studies, 16 studies [28, 33, 35, 36, 43, 53, 58, 59, 75–81, 83] contained population-based controls, and 2 studies contained results that did not follow HWE [77, 83]. The mean NOS score was 7.89; this result indicated that the quality of the included studies was relatively good according to this scale.

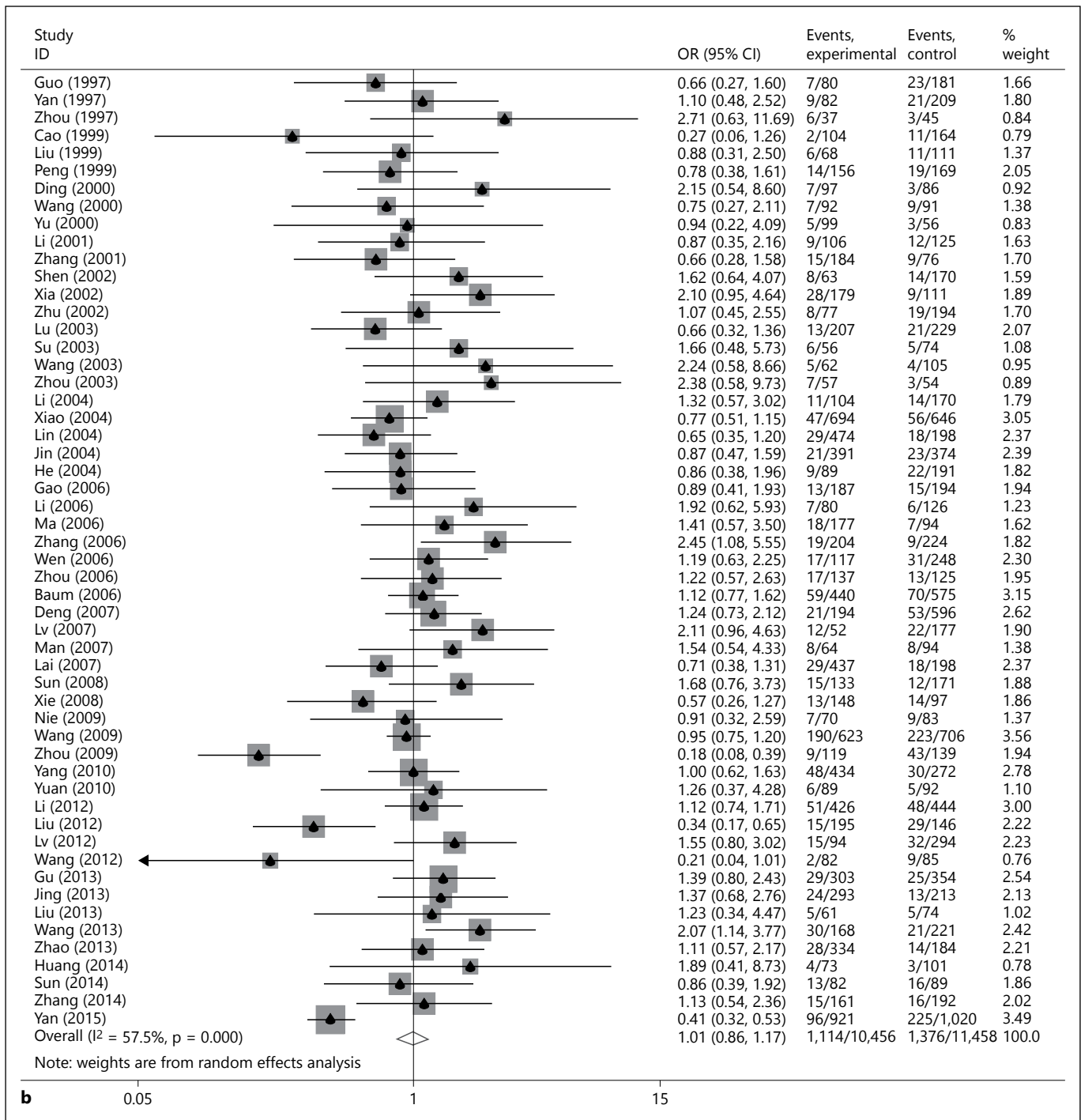
### Quantitative Synthesis

The forest plots are shown in figure 4a–d, and the main results are presented in table 4.



**Fig. 2. a-d** Forest plots of the relationships between ApoE gene polymorphisms and IS risk in the genetic comparisons of the  $\epsilon 4$  allele vs. the  $\epsilon 3$  allele (**a**); the  $\epsilon 2$  allele vs. the  $\epsilon 3$  allele (**b**);  $\epsilon 4$  carriers vs.  $\epsilon 3\epsilon 3$  carriers (**c**); and  $\epsilon 2$  carriers vs.  $\epsilon 3\epsilon 3$  carriers (**d**). (For figure 2b-d see next pages.)





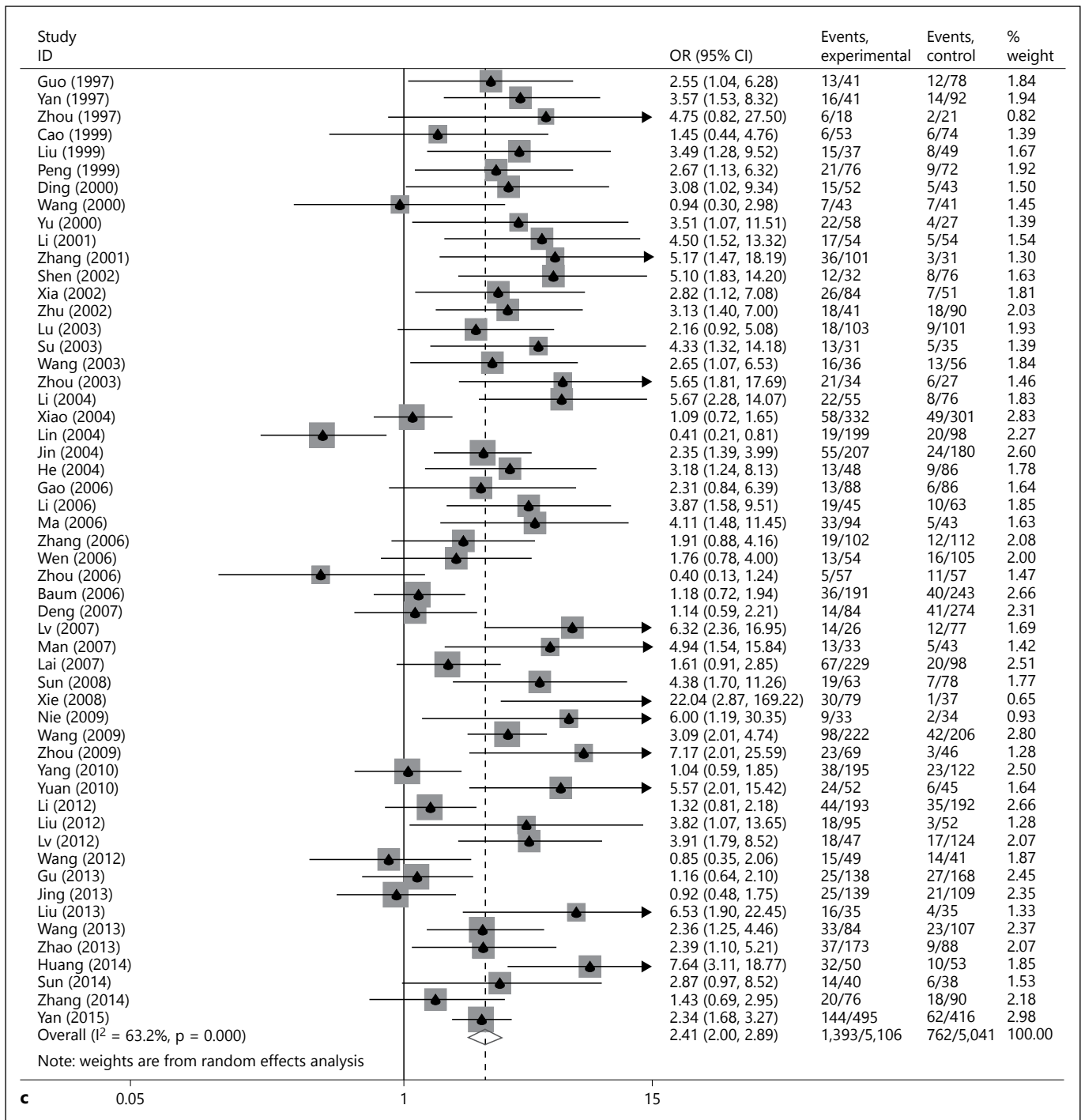
2

### Main Results of the Allele Comparisons

We found a significant association between the  $\epsilon 4$  allele and ICH risk compared with the  $\epsilon 3$  allele (OR 2.08, 95% CI 1.57–2.75,  $p < 0.001$ ), although moderate heterogeneity between studies was observed ( $I^2 = 61.9\%$ ,  $P_Q = 0.000$ ). For the subgroup analysis based on SOC (population-based or

non-population-based), significant associations were found between the presence of the  $\epsilon 4$  allele and the ICH risk. For the subgroup analysis based on HWE status, an increased risk of ICH was noted for the  $\epsilon 4$  allele compared to the  $\epsilon 3$  allele (OR 2.18, 95% CI 1.64–2.89,  $p < 0.001$ ), although moderate heterogeneity was observed between

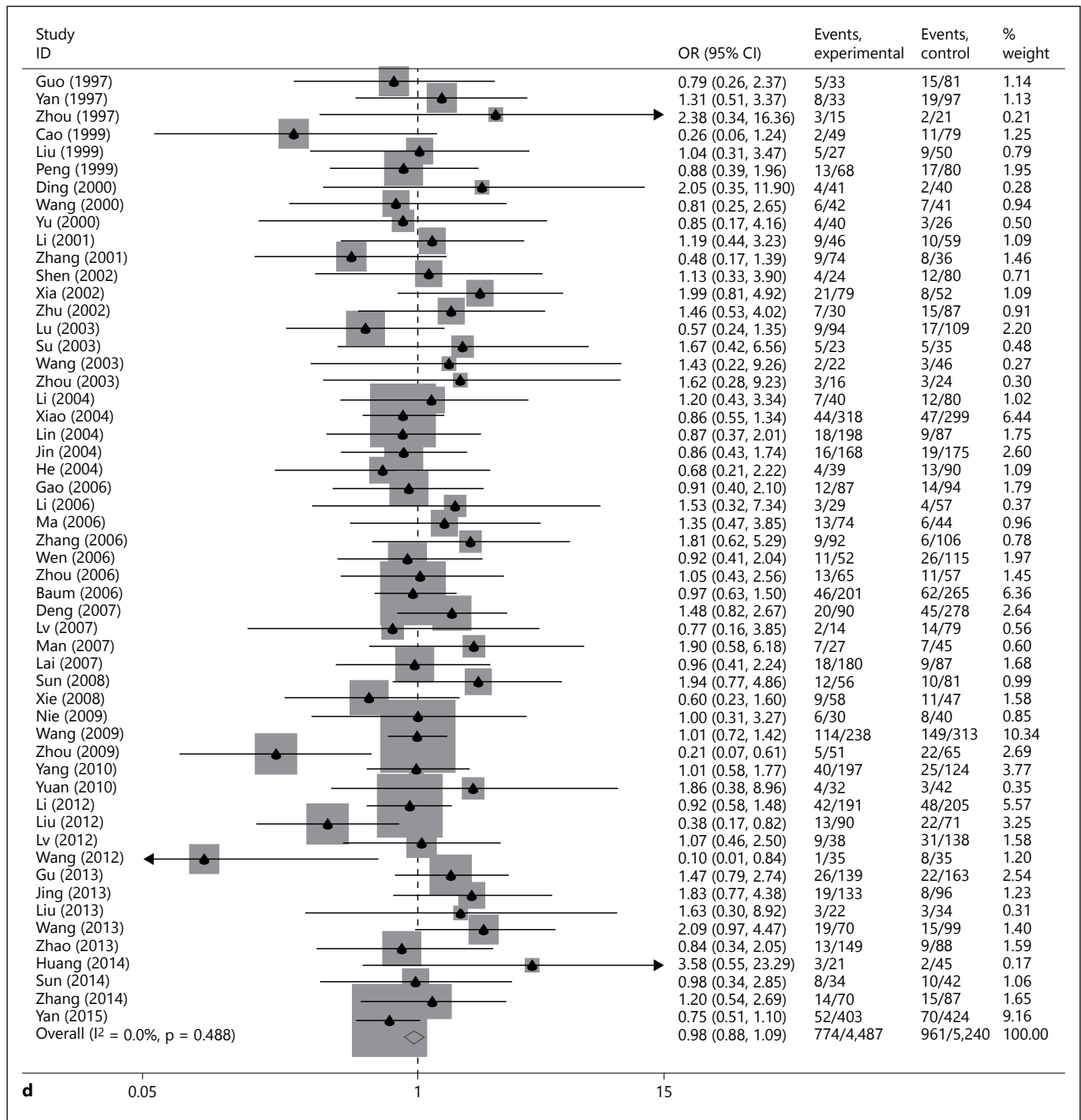




studies ( $I^2 = 53.3\%$ ,  $P_Q = 0.006$ ). In contrast, no significant effects were found in the non-HWE subgroup (OR 1.63, 95% CI 0.56–4.74,  $p = 0.367$ ), although heterogeneity between studies was evident ( $I^2 = 82.3\%$ ,  $P_Q = 0.018$ ).

Furthermore, compared with the  $\epsilon 3$  allele, the  $\epsilon 2$  allele was not associated with ICH risk (OR 1.13, 95% CI

0.96–1.33,  $p = 0.133$ ), although mild heterogeneity was observed between studies ( $I^2 = 35.7\%$ ,  $P_Q = 0.067$ ). Similarly, no significant associations were detected in our subgroup analyses based on the HWE status (yes or no) or SOC (population-based or non-population-based).



2

### Main Results of the Genotype Comparisons

A significant difference in ICH risk was detected between  $\epsilon 4$  carriers and  $\epsilon 3\epsilon 3$  genotype carriers (OR 2.41, 95% CI 1.68–3.47,  $p < 0.001$ ) was noted based on a REM ( $I^2 = 70.9\%$ ,  $P_Q < 0.000$ ). For the subgroup analyses based on SOC (population-based or non-population-based),

significant associations were found between  $\epsilon 4$  carrier status and the risk of ICH. However, in the subgroup analysis based on HWE status, this increased risk of ICH remained significant for the  $\epsilon 4$  carriers compared with the  $\epsilon 3\epsilon 3$  genotype carriers in the HWE subgroup (OR 2.50, 95% CI 1.69–3.71,  $p < 0.001$ ), although moderate

**Table 2.** The main results of studies associated with IS included in this meta-analysis

Study subgroup	Studies (size/control)	ε4 vs. ε3			ε2 vs. ε3			ε4 carrier vs. ε3ε3 carrier			ε2 carrier vs. ε3ε3 carrier		
		OR (95% CI)	P <sub>Q</sub>	I <sup>2</sup> , %	OR (95% CI)	P <sub>Q</sub>	I <sup>2</sup> , %	OR (95% CI)	P <sub>Q</sub>	I <sup>2</sup> , %	OR (95% CI)	P <sub>Q</sub>	I <sup>2</sup> , %
IS	54 (6,190/6,248)	2.19 (1.90–2.52)*	0.000	58.5	1.01 (0.86–1.17)	0.000	57.5	2.41 (2.00–2.89)*	0.000	63.2	0.99 (0.89–1.11)	0.488	0.0
HWE													
Yes	37 (3,052/3,449)	2.42 (1.99–2.93)*	0.000	55.4	1.12 (0.98–1.28)	0.751	0.0	2.61 (2.08–3.28)*	0.000	56.7	1.10 (0.94–1.27)	0.879	0.0
No	17 (3,138/2,799)	1.87 (1.53–2.29)*	0.001	60.5	0.85 (0.63–1.14)	0.000	79.5	2.08 (1.53–2.84)*	0.000	72.2	0.89 (0.72–1.11)	0.109	31.0
SOC													
PB	48 (5,124/5,172)	2.29 (1.96–2.68)*	0.000	59.0	1.02 (0.89–1.18)	0.002	41.0	2.54 (2.07–3.11)*	0.000	64.1	1.01 (0.89–1.14)	0.424	2.5
Non-PB	6 (1,066/1,076)	1.58 (1.14–2.20)*	0.146	38.9	0.92 (0.52–1.64)	0.000	80.0	1.73 (1.06–2.84)*	0.000	61.2	0.93 (0.71–1.22)	0.522	0.0
Subtype													
ACI	13 (880/1,131)	2.26 (1.60–3.20)*	0.008	55.3	0.98 (0.77–1.23)	0.545	0.0	2.47 (1.66–3.67)*	0.012	53.2	0.95 (0.72–1.25)	0.792	0.0
LI	7 (285/372)	1.61 (1.23–2.12)*	0.206	29.1	1.06 (0.78–1.43)	0.795	0.0	1.77 (1.29–2.42)*	0.280	19.7	1.15 (0.80–1.64)	0.977	0.0
PCR-based	52 (5,840/5,948)	2.20 (1.90–2.55)*	0.000	59.4	0.99 (0.85–1.16)	0.000	57.3	2.45 (2.02–2.96)*	0.000	63.6	0.99 (0.88–1.11)	0.503	0.0
High quality	47 (5,008/5,132)	2.27 (1.94–2.65)*	0.000	58.9	1.03 (0.90–1.19)	0.002	41.6	2.51 (2.05–3.08)*	0.000	64.3	1.02 (0.90–1.15)	0.459	0.7

\* p < 0.05. P<sub>Q</sub> = A p value of the Cochran's Q test for heterogeneity; I<sup>2</sup> = value of I<sup>2</sup> statistic for heterogeneity; PB = population-based controls; non-PB = hospital-based controls or mixed controls; PCR-based = PCR based genotypic method; high quality = NOS score >7.

heterogeneity between studies was observed (I<sup>2</sup> = 69.8%, P<sub>Q</sub> = 0.000). In contrast, no significant effect was found for the non-HWE subgroup (OR 1.92, 95% CI 0.60–6.10, p = 0.271), although moderate heterogeneity was detected between studies (I<sup>2</sup> = 72.2%, P<sub>Q</sub> = 0.058).

The pooled OR for ε2 carriers compared to ε3ε3 genotype carriers was 1.01 (95% CI 0.84–1.22, p = 0.887), although mild heterogeneity was observed between studies (I<sup>2</sup> = 25.7%, P<sub>Q</sub> = 0.153). Similarly, no clear evidence for this association was found in our subgroup analyses based on the HWE status (yes or no) or SOC (population-based or non-population-based).

### Sensitivity Analysis

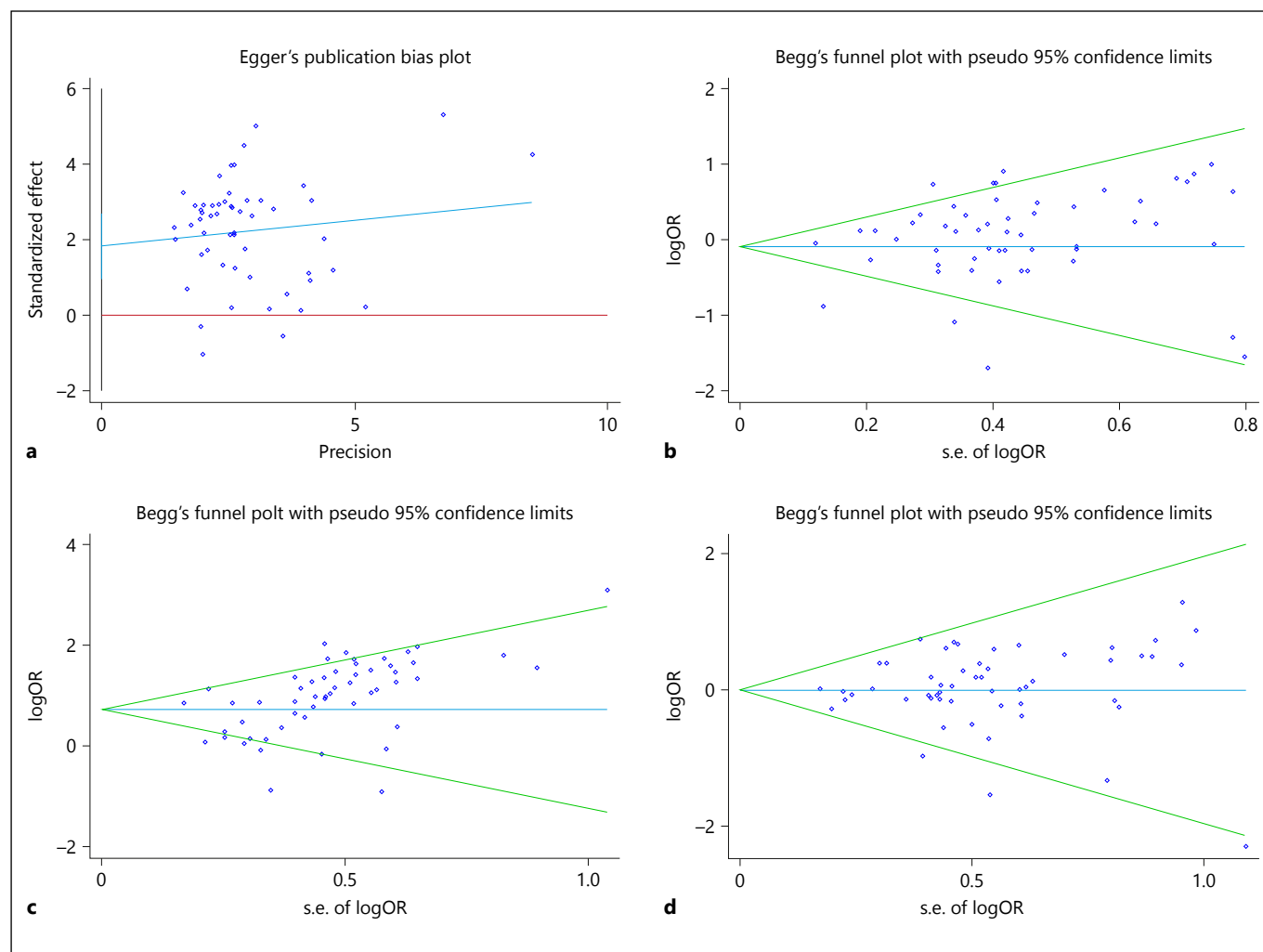
Sensitivity analysis was performed after limiting the included studies to those assigned an NOS score >7. The corresponding pooled ORs of all genetic comparisons were not substantially altered. Furthermore, after limiting the studies to those containing population-based controls, those containing results meeting HWE, or those using PCR-based genotype methods, the corresponding pooled ORs were not substantially altered. These data suggested that our results were sensitive and reliable.

### Publication Bias

Begg's funnel plots and Egger's regression tests were performed to assess the potential publication bias. No apparent asymmetry was observed in the funnel plots of any genetic comparisons (fig. 5a–d). Egger's regression test confirmed that no publication bias existed (ε4 allele vs. ε3 allele, p = 0.24; ε2 allele vs. ε3 allele, p = 0.18; ε4 carrier vs. ε3ε3 carrier, p = 0.17; ε2 carrier vs. ε3ε3 carrier, p = 0.22).

### ApoE and SAH in the Chinese Population

Two studies [84, 85] containing 195 cases and 207 controls from China were included in the final meta-analysis of the associations of ApoE polymorphisms with SAH risk. The selection process is outlined in figure 1, and the included studies and their main characteristics are presented in table 3. Pooled analyses showed a significantly increased risk for SAH among ε4 carriers compared with ε3ε3 genotype carriers (OR 2.04, 95% CI 1.21–3.45, p = 0.008), but no association was detected among ε2 carriers compared with ε3ε3 genotype carriers (OR 0.72, 95% CI 0.40–1.31, p = 0.282). Similarly, we found a significant association of the ε4 allele with SAH risk compared with the ε3 allele (OR 2.03, 95% CI 1.28–3.23, p = 0.003), but we failed to detect any association of the ε2 allele with SAH risk compared with the ε3 allele (OR 0.80, 95% CI 0.48–1.32, p = 0.377).



**Fig. 3.** a–d Begg's funnel plots of the relationships between ApoE gene polymorphisms and IS risk in the genetic comparisons of the  $\epsilon 4$  allele vs. the  $\epsilon 3$  allele (a); the  $\epsilon 2$  allele vs. the  $\epsilon 3$  allele (b);  $\epsilon 4$  carriers vs.  $\epsilon 3\epsilon 3$  carriers (c); and  $\epsilon 2$  carriers vs.  $\epsilon 3\epsilon 3$  carriers (d).

## Discussion

Stroke is a multi-factorial and polygenic disorder disease that is thought to result from complex genetic factors and gene-environment interactions. ApoE polymorphisms have been reported to be associated with various diseases, including type II diabetes, coronary artery disease [86, 87], hypertension [15], Alzheimer's disease [88, 89], and dementia in Parkinson disease [90]. Recently, the associations between ApoE gene polymorphisms and the risk of different subtypes of stroke have been studied extensively [91–93], but the results remain inconclusive, particularly in different ethnic groups and geographical locations. Therefore, this study summarized the pub-

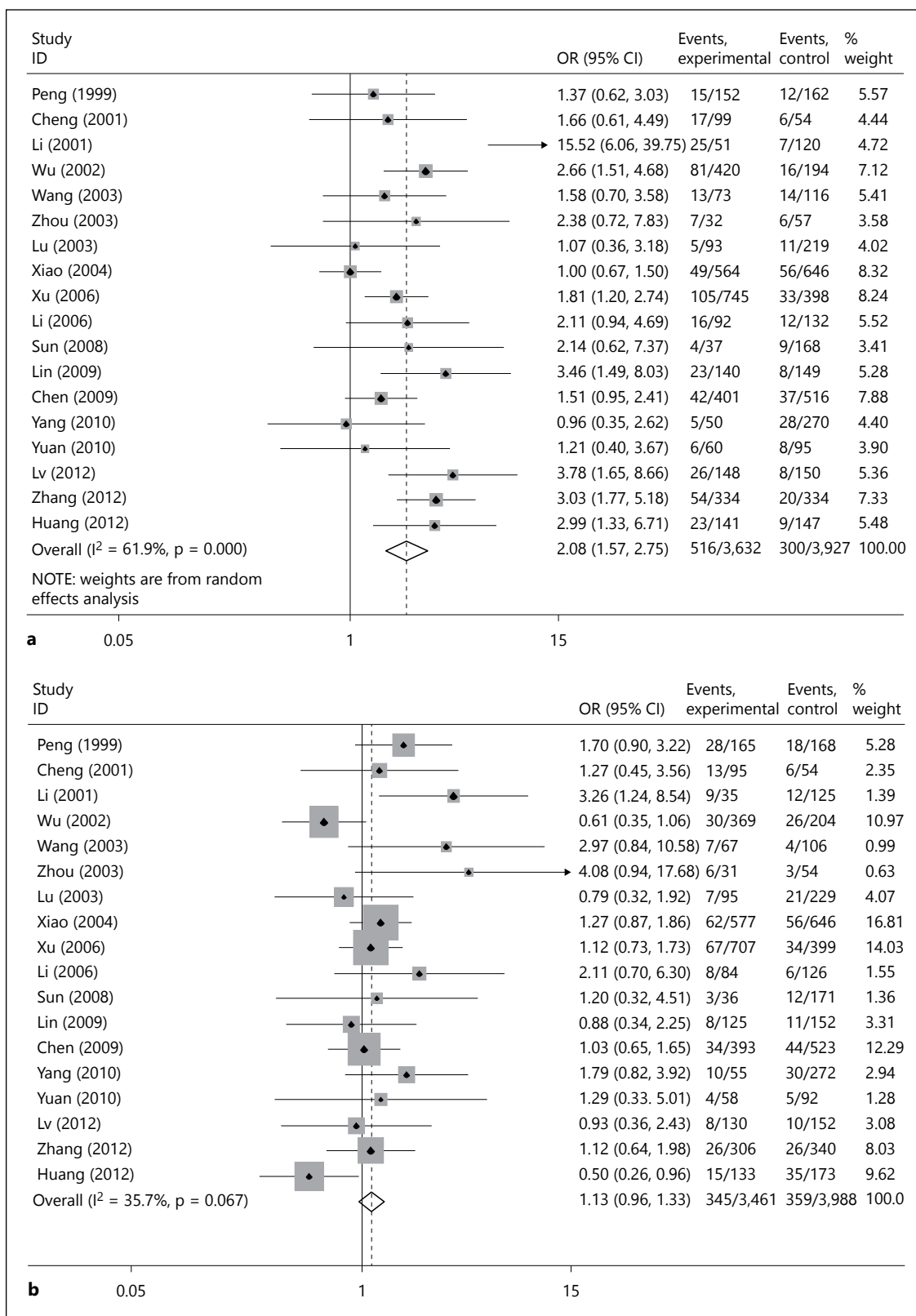
lished evidence on the association between ApoE gene polymorphisms and stroke risk in the Chinese population.

To determine the relationship between ApoE gene polymorphisms and IS risk, the 54 studies included our meta-analysis, which contained a total of 6,190 IS cases and 6,248 controls. It provided the most comprehensive assessment of the association between ApoE gene polymorphisms and IS risk in the Chinese population to date. Indeed, we found a significant association of the  $\epsilon 4$  allele with IS risk compared with the  $\epsilon 3$  allele, and  $\epsilon 4$  carriers showed a significantly higher risk of developing IS than  $\epsilon 3\epsilon 3$  genotype carriers. In contrast, the evidence did not support an association of the  $\epsilon 2$  allele or  $\epsilon 2$  carriers with

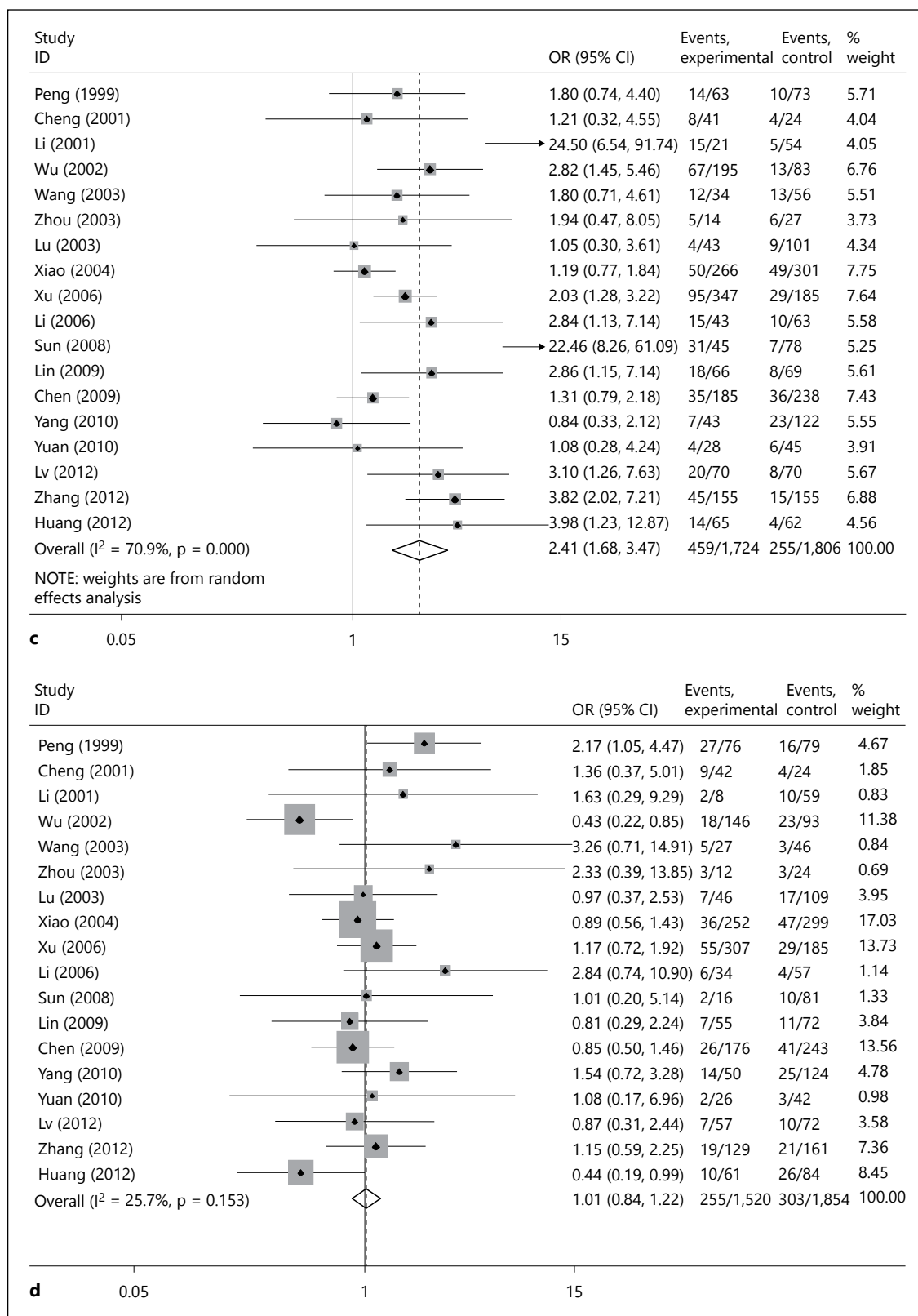
**Table 3.** Main characteristics of studies associated with ApoE polymorphism and hemorrhagic stroke included in this meta-analysis

Year	Author	Geographical location	Source of controls	Sample size (case/control)	NOS score	$\epsilon_2/\epsilon_2$ (case/control)	$\epsilon_2/\epsilon_3$ (case/control)	$\epsilon_2/\epsilon_4$ (case/control)	$\epsilon_3/\epsilon_3$ (case/control)	$\epsilon_3/\epsilon_4$ (case/control)	$\epsilon_4/\epsilon_4$ (case/control)	$\epsilon_2$ (case/control)	$\epsilon_3$ (case/control)	$\epsilon_4$ (case/control)	HWE	Subtype
1999	Peng and Zhao [24]	Hunan	HB	90/90	7	1/1	26/15	0/1	49/63	13/9	1/1	28/18	137/150	15/12	0.790	ICH
2001	Cheng and Dong [75]	Jiangsu	PB	56/30	8	1/0	8/4	3/2	33/20	8/4	0/0	13/6	82/48	17/6	0.244	ICH
2001	Li et al. [28]	Zhejiang	PB	30/66	8	0/0	2/10	7/2	6/49	12/5	3/0	9/12	26/113	25/7	0.280	ICH
2001	Wu et al. [76]	Shanghai	PB	225/110	8	0/0	18/23	12/3	128/70	65/13	2/0	30/26	339/178	81/16	0.396	ICH
2003	Wang et al. [35]	Zhejiang	PB	40/60	8	1/0	4/3	1/1	22/43	12/13	0/0	7/4	60/102	13/14	0.649	ICH
2003	Zhou [36]	Guangdong	PB	19/30	8	1/0	2/3	2/0	9/21	5/6	0/0	6/3	25/51	7/6	0.817	ICH
2003	Lu [33]	Tianjin	PB	50/120	8	0/2	7/15	0/2	39/92	3/9	1/0	7/21	88/208	5/11	0.351	ICH
2004	Xiao et al. [77]	Hunan	PB	313/351	8	0/6	36/41	9/3	216/252	47/45	3/4	62/56	515/590	49/56	0.027	ICH
2006	Li et al. [43]	Hebei	PB	50/69	8	1/0	5/4	1/2	28/53	15/10	0/0	8/6	76/120	16/12	0.161	ICH
2006	Xu [78]	Jiangsu	PB	406/216	8	8/3	47/26	4/2	252/156	89/27	6/2	67/34	640/365	105/33	0.400	ICH
2008	Sun et al. [53]	Inner Mongolia	PB	20/90	8	0/0	2/10	1/2	14/71	31/7	0/0	3/12	33/159	4/9	0.256	ICH
2009	Lin and Zhang [79]	Fujian	PB	74/80	8	0/0	7/11	1/0	48/61	14/8	4/0	8/11	117/141	23/8	0.693	ICH
2009	Chen et al. [80]	Taiwan	PB	217/280	8	1/2	25/39	6/1	150/202	34/36	1/0	33/44	359/479	42/37	0.361	ICH
2010	Yang et al. [58]	Shanxi	PB	60/150	8	3/2	11/23	3/3	36/99	6/21	1/2	10/30	45/242	5/28	0.864	ICH
2010	Yuan [59]	Yunnan	PB	32/50	8	0/0	2/3	2/2	24/39	4/6	0/0	4/5	54/87	6/8	0.059	ICH
2012	Lv and Qu [81]	Liaoning	PB	78/80	8	0/0	7/10	1/0	50/62	15/8	5/0	8/10	122/142	26/8	0.733	ICH
2012	Zhang et al. [82]	Chongqing	HB	180/180	7	1/1	18/20	6/4	110/140	42/14	3/1	26/26	280/314	54/20	0.114	ICH
2013	Huang et al. [83]	Anhui	PB	78/91	8	2/6	8/20	3/3	51/58	8/2	6/2	15/35	118/138	23/9	<0.001	ICH
2005	Dai [84]	Honkong	PB + HB	133/127	7	2/2	13/20	2/1	82/85	32/19	2/0	19/25	211/209	36/20	0.539	SAH
2006	Wu [85]	Guangzhou	PB	62/80	8	1/2	5/10	3/2	38/57	13/9	2/0	10/16	94/133	20/11	0.285	SAH

PB = Population-based controls; HB = hospital-based controls.



**Fig. 4. a–d** Forest plot of the relationships between ApoE gene polymorphisms and ICH risk in the genetic comparisons of the  $\epsilon 4$  allele vs. the  $\epsilon 3$  allele (**a**); the  $\epsilon 2$  allele vs. the  $\epsilon 3$  allele (**b**).



**Fig. 4. a–d** Forest plot of the relationships between ApoE gene polymorphisms and ICH risk in the genetic comparisons of  $\epsilon 4$  carriers vs.  $\epsilon 3\epsilon 3$  carriers (**c**); and  $\epsilon 2$  carriers vs.  $\epsilon 3\epsilon 3$  carriers (**d**).



**Table 4.** The main results of studies associated with IAH included in this meta-analysis

Study subgroup	Studies (size/control)	ε4 vs. ε3			ε2 vs. ε3			ε4 carrier vs. ε3ε3 carrier			ε2 carrier vs. ε3ε3 carrier		
		OR (95% CI)	P <sub>Q</sub>	I <sup>2</sup> , %	OR (95% CI)	P <sub>Q</sub>	I <sup>2</sup> , %	OR (95% CI)	P <sub>Q</sub>	I <sup>2</sup> , %	OR (95% CI)	P <sub>Q</sub>	I <sup>2</sup> , %
ICH	18 (2,018/2,143)	2.08 (1.57–2.75)*	0.000	61.9	1.13 (0.96–1.33)	0.067	35.7	2.41 (1.68–3.47)*	0.000	70.9	1.01 (0.84–1.22)	0.153	25.7
HWE													
Yes	16 (1,627/1,701)	2.18 (1.64–2.89)*	0.006	53.3	1.18 (0.98–1.42)	0.177	24.5	2.50 (1.69–3.71)*	0.000	69.8	1.11 (0.89–1.37)	0.266	16.4
No	2 (391/442)	1.63 (0.56–4.74)	0.018	82.3	0.99 (0.72–1.37)	0.016	82.8	1.92 (0.60–6.10)	0.058	72.2	0.74 (0.50–1.11)	0.139	54.4
SOC													
PB	16 (1,748/1,873)	2.07 (1.52–2.82)*	0.000	63.4	1.10 (0.92–1.30)	0.053	39.5	2.38 (1.59–3.56)*	0.000	72.6	0.94 (0.77–1.15)	0.261	16.8
Non-PB	2 (270/270)	2.15 (1.00–4.65)*	0.104	62.1	1.35 (0.89–2.06)	0.336	0.0	2.81 (1.36–5.80)*	0.179	44.7	1.55 (0.95–2.52)	0.207	37.1
High quality	16 (1,748/1,873)	2.07 (1.52–2.82)*	0.000	63.4	1.10 (0.92–1.30)	0.053	39.5	2.38 (1.59–3.56)*	0.000	72.6	0.94 (0.77–1.15)	0.261	16.8

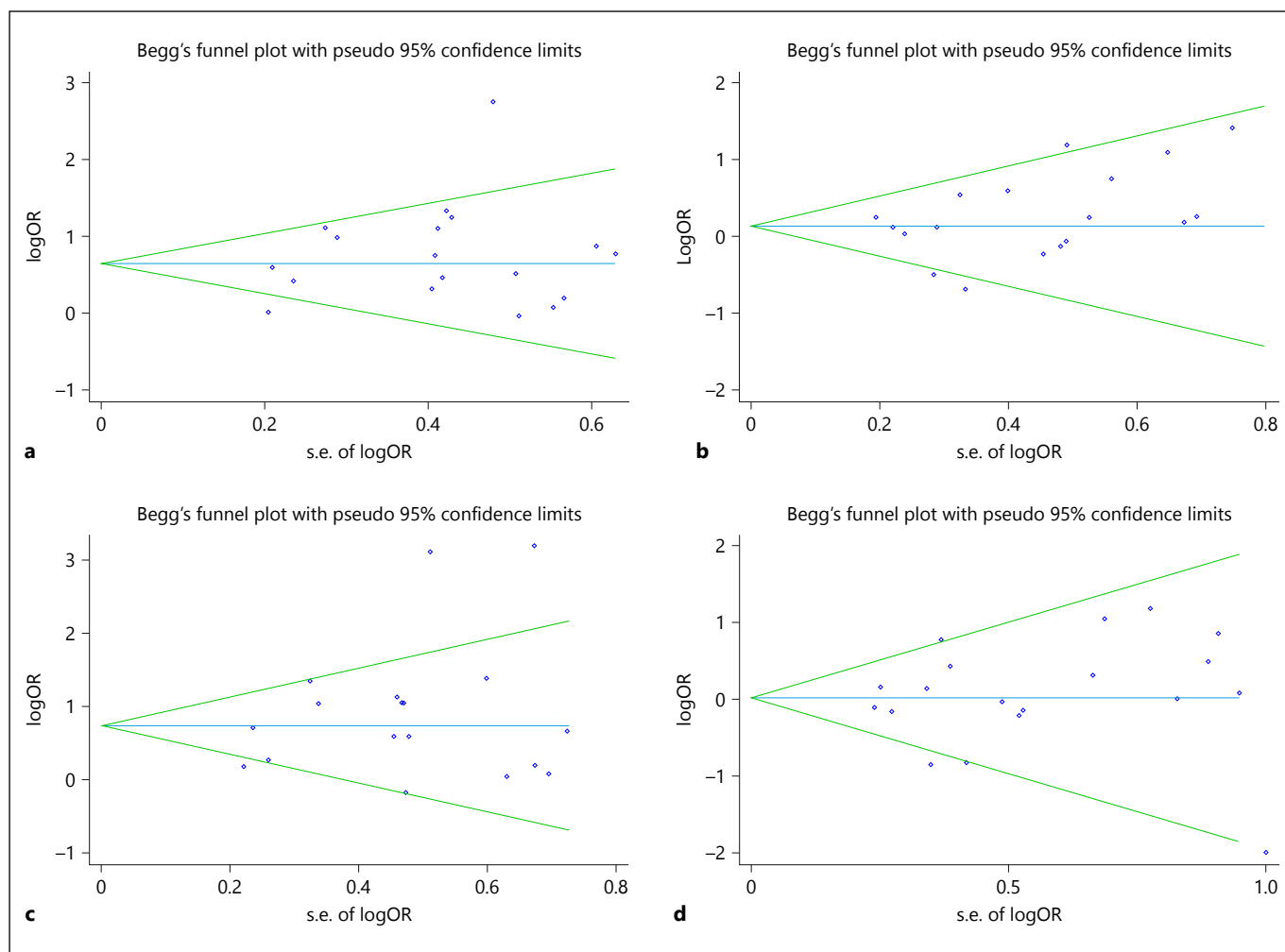
\* p < 0.05. P<sub>Q</sub> = A p value of the Cochran's Q test for heterogeneity; I<sup>2</sup> = value of I<sup>2</sup> statistic for heterogeneity; PB = population-based controls; non-PB = hospital-based controls or mixed controls; high quality = NOS score >7.

IS risk. Our results were consistent with those of previous studies [9, 94]. Furthermore, the associations identified in our study were verified by subgroup analyses according to IS subtype (ACI or LI), HWE status (yes or no) and SOC (population-based or non-population-based).

For the relationship between ApoE gene polymorphisms and ICH risk, our meta-analysis of 18 studies, which included a total of 2,018 cases and 2,143 controls, provided the first assessment of the association between ApoE gene polymorphisms and ICH risk in the Chinese population. We found a significant association of the ε4 allele with ICH risk compared with the ε3 allele, and ε4 carriers showed a significantly higher risk of developing ICH than ε3ε3 genotype carriers. Conversely, the evidence did not support an association of ε2 allele or ε2 carriers with ICH risk. In general, the results of our study are in agreement with those reported by Zhang et al. [16] but contrast with those reported by Sudlow et al. [9]. Most study participants included in these 2 meta-analyses were Caucasian, whereas little data were available for Chinese participants. Ethnicity and variation in study design, sample size, and inclusion criteria may have contributed to the differences in results between studies. Thus, we performed subgroup analyses according to SOC (population-based or non-population-based) and the HWE status (HWE or non-HWE). However, no significant difference in ICH risk between ε4 carriers and ε3ε3 genotype carriers or between the ε4 allele and the ε3 allele was found in the non-HWE subgroup. In general, the genetic association results produced from the case-control studies suggested potential selection bias of controls or genotyping errors under circumstances in which the genotype distribution of the controls deviated from HWE. Because the majority of subjects followed HWE, the results for the non-HWE group might be unreliable and should be interpreted with caution.

For the study of the relationship between ApoE gene polymorphisms and SAH risk, pooled analyses showed a significantly increased risk for SAH among ε4 carriers, but not ε2 carriers, compared with ε3ε3 genotype carriers. However, this result was based on small numbers of cases and controls and seemed far more likely to represent the combined effect of publication and reporting bias rather than an actual underlying association.

To aid the interpretation of these results, some limitations of this meta-analysis should be acknowledged. First, between-study heterogeneity in our analysis should be noted, as this factor may have affected the results of the present meta-analysis. Second, subgroup analyses were not performed according to factors such



**Fig. 5. a–d** Begg's funnel plots of the relationships between ApoE gene polymorphisms and ICH risk in the genetic comparisons of the  $\epsilon 4$  allele vs. the  $\epsilon 3$  allele (**a**); the  $\epsilon 2$  allele vs. the  $\epsilon 3$  allele (**b**);  $\epsilon 4$  carriers vs.  $\epsilon 3\epsilon 3$  carriers (**c**); and  $\epsilon 2$  carriers vs.  $\epsilon 3\epsilon 3$  carriers (**d**).

as gender, age, smoking and alcohol consumption habits because insufficient data were extracted from the primary articles. Third, publication bias and other forms of bias may have existed in our results due to limitations in the inclusion criteria. Finally, the sample size of our meta-analysis was relatively limited considering the massive population and the multiple unique ethnic groups in China.

## Conclusion

In conclusion, the ApoE  $\epsilon 4$  allele may predict an increased risk for different subtypes of stroke, including IS, ICH and SAH, as  $\epsilon 4$  carriers showed a significantly ele-

vated risk of developing different subtypes of stroke. However, neither the  $\epsilon 2$  allele nor  $\epsilon 2$  carriers were associated with the risk for stroke. The results of this genotypic analysis may help to identify populations at an increased risk for stroke. Further large-scale studies incorporating various covariates should be performed to further elucidate the association between ApoE gene polymorphisms and the risk for cerebrovascular diseases in the Chinese populations.

## Disclosure Statement

None.

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